Rings with finite Gorenstein injective dimension

Holm, Henrik Granau

Published in:
Proceedings of the American Mathematical Society

Publication date:
2004

Document version
Peer reviewed version

Citation for published version (APA):
RINGS WITH FINITE GORENSTEIN INJECTIVE DIMENSION

HENRIK HOLM

(Communicated by Bernd Ulrich)

Abstract. In this paper we prove that for any associative ring \( R \), and for any left \( R \)-module \( M \) with finite projective dimension, the Gorenstein injective dimension \( \text{Gid}_R M \) equals the usual injective dimension \( \text{id}_R M \). In particular, if \( \text{Gid}_R R \) is finite, then also \( \text{id}_R R \) is finite, and thus \( R \) is Gorenstein (provided that \( R \) is commutative and Noetherian).

1. INTRODUCTION

It is well known that among the commutative local Noetherian rings \((R, \mathfrak{m}, k)\), the Gorenstein rings are characterized by the condition \( \text{id}_R R < \infty \). From the dual of [10, Proposition (2.27)] (6, Proposition 10.2.3] is a special case) it follows that the Gorenstein injective dimension \( \text{Gid}_R (\quad) \) is a refinement of the usual injective dimension \( \text{id}_R (\quad) \) in the following sense:

For any \( R \)-module \( M \) there is an inequality \( \text{Gid}_R M \leq \text{id}_R M \), and if \( \text{id}_R M < \infty \), then there is an equality \( \text{Gid}_R M = \text{id}_R M \).

Now, since the injective dimension \( \text{id}_R R \) of \( R \) measures Gorensteinness, it is only natural to ask what does the Gorenstein injective dimension \( \text{Gid}_R R \) of \( R \) measure? As a consequence of Theorem (2.1) below, it turns out that:

An associative ring \( R \) with \( \text{Gid}_R R < \infty \) also has \( \text{id}_R R < \infty \) (and hence \( R \) is Gorenstein, provided that \( R \) is commutative and Noetherian).

This result is proved by Christensen [2, Theorem (6.3.2)] in the case where \((R, \mathfrak{m}, k)\) is a commutative local Noetherian Cohen-Macaulay ring with a dualizing module. The aim of this paper is to prove Theorem (2.1), together with a series of related results. Among these results is Theorem (3.2), which has the nice, and easily stated, Corollary (3.3):

Assume that \((R, \mathfrak{m}, k)\) is a commutative local Noetherian ring, and let \( M \) be an \( R \)-module of finite depth, that is, \( \text{Ext}^m_R (k, M) \neq 0 \) for some \( m \in \mathbb{N}_0 \) (this happens for example if \( M \neq 0 \) is finitely generated). If either

(i) \( \text{Gid}_R M < \infty \) and \( \text{id}_R M < \infty \) or
(ii) \( \text{fd}_R M < \infty \) and \( \text{Gid}_R M < \infty \),

then \( R \) is Gorenstein.

Received by the editors January 28, 2003.

2000 Mathematics Subject Classification. Primary 13D02, 13D05, 13D07, 13H10; Secondary 16E05, 16E10, 16E30.

Key words and phrases. Gorenstein dimensions, homological dimensions, Gorenstein rings.

©2003 American Mathematical Society
This corollary is also proved by Christensen [2, Theorem (6.3.2)] in the case where \((R, m, k)\) is Cohen-Macaulay with a dualizing module. However, Theorem [3.2] itself (dealing not only with local rings) is a generalization of [8, Proposition 2.10] (in the module case) by Foxby from 1979.

We should briefly mention the history of Gorenstein injective, projective and flat modules: Gorenstein injective modules over an arbitrary associative ring, and the related Gorenstein injective dimension, was introduced and studied by Enochs and Jenda in [3]. The dual concept, Gorenstein projective modules, was already introduced by Auslander and Bridger [1] in 1969, but only for finitely generated modules over a two-sided Noetherian ring. Gorenstein flat modules were also introduced by Enochs and Jenda; please see [5].

1.1. Setup and notation. Let \(R\) be any associative ring with a nonzero multiplicative identity. All modules are—if not specified otherwise—left \(R\)-modules. If \(M\) is any \(R\)-module, we use \(\text{pd}_{R}M\), \(\text{fd}_{R}M\), and \(\text{id}_{R}M\) to denote the usual projective, flat, and injective dimension of \(M\), respectively. Furthermore, we write \(\text{Gpd}_{R}M\), \(\text{Gfd}_{R}M\), and \(\text{Gid}_{R}M\) for the Gorenstein projective, Gorenstein flat, and Gorenstein injective dimension of \(M\), respectively.

2. Rings with finite Gorenstein injective dimension

**Theorem 2.1.** If \(M\) is an \(R\)-module with \(\text{pd}_{R}M < \infty\), then \(\text{Gid}_{R}M = \text{id}_{R}M\). In particular, if \(\text{Gid}_{R}R < \infty\), then also \(\text{id}_{R}R < \infty\) (and hence \(R\) is Gorenstein, provided that \(R\) is commutative and Noetherian).

**Proof.** Since \(\text{Gid}_{R}M \leq \text{id}_{R}M\) always, it suffices to prove that \(\text{id}_{R}M \leq \text{Gid}_{R}M\). Naturally, we may assume that \(\text{Gid}_{R}M < \infty\).

First consider the case where \(M\) is Gorenstein injective, that is, \(\text{Gid}_{R}M = 0\). By definition, \(M\) is a kernel in a complete injective resolution. This means that there exists an exact sequence \(E = \cdots \rightarrow E_1 \rightarrow E_0 \rightarrow E_{-1} \rightarrow \cdots\) of injective \(R\)-modules, such that \(\text{Hom}_{R}(I, E)\) is exact for every injective \(R\)-module \(I\), and such that \(M \cong \text{Ker}(E_1 \rightarrow E_0)\). In particular, there exists a short exact sequence \(0 \rightarrow M' \rightarrow E \rightarrow M \rightarrow 0\), where \(E\) is injective, and \(M'\) is Gorenstein injective. Since \(M'\) is Gorenstein injective and \(\text{pd}_{R}M < \infty\), it follows by [4, Lemma 1.3] that \(\text{Ext}_{R}^{1}(M, M') = 0\). Thus \(0 \rightarrow M' \rightarrow E \rightarrow M \rightarrow 0\) is split-exact; so \(M\) is a direct summand of the injective module \(E\). Therefore, \(M\) itself is injective.

Next consider the case where \(\text{Gid}_{R}M > 0\). By [10, Theorem (2.15)] there exists an exact sequence \(0 \rightarrow M \rightarrow H \rightarrow C \rightarrow 0\) where \(H\) is Gorenstein injective and \(\text{id}_{R}C = \text{Gid}_{R}M - 1\). As in the previous case, since \(H\) is Gorenstein injective, there exists a short exact sequence \(0 \rightarrow H' \rightarrow I \rightarrow H \rightarrow 0\) where \(I\) is injective and \(H'\) is Gorenstein injective. Now consider the pull-back diagram with exact rows and
Since $I$ is injective and $\id_R P \leq \Gid_R M$ by the second row. Since $H'$ is Gorenstein injective and $\pd_R M < \infty$, it follows (as before) by [4, Lemma 1.3] that $\Ext^1_R(M, H') = 0$. Consequently, the first column $0 \to H' \to P \to M \to 0$ splits. Therefore $P \cong M \oplus H'$, and hence $\id_R M \leq \id_R P \leq \Gid_R M$.

The theorem above has, of course, a dual counterpart:

**Theorem 2.2.** If $M$ is an $R$-module with $\id_R M < \infty$, then $\Gpd_R M = \pd_R M$. □

Theorem (2.6) below is a “flat version” of the two previous theorems. First recall the following.

**Definition 2.3.** The left finitistic projective dimension $\LeftFPD(R)$ of $R$ is defined as

$$\LeftFPD(R) = \sup \{ \pd_R M \mid M \text{ is a left } R\text{-module with } \pd_R M < \infty \}.$$ 

The right finitistic projective dimension $\RightFPD(R)$ of $R$ is defined similarly.

**Remark 2.4.** When $R$ is commutative and Noetherian, we have that $\LeftFPD(R)$ and $\RightFPD(R)$ equals the Krull dimension of $R$, by [3, Théorème (3.2.6) (Seconde partie)].

Furthermore, we will need the following result from [10, Proposition (3.11)]:

**Proposition 2.5.** For any (left) $R$-module $M$ the inequality

$$\Gid_R \text{Hom}_Z(M, \mathbb{Q}/\mathbb{Z}) \leq \Gfd_R M$$

holds. If $R$ is right coherent, then we have $\Gid_R \text{Hom}_Z(M, \mathbb{Q}/\mathbb{Z}) = \Gfd_R M$. □

We are now ready to state:

**Theorem 2.6.** For any $R$-module $M$, the following conclusions hold:

(i) Assume that $\LeftFPD(R)$ is finite. If $\fd_R M < \infty$, then $\Gid_R M = \id_R M$.

(ii) Assume that $R$ is left and right coherent with finite $\RightFPD(R)$. If $\id_R M < \infty$, then $\Gfd_R M = \fd_R M$.

**Proof.** (i) If $\fd_R M < \infty$, then also $\pd_R M < \infty$, by [11, Proposition 6] (since $\LeftFPD(R) < \infty$). Hence the desired conclusion follows from Theorem (2.1) above.

(ii) Since $R$ is left coherent, we have that $\fd_R \text{Hom}_Z(M, \mathbb{Q}/\mathbb{Z}) \leq \id_R M < \infty$, by [12, Lemma 3.1.4]. By assumption, $\RightFPD(R) < \infty$, and therefore also
pd\textsubscript{R} Hom\textsubscript{Z}(M, Q/\mathbb{Z}) < \infty$, by [11, Proposition 6]. Now Theorem [11] gives that 
Gid\textsubscript{R} Hom\textsubscript{Z}(M, Q/\mathbb{Z}) = id\textsubscript{R} Hom\textsubscript{Z}(M, Q/\mathbb{Z})$. It is well known that 
fd\textsubscript{R} M = id\textsubscript{R} Hom\textsubscript{Z}(M, Q/\mathbb{Z})
(without assumptions on $R$), and by Proposition [25] above, we also get Gfd\textsubscript{R} M = Gid\textsubscript{R} Hom\textsubscript{Z}(M, Q/\mathbb{Z})$, since $R$ is right coherent. The proof is done. 

3. A theorem on Gorenstein rings by Foxby

We end this paper by generalizing a theorem [8, Proposition 2.10] on Gorenstein rings by Foxby from 1979. For completeness, we briefly recall:

3.1. The small support. Assume that $R$ is commutative and Noetherian. For an $R$-module $M$, an integer $n$, and a prime ideal $p$ in $R$, we write $\beta^n_R(p, M)$, respectively, $\mu^n_R(p, M)$, for the $n$th Betti number, respectively, $n$th Bass number, of $M$ at $p$.

Foxby [8, Definition p. 157] or [7, (14.8)] defines the small (or homological) support of an $R$-module $M$ to be the set 
$$\text{supp}_\text{R} M = \{ p \in \text{Spec } R \mid \exists n \in \mathbb{N}_0: \beta^n_R(p, M) \neq 0 \}.$$ 

Let us mention the most basic results about the small support, all of which can be found in [8] pp. 157 – 159 and [7] Chapter 14:

(a) The small support, $\text{supp}_\text{R} M$, is contained in the usual (large) support, $\text{Supp}_\text{R} M$, and $\text{supp}_\text{R} M = \text{Supp}_\text{R} M$ if $M$ is finitely generated. Also, if $M \neq 0$, then $\text{supp}_\text{R} M \neq 0$.

(b) $\text{supp}_\text{R} M = \{ p \in \text{Spec } R \mid \exists n \in \mathbb{N}_0: \mu^n_R(p, M) \neq 0 \}$.

(c) Assume that $(R, m, k)$ is local. If $M$ is an $R$-module with finite depth, that is, 
$$\text{depth}_R M := \inf \{ m \in \mathbb{N}_0 \mid \text{Ext}_R^m(k, M) \neq 0 \} < \infty$$ 
(this happens for example if $M \neq 0$ is finitely generated), then $m \in \text{supp}_\text{R} M$, by (b) above.

Now, given these facts about the small support, and the results in the previous section, the following generalization of [8] Proposition 2.10] is immediate:

**Theorem 3.2.** Assume that $R$ is commutative and Noetherian. Let $M$ be any $R$-module, and assume that any of the following four conditions is satisfied:

(i) Gpd\textsubscript{R} M < \infty and id\textsubscript{R} M < \infty,

(ii) pd\textsubscript{R} M < \infty and Gid\textsubscript{R} M < \infty,

(iii) $R$ has finite Krull dimension, and Gfd\textsubscript{R} M < \infty and id\textsubscript{R} M < \infty,

(iv) $R$ has finite Krull dimension, and fd\textsubscript{R} M < \infty and Gid\textsubscript{R} M < \infty.

Then $R_p$ is a Gorenstein local ring for all $p \in \text{supp}_\text{R} M$.

**Corollary 3.3.** Assume that $(R, m, k)$ is a commutative local Noetherian ring. If there exists an $R$-module $M$ of finite depth, that is, 
$$\text{depth}_R M := \inf \{ m \in \mathbb{N}_0 \mid \text{Ext}_R^m(k, M) \neq 0 \} < \infty,$$ 
and which satisfies either

(i) Gfd\textsubscript{R} M < \infty and id\textsubscript{R} M < \infty, or

(ii) fd\textsubscript{R} M < \infty and Gid\textsubscript{R} M < \infty,

then $R$ is Gorenstein.
Acknowledgments

I would like to express my gratitude to my Ph.D. advisor Hans-Bjørn Foxby for his support, and our helpful discussions.

References


Matematisk Afdeling, Københavns Universitet, Universitetsparken 5, 2100 København O, Danmark

E-mail address: holm@math.ku.dk