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Growing black holes through successive mergers in galactic nuclei – I. Methods and first results

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ABSTRACT

We present a novel, few-body computational framework designed to shed light on the likelihood of forming intermediate-mass (IM) and supermassive (SM) black holes (BHs) in nuclear star clusters (NSCs) through successive BH mergers, initiated with a single BH seed. Using observationally motivated NSC profiles, we find that the probability of an \( \sim 100 \, M_\odot \) BH to grow beyond \( \sim 1000 \, M_\odot \) through successive mergers ranges from \( \sim 0.1 \) per cent in low-density, low-mass clusters to nearly 90 per cent in high-mass, high-density clusters. However, in the most massive NSCs, the growth time-scale can be very long (\( \gtrsim 1 \) Gyr); vice versa, while growth is least likely in less massive NSCs, it is faster there, requiring as little as \( \sim 0.1 \) Gyr. The increased gravitational focusing in systems with lower velocity dispersions is the primary contributor to this behaviour. We find that there is a simple ‘7-strikes-and-you’re-in’ rule governing the growth of BHs: Our results suggest that if the seed survives 7–10 successive mergers without being ejected (primarily through gravitational wave recoil kicks), the growing BH will most likely remain in the cluster and will then undergo runaway, continuous growth all the way to the formation of an SMBH (under the simplifying assumption adopted here of a fixed background NSC). Furthermore, we find that rapid mergers enforce a dynamically mediated ‘mass gap’ between about 50–300 \( M_\odot \) in an NSC.

Key words: methods: numerical – stars: kinematics and dynamics – black hole mergers – galaxies: nuclei – quasars: supermassive black holes.

1 INTRODUCTION

Bridging the gap between stellar black holes (BHs) (\( \lesssim 50 \, M_\odot \)) and supermassive black holes (SMBHs) (\( \gtrsim 10^9 \, M_\odot \)) observed at the centres of most galaxies remains one of the major unsolved astrophysical problems (Rees 1984). The incredible success in detecting stellar mass (\( \lesssim 50 \, M_\odot \)) BHs as gravitational wave sources (Abbott et al. 2019) in the local universe and SMBHs through dynamical measurements (Hees et al. 2017) and as engines of active galactic nuclei (Padovani et al. 2017) is overshadowed by the difficulty in observing IMBHs of (\( 10^2–10^3 \, M_\odot \)) through optical and GW searches. Though there have been many claimed IMBH candidates (Gerssen et al. 2002; Kamann et al. 2016; Perera et al. 2017), most have been disputed (McNamara, Harrison & Anderson 2003; Murphy, Cohn & Lugger 2011; Kirsten & Vlemmings 2012; Gieles et al. 2018; Tremou et al. 2018); see Rui et al. (2021) for a brief synopsis. Quantifying potential IMBH formation channels may provide critical context for directing future observations of these elusive objects (Richardson et al. 2022).

Some of the most explored IMBH formation channels include runaway stellar collisions (e.g. Portegies Zwart et al. 1999, 2004; Portegies Zwart & McMillan 2002; Freitag, Gürkan & Rasio 2006; Gürkan, Fregeau & Rasio 2006; Mapelli 2016; Kremer et al. 2020; González et al. 2021; Rizzuto et al. 2021), BH accretion (e.g. Haehnelt, Natarajan & Rees 1998; Alexander & Natarajan 2014; Mayer et al. 2015; Pezzulli, Valiante & Schneider 2016; Pacucci et al. 2017; Ricarte & Natarajan 2018; Dittmann & Miller 2020; Shi et al. 2022) and repeated compact object mergers (Quinlan & Shapiro 1989; Miller & Hamilton 2002; Mouri & Taniguchi 2002; O’Leary et al. 2006; Giersz et al. 2015; Antonini & Rasio 2016; Banerjee 2017; Fishbach, Holz & Farr 2017; Fragione, Ginsburg & Kocsis 2018; Kovetz et al. 2018; Antonini, Gieles & Gualandris 2019; Askar & Giersz; Rodriguez et al. 2019; Fragione & Silk 2020; Fragione, Loeb & Rasio 2020; Kremer et al. 2020; Mapelli et al. 2021; Weatherford et al. 2021; Askar, Davies & Church 2022; Fragione

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In this work, we focus on the growth of massive BH seeds through repeated mergers with other stellar mass BHs.

Several factors govern an environment’s capacity for repeated or ‘multi-generation’ BH mergers, where at least one component has already merged with another BH. Fundamentally, many stellar BHs must be concentrated in a sufficiently dense star cluster environment. In such environments, BH merger products may merge again via exchange encounters with existing binaries or GW capture (Fregeau et al. 2004; Downing et al. 2010; Ziosi et al. 2014; Rodriguez et al. 2016; Antognini & Thompson 2016; Zevin et al. 2019). Critically, the recoil kicks caused by asymmetric emission of GW radiation during mergers commonly impart velocities $\sim 10^2$–$10^3$ km s$^{-1}$ (depending on mass ratio and spins), often large enough to eject the merger product from even the most massive stellar clusters (e.g. Campanelli et al. 2007; Holley-Bockelmann et al. 2008; Gerosa & Berti 2019; Fragione et al. 2020; 2022b, see Gerosa & Fishbach 2021 for a review). Recoil kick magnitudes peak at a moderate mass ratio ($m_1/m_2 \approx 0.38$ for low BH spins), remaining prominent until the BH is sufficiently massive relative to typical BHs in its local environment ($m_1/m_2 \approx 0.1$).

The dense, high escape speed cores of nuclear star clusters (NSCs) are likely to be viable factories for producing massive BHs via repeat BH–BH mergers. NSCs are broadly defined as dense stellar systems residing at the (dynamical) centres of most galaxies (Neumayer et al. 2011). While early universe observations of NSCs are lacking, there is significant evidence suggesting their formation is heavily correlated with the formation of SMBHs/AGN (Ferrarese et al. 2006; Wehner & Harris 2006; Seth et al. 2008; Kormendy & Ho 2013; Feldmeier-Krause et al. 2017; Neumayer, Seth & Böker 2020). Since AGN are observed in the early universe, it is reasonable to consider the possibility that NSCs may act as nurseries for the massive BHs we see today. Though the capabilities of the recently launched James Webb Space Telescope (JWST) are just being unveiled, it is becoming evident that galaxy structures are forming at higher redshifts than previously observed (Castellano et al. 2022). If these galaxies are subject to similar dynamics as local galaxies, the prevalence of NSC nurseries in the early universe may become critical to SMBH growth models. The dynamical interactions within star clusters are traditionally explored using direct $N$-body (Aarseth 1999; Wang et al. 2015) and Monte Carlo (Hypki & Giersz 2013; Rodriguez et al. 2022) calculations. Unfortunately, such codes reach intractable computational bottlenecks for clusters with $N \gtrsim 10^3$ bodies. In addition, the major Monte Carlo packages most capable of simulating large $N$ systems must forcibly break up any orbital hierarchies composed of three or more bodies because of the tendencies of such systems to dominate the computational demands of $N$-body calculations. Despite the undeniable power of these codes, the direct simulation and modeling of extremely massive NSCs remains a prohibitively expensive aspiration.

As an alternative to computationally expensive $N$-body simulations, the BH growth process may be modelled using carefully constructed BH scattering experiments that effectively reproduce the dynamical interactions a BH seed would likely undergo (e.g. Gültekin, Miller & Hamilton 2004; Miller & Lauburg 2009). While pioneering at the time, these previous calculations were limited in two ways: (i) They utilized only binary–single encounters without consideration of incoming binaries or growth of hierarchical multiples (triples, quadruples, etc.) and (ii) scattering experiments were terminated as soon as the seed BH experienced its first merger. These results were then used to extrapolate the growth rate of BH seeds.

Here we present a novel scattering infrastructure called CUSP-BUILDING to go beyond these early efforts. As in previous models of this type (O’Leary et al. 2006; Antonini & Rasio; Fragione & Silk 2020), we compute dynamical interactions based on local properties of the background star cluster, such as the density, encounter rate, velocity distribution, and we assume that all interactions take place at the cluster centre. The final product of each dynamical interaction becomes the target for the next interaction. We assume that the central regions of NSCs are dominated by BHs and we consider only interactions between BHs (no other objects). Crucially, our code evaluates all encounters in detail using a high-precision direct $N$-Body integrator while tracking and growing arbitrarily large hierarchical BH systems for the first time.

Our paper is organized as follows. Section 2 details our computational methodology and initial conditions. In Section 3, we present our numerical results, and, in particular, we characterize the probability of the rapid growth of seed BHs through successive mergers with other BHs, referenced from here out as a runaway. In Section 4, future considerations/improvements are discussed. We conclude by summarizing our key findings in Section 5, emphasizing the most important limitations of our approach.

2 METHODOLOGY

2.1 Tsunami

We employ TSUNAMI, a direct $N$-body integrator based on Mikkola’s algorithmic regularization (Trani et al. 2023; Trani, Fuji & Spera 2019a; Trani et al. 2019b; Trani & Spera 2022), as the key building block for our Monte Carlo scattering framework, CUSP-BUILDING. TSUNAMI handles all direct $N$-body calculations in CUSP-BUILDING, integrating the equation of motion, derived from a logarithmic Hamiltonian in extended phase space (Mikkola & Tanikawa 1999b). Though not used here, TSUNAMI includes the time-transformed regularization scheme (Mikkola & Tanikawa 1999a) and the un-regularized leapfrog (see Aarseth 2003).

First, round-off errors are commonly introduced when two particles are close to each other, but far from the centre of a global coordinate system, such as the centre-of-mass (COM) reference frame. These errors are minimized in TSUNAMI because it does not integrate in a traditional reference frame. Instead, TSUNAMI employs the chain-coordinate system introduced in Mikkola & Aarseth (1993). A ‘chain’ of interparticle vectors is formed between all particles at every time-step. The first ‘segment’ is selected to be the shortest interparticle distance in the system, with each successive segment connecting the nearest-neighbour particle closest to an end of the previous segment until all particles are included. All the particle coordinates are expressed relative to their nearest-neighbour on the chain. As the system evolves, TSUNAMI updates the chain coordinates so that any chained vector is always shorter than adjacent non-chained vectors. New chain coordinates are always directly calculated using the previous chain coordinates without employing COM transformations.

Leapfrog is a second-order algorithm, but TSUNAMI takes advantage of Bulirsch–Stoer extrapolation to achieve higher accuracy (Stoer & Bulirsch 1980). The primary mechanism behind Bulirsch–Stoer extrapolation is to consider the result of leapfrog integration as an analytic function of the stepsize $h$. The solution of a given time interval, $\Delta S$, is computed for smaller and smaller sub-steps, $h = \Delta S/N$, and is then extrapolated to $N \to \infty$ using polynomial functions. This scheme could be employed by any integration scheme, but is particularly advantageous to leapfrog integration because its error scales as $\delta E \propto h^2$ at the leading order.
These techniques allow TSUNAMI to follow close encounters with extreme accuracy without reducing the integration time-step, unlike traditional integrators (e.g. Fregeau et al. 2004). This makes TSUNAMI an ideal code for integrating compact few-body systems, such as planetary systems (e.g. Trani et al. 2020) and triple stellar systems (Manwadkar et al. 2021; Hayashi, Trani & Suto 2022; Hellström et al. 2022).

TSUNAMI implements non-Newtonian forces, such as dynamical and equilibrium tides for stars and planets (Hut 1981; Samsing, Leigh & Trani 2018), but are not useful in this work. Post-Newtonian corrections of order 1, 2, 2.5, 3, and 3.5 (Mikkola & Merritt 2006, 2008; Blanchet 2014) are enabled during all encounters without exception.

### 2.2 CuspBuilding

CUSPBUILDING is a Python program that allows a user to quickly set up scattering experiments to simulate the growth of a seed BH. CUSPBUILDING dynamically interfaces with TSUNAMI, automatically organizing particles into hierarchies and calculating all hierarchy properties, such as semi-major axes (SMA), eccentricities, and hierarchical organization.

CUSPBUILDING simulates the entire dynamical history of a hierarchy ‘target’, \( P_t \), by computing a series of back-to-back gravitational interactions (we will refer to each one as a ‘sequence’) with ‘projectile’ hierarchies, \( P_p \). After each interaction is computed, the new \( P_t \) that is determined as the outcome becomes the target for the next interaction with another projectile (Fig. 1). Here, \( P_t \) is always the hierarchy containing the massive BH that grew from the initial seed. In this study, the initial seed target is always a binary BH system (BBH) while the projectile is either a single BH or a BBH. Through successive interactions, we allow the target to grow into an arbitrarily large hierarchical system (triple, quadruple, etc.).

### 2.3 Host cluster environment

#### 2.3.1 Double-Plummer relations

We model an NSC environment as a fixed background star cluster in gravothermal equilibrium, represented by a double-Plummer model. This model consists of two, concentric Plummer spheres where (i) the larger sphere represents most of the mass and is composed only of ordinary stars and (ii) the smaller sphere represents an inner, stellar BH sub-cluster. Such a BH sub-cluster is expected to form generically in dense stellar systems through mass segregation (Gürkkan, Freitag & Rasio 2004; Banerjee & Kroupa 2011; Breen & Heggie 2013; Kremer et al. 2019).

An individual Plummer model is determined by only two parameters: the mass of the cluster, \( M_{cl} \), and the Plummer kernel (length-scale), \( b \). The equations for the gravitational potential, radial density profile, velocity dispersion, core radius, and half-mass radius are

\[
\Phi_{cl}(r) = -\frac{GM_{cl}}{\sqrt{r^2 + b^2}},
\]

\[
\rho_{cl}(r) = \frac{3M_{cl}}{4\pi rb^2} \left( 1 + \frac{r^2}{b^2} \right)^{-5/2},
\]

\[
\sigma_{cl}^2(r) = \frac{\Phi_{cl}(r)}{6},
\]

\[
r_c = b \sqrt{2 - 1},
\]

\[
r_h = \frac{b}{\sqrt{2^{2/3} - 1}}. \tag{1}
\]

The smaller Plummer cluster is defined with its mass and radii scaled directly to \( M_{cl} \) and \( b_h \). It follows that the BH sub-cluster properties may be expressed as

\[
M_{bh} = \epsilon_b M_{cl},
\]

\[
b_{bh} = \epsilon_b b,
\]

\[
\Phi_{bh}(r) = -\frac{GM_{bh}}{\sqrt{r^2 + b_{bh}^2}},
\]

\[
\rho_{bh}(r) = \frac{3M_{cl}}{4\pi b_{bh}^2} \left( 1 + \frac{r^2}{b_{bh}^2} \right)^{-5/2},
\]

\[
\sigma_{bh}^2(r) = \frac{\Phi_{bh}(r)}{6},
\]

\[
r_{c,bh} = b_{bh} \sqrt{2 - 1},
\]

\[
r_{h,bh} = \frac{b_{bh}}{\sqrt{2^{2/3} - 1}}. \tag{2}
\]
where $\epsilon_M$ and $\epsilon_k$ are scaling parameters that relate the BH sub-cluster to the primary cluster. As a first guess, we employ the ‘Late-Type’ mass/radius scaling relations as observed in Georgiev et al. (2016) such that

$$\log \left( \frac{\epsilon_b}{\epsilon_1} \right) = \alpha \log \left( \frac{M_{bh}}{c_2} \right) + \beta,$$

(3)

where $\alpha$, $\beta$, $c_1$, and $c_2$ are fitting constants.

Thus, the global properties of our double-Plummer models may be fully explored by specifying a value for the primary cluster mass, $M_{cl}$, and scaling parameters, $(\epsilon_M, \epsilon_k)$. For all models, $\epsilon_M = 0.01$ and we vary $\epsilon_k$, such that $\epsilon_k = \{0.005, 0.01, 0.05\}$. The scaling parameters are selected to mimic typical scaling observed between the total cluster and mass-segregated BH cores in Kremer et al. (2020). For simplicity, we assume the two Plummer spheres are uncoupled, maintaining independent velocity profiles. Accordingly, the deviation from energy equipartition between the primary and BH sub-cluster at $r = 0$ is

$$\eta = \frac{\langle m_{bh} \rangle \sigma_g^2(0)}{\langle m_{cl} \rangle \sigma_r^2(0)} = \frac{\langle m_{bh} \rangle M_{bh} b}{\langle m_{cl} \rangle M_{bh} b_{bh}} = \frac{\epsilon_k M_{bh}}{\epsilon_0 \langle m_{cl} \rangle}.$$

(4)

We treat each encounter between projectile, $P_1$, and target, $P_2$, as occurring within the core of the BH sub-cluster, $r < r_{cbh}$, and relate the hierarchies’ relative velocity at infinity, $v_\infty$, to their velocity dispersions ($\sigma_p$ and $\sigma_r$, respectively). We approximate these dispersions by assuming energy equipartition between the target and projectile such that

$$\sigma_p = \sigma_{cbh},$$

$$\sigma_r = \sqrt{\frac{\langle m_p \rangle}{m_1} \sigma_{cbh}}.$$

(5)

We then sample $v_\infty$ from a Maxwellian velocity profile with the velocity dispersion dispersion defined in the relative motion frame of $P_1$ (Binney & Tremaine 2008):

$$\sigma_{rel} = \sqrt{\sigma_v^2 + \sigma_p^2} = \sigma_{cbh} \sqrt{1 + \frac{\langle m_p \rangle}{m_1}}.$$

(6)

Considering that escape velocities from the core of the BH sub-cluster, $v_{e,cbh}$, and the total double-Plummer environment, $v_{e,cl}$, are calculated using

$$v_e(r) = \sqrt{2[\Phi_{tot}(r) - \Phi_{tot}(0)]},$$

(7)

where

$$\Phi_{tot}(r) = \Phi_g(r) + \Phi_{bh}(r).$$

(8)

The escape velocities of the BH sub-cluster core, $v_{e,cbh}$, and the primary cluster, $v_{e,cl}$, may be found by setting $r = r_{cbh}$ and $\infty$, respectively. We halt all interactions $P_1$ experiences if it is temporarily ejected into the halo of a cluster (i.e. $v_{e,cl} > v_{kick} > v_{e,cbh}$) until $P_1$ decays to the core of the BH sub-cluster (see Section 2.8). If $P_1$ is ejected from the total cluster (i.e. $v_{kick} > v_{e,cl}$) the sequence ends.

### 2.3.2 BH mass distribution, spins, and radii

We sample individual stellar BH masses in the BH sub-cluster from a Gaussian with a mean of $(m_{bh}) = 20 M_\odot$ and a standard deviation of $5 M_\odot$, truncated below $M_\odot$ and above $40 M_\odot$. Neutron stars are the only expected core-collapse remnants below $5 M_\odot$ while pulsational pair instability likely suppresses the development of BH remnants between roughly $40-120 M_\odot$. Heger & Woosley (2002), Spera & Mapelli (2017), and Farmer et al. (2019). This distribution is found to be roughly comparable to the BH mass distributions of the largest cluster models in Kremer et al. (2020). In addition, BH binaries have their components drawn from the same Gaussian and thus have comparable masses. All BH radii are defined to be 10 times their Schwarzschild radius to accommodate for the limitations of the PN framework at small orbital separations.

Every BH in our set of sequences, both seeds and the background population, are initiated non-spinning with initial spin distributions to be explored in future work. Spin cannot be ignored though, because BH merger products are spun up through merger (Campanelli et al. 2007). Including spin effects at merger is paramount to assessing the true probability of retaining BH seeds since the spins of merging BHs dramatically amplifies GW recoil kicks up to $\sim 10^{-10} \text{ km s}^{-1}$. BH spin is defined with the dimensionless Kerr parameter, $\chi$, in units of $\text{S}^*$. In is stored as a vector quantity. BH spin vectors are rotated using Euler angles as appropriate; e.g. alongside the randomly generated orientations of target/projectile scatterings and when transforming to the orbital plane of a BBH merger.

### 2.3.3 Collisions

When the separation of any two BHs comes within their code radii, TSUNAMI stops integration and sends a collision flag to be handled by CUSP BUILDING. The BHs are then merged according to mass, spin, and gravitational-wave recoil in-plane prescriptions of Healy & Lousto (2018), linearly summed to the Lousto & Zlochower (2013) out of plane contributions. The GW recoil kick velocity is then summed to the COM velocity of the merged BBH and the remaining system is integrated in TSUNAMI for a small buffer time (about 5 yr) to allow the BHs to resettle into a new hierarchy (if any).

### 2.3.4 Binary BH properties

All SMA are randomly drawn from a log-normal distribution with a most probable value of $10^{-2} a_{in}$, and a median at the $10^{-1} a_{in}$, where $a_{in}$ is the hard-soft boundary of the binary in question, defined to be (Heggie & Hut 2003)

$$a_{in} = \frac{G m_1 m_2}{\langle m_{bh} \rangle v_{rel}^2} \left(1 + \frac{\langle m_{bh} \rangle}{m_1 + m_2} \right) = \frac{G m_1 m_2}{3 \langle m_{bh} \rangle \sigma_{rel}^2} \left(1 + \frac{\langle m_{bh} \rangle}{m_1 + m_2} \right),$$

(9)

where $\sigma_{rel} = \sqrt{2} \sigma_{cbh}$ is expressed in the relative motion frame and $(m_1, m_2)$ are the masses of binary components. These values represent a first foray into the effects BBH distributions have on a seed BH’s evolutionary history; a more robust exploration will be provided in future work.

Eccentricities are randomly drawn from the thermal distribution (Jeans 1919):

$$f(e) \, de = 2 e \, de.$$  

(10)
The distribution is truncated at $e = 0.95$ because highly eccentric binary BHs rapidly circularize and merge (Peters 1964).

### 2.4 The encounter rate

The time between encounters, $t_{\text{enc}}$, experienced by our target hierarchy, $P_i$, in CUSPBUILDING follows from the collisional time-scale derivation expressed in Binney & Tremaine (2008, equation 7.194), generalized by averaging over a Maxwellian distribution expressed in the relative motion frame. We compute separate encounter rates for each projectile ‘species’ (i.e. single versus binary BHs) to obtain a total rate for the target. The total rate determines the time to the next encounter while the species-specific rates are used to randomly select whether the next projectile is a single BH or BBH. The general encounter rate between $P_i$ and a species population is

$$\Gamma_p = 2\sqrt{2\pi n_p \sigma_{\text{rel}} r_{p,\text{max}}^2 \left(1 + \frac{G (m_i + (m_p))}{r_{p,\text{max}}^2 \sigma_{\text{rel}}^2}\right)},$$

(11)

where $n_p$ is the number density of the projectile species, $\langle m_p \rangle$ is the average mass of the projectile species, and $r_{p,\text{max}}$ is the maximal distance of closest approach considered for each species (see equation 15).

A species-specific $n_p$ may be determined using the following relations:

$$N_{bh} \approx \frac{M_{bh}}{(m_{bh})},$$

$$N_{bh} = N_i + 2N_b,$$

$$f_b = \frac{N_b}{N_i + N_b},$$

$$N_s = \frac{1 - f_b}{1 + f_b} N_{bh},$$

$$N_b = \frac{f_b}{1 + f_b} N_{bh},$$

(12)

where $f_b$ is the binary fraction (defined to be 10 per cent for all cluster initial conditions), $M_{bh}$ and $\langle m_{bh}\rangle$ are the total mass of BH sub-cluster and average BH mass in the BH sub-cluster, respectively, $N_i$ is the number of single BHs, and $N_b$ is the number of BBH systems. The species specific number densities are then

$$n_s = \frac{1 - f_b}{1 + f_b} n_{c,bh},$$

$$n_b = \frac{f_b}{1 + f_b} n_{c,bh},$$

(13)

where $n_{c,bh}$ is the average number density within the core of the BH sub-cluster assuming all single BHs (e.g. $n_{c,bh} \approx \rho_{c,bh}/(m_{bh})$).

Finally, our effective encounter rate is defined to be

$$\Gamma_{\text{tot}} = \Gamma_s + \Gamma_b,$$

$$R_b = \frac{\Gamma_b}{\Gamma_{\text{tot}}},$$

$$t_{\text{enc}} = \frac{1}{\Gamma_{\text{tot}}},$$

(14)

where $R_b$ is the likelihood a binary object will be selected from the projectile reservoir to be the next $P_p$. This calculation is done frequently to account for the unpredictability in final mass and size that $P_i$ may settle into following an encounter.

### 2.5 Interaction initialization

A sequence begins by defining a target particle group, $P_i$, initiated with a massive seed BH ($m_s \geq 40M_\odot$) and a BH companion with a mass drawn from the Gaussian mass distribution. It is straightforward to initiate $P_i$ with any custom hierarchy beyond a binary object, but for the purpose of this paper, we always initiate $P_i$ as a binary and allow its hierarchical rank to organically grow through successive interactions. The SMA of $P_i$ is defined at the median of our log-normal SMA distribution, 10 per cent the binary’s hard-soft boundary, and the eccentricity is defined by the median of the thermal distribution, $e_0 = 1/\sqrt{2}$. The orientation of our initial $P_i$ is always defined in the x-y plane with its phase initiated at apocentre. Next, a set of projectile groups $\{P_p\}$ are compiled using the distributions discussed in Sections 2.3.1, 2.3.2 and 2.3.4. For our set, we then select a $P_p$ randomly to fire at $P_i$ each round according to $R_b$ (see equation (14)). The cross-sectional area explored may be constrained by defining a maximal distance of closest approach

$$r_{p,\text{max}} = \frac{k \max[a_t + a_p, a_{GR}]}{a_{GR}}$$

$$a_{GR} = \left(\frac{85 \sqrt{2} \pi G^7 m_i m_p (m_i + m_p)^{3/2}}{12^5 \sigma_{\text{rel}}^2} \right)^{2/7},$$

where $k$ is an arbitrary buffer constant, $a_p$ is the radial size of $P_p$, and $a_{GR}$ is the maximal periastron distance capable of producing a GW binary capture (see Quinlan & Shapiro (1989)). Increasing $k$ captures more of the parameter space at the cost of dramatically increasing the amount of interactions required to finish a sequence. To balance accuracy with computational time, we choose $k = 3$ (in line with the findings of Fregeau & Rasio (2007)) because $k \geq 3$ overwhelmingly results in weak interactions between $P_i$ and $P_p$. Given $r_{p,\text{max}}$, the maximal impact parameter is

$$b_{\text{max}} = r_{p,\text{max}} \sqrt{1 + \frac{2G(m_i + m_p)}{r_{p,\text{max}}^2 v_\infty^2}},$$

(16)

where $v_\infty$ is the relative velocity of $P_p$ at an infinite particle separation. If we consider $b_{\text{max}}$ to be the radius of the maximal cross-sectional area between $P_i$ and $P_p$, the impact parameter distribution is

$$b = \sqrt{U(0, 1)} b_{\text{max}}.$$

(17)

where $U(0, 1)$ is a random number on the uniform interval $\{0...1\}$.

The initial location and velocities of $P_p$ is determined by analytically calculating its location along a Keplerian hyperbolic orbit until it reaches a minimal initial separation, $r_{\text{min}}$. To determine $r_{\text{min}}$, we first consider a tidal tolerance, $\delta$, such that

$$\frac{|F_{\text{tidal}}|}{|F_{\text{rel}}|} < \delta,$$

(18)

where $\delta = 10^{-4}$ is selected to be arbitrarily small, $F_{\text{rel}}$ is the relative force between the two members of the outermost orbit in the hierarchy of $P_i$ and $F_{\text{tidal}}$ is the tidal force exerted on the outermost orbit of $P_i$ by $P_p$. Following a similar procedure to that outlined in Antognini & Thompson (2016), a maximal possible tidal force on $P_i$ may be expressed as

$$|F_{\text{tidal}}| = \frac{2G\mu_i m_p}{r^2} a_t (1 + e_t),$$

(19)

where $\mu_i$ is the reduced mass of $P_i$, $a_t$ and $e_t$ are the SMA and eccentricity, respectively, of the outermost orbit of $P_i$, $r$ is the distance between the COM of $P_i$ and the COM of $P_p$, and $(m_i, m_p)$ are the total mass of $(P_i, P_p)$. We then define a minimal relative force between the constituents of $P_i$:

$$|F_{\text{rel}}| = \frac{Gm_i m_p}{|a_t (1 + e_t)|^2},$$

(20)

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where \( m_0 \) is the total mass of the masses contained within \( a_r \) and \( m_{10} \) is the mass drawing the orbit of \( a_r \). Using equation (18), we may define our minimum initial separation to be

\[
\begin{align*}
    r_{\text{min}} &= \text{max} \left( 10, \frac{2m_p}{\delta m_1} \right) a_r (1 + e_r). \\
\end{align*}
\]

(21)

With \( v_\infty, b, \) and \( r_{\text{min}} \) in hand, the initial conditions of the interaction between \( P_i \) and \( P_p \) are handed to TSUNAMI.

### 2.6 Hierarchy size restraints

A conservative limit on maximum hierarchy size, \( r_{\text{max}} \), is placed upon all hierarchies such that

\[
\begin{align*}
    r_{\text{max}} &= \frac{1}{10} \left( \frac{4\pi n_{\text{eff}}}{3} \right)^{1/3} \\
    &= 0.1 (r_{\text{sep}}), \\
    n_{\text{eff}} &= n_1 + n_2. \\
\end{align*}
\]

(22)

No hierarchy extending beyond 10 per cent of the average inter-particle distance, \( (r_{\text{sep}}) \), of the BH sub-cluster core is allowed to continue to the next interaction unmodified. CUSPBUILDING labels all members of a hierarchy with an SMA greater than this threshold to be ‘unbound’ alongside other BHs unbound to \( P_i \). CUSPBUILDING then removes unbound BHs when tidally appropriate (equation 21).

### 2.7 Orbital stability

We employ two stability criterion based on the tree of hierarchies contained in \( P_i \). The first criterion uses eqs. (11), (12), and (13) in Mylläri et al. (2018):

\[
\begin{align*}
    Q_{st} &= A \left( \frac{\lambda \sqrt{N}}{1 - e_{in}} \right)^{1/6} (fg)^{1/3} \\
    f &= f_1 - 0.3 \cos i f_2 \\
    f_1 &= 1 - \frac{2}{3} e_{in} \left( 1 - \frac{e_{in}}{2} \right) \\
    f_2 &= 1 - \frac{e_{in}}{2} + 2 \cos i \left( 1 - 5 \frac{e_{in}^2}{2} \cos i \right) \\
    g &= 1 + \frac{m_3}{m_1 + m_2}. \\
\end{align*}
\]

(23)

where \( Q_{st} \leq (a_{out} \lambda (1 - e_{out}))/a_{in} \) is the stability threshold, \( \{a_{in}, a_{out}\} \) and \( \{e_{in}, e_{out}\} \) are the inner/outer SMA and eccentricity of a hierarchical layer, \( i \) is the orbital inclination relative to recursive layers, \( m_1 \) and \( m_2 \) are the masses of the inner binary, and \( m_3 \) is the mass of the outer tertiary. We also choose a conservative threshold for stability with \( A = 2.0, \lambda = 1, \) and \( N = 10^4 \). This criterion is applied recursively to each hierarchy layer of \( P_i \) to determine the absolute stability of the system.

The second criterion employs the standard GW decay time, \( T_{\text{decay}} \), (Peters 1964) and is integrated for the inner-most binary. If \( T_{\text{decay}} < t_{\text{esc}} \), then \( P_i \) is unconditionally labelled as unstable. If the rest of the hierarchy system is determined to be dynamically stable, the inner most binary is instantaneously merged within its respective orbital plane and a GW recoil kick is applied (see Section 2.3.3).

Choosing \( r_{\text{max}} = 0.1 (r_{\text{sep}}) \) also allows us to ignore the tidal force enacting by the potential of the BH sub-cluster on a hierarchy, \( F_{\text{dec-cl}} \), since the ratio of \( F_{\text{dec-cl}} \) to \( F_{\text{ref}} \) (equation 20) at \( r_{\text{max}} \) is \( \leq 10^{-4} \) across all models.

### 2.8 Dynamical friction delay

To accurately mimic the shift in the \( \Gamma_{\text{tot}} \) (equation 14) when \( P_i \) is kicked out of the BH sub-cluster, we increase \( t_{\text{esc}} \), by the dynamical friction decay time-scale, \( t_{\text{df}} \) (i.e. \( t_{\text{esc}} \rightarrow t_{\text{esc}} + t_{\text{df}} \)). This increase is applied following each interaction when the final velocity of the new \( P_i \) in the COM frame of the most recent interaction, treated as the kick velocity for simplicity (\( v_{\text{kick}} \)), exceeds the BH sub-cluster core escape velocity, \( v_{\text{esc-cl}} \).

There are two sources that may prompt kicks in our simulations: (i) dynamical kicks from strong COM velocity perturbations during close encounters with hierarchical systems and (ii) GW recoil during the asymmetric emission of GW radiation during merger. We note that dynamical kicks experienced by \( P_i \) across all cluster models are usually much less than \( v_{\text{esc-cl}} \), only rarely exceeding it during chaotic encounters. The overwhelming majority of sequence disrupting kicks are generated by GW recoil during merger. Our procedure for calculating \( t_{\text{df}} \) is as follows.

First, we calculate the apocentre distance at which the new \( P_i \) is ‘deposited’, \( r_{\text{sep}} \), assuming a purely radial orbit. This is computed numerically using conservation of energy in the cluster potential. Using \( r_{\text{sep}} \), we estimate \( t_{\text{df}} \) in the secular approximation, i.e. the decaying radial orbit is approximated by orbit averaging the energy dissipation due to dynamical friction.

Beginning with the velocity along a bound radial orbit,

\[
\dot{r} = \sqrt{\frac{2 \Phi(r_0) - \Phi(r)}{r}},
\]

(24)

where \( r_0 \) is the apocentre distance in the potential \( \Phi(r) \). Solving for the period,

\[
\tau(r_0) = \int_0^r \frac{1}{\dot{r}} \, dr = 4 \int_0^{r_0} \frac{\Phi(r_0) - \Phi(r)}{r_0^2} \frac{dr}{\sqrt{\Phi(r_0) - \Phi(r)}}.
\]

(25)

In the secular approximation, the orbit-averaged energy loss per orbit may be expressed as

\[
\delta E(r_0) = \frac{1}{\tau(r_0)} \int_0^{r_0} F_{\text{def}}(r, \dot{r}) \, dr.
\]

(26)

Equations (25) and (26) may be combined to obtain the orbit averaged rate of energy loss,

\[
\frac{\delta E(r_0)}{\tau(r_0)} = \frac{1}{r_0^2} \int_0^{r_0} F_{\text{def}}(r, \dot{r}) \, dr.
\]

(27)

Relating the change in orbital energy to the change in apocentre distance, \( r_0 \),

\[
\Phi(r_0) - \frac{1}{m_1} \delta E = \Phi(r_0 - \delta r_0).
\]

(28)
symmetric potential (Binney & Tremaine 2008, Ch. 8.1),
\[ F_{\text{df}}(r, v) = -4\pi G^2 \langle m \rangle \rho(r) \alpha(r, v) \ln \Lambda(r, v) \]
\[ \alpha(r, v) = \frac{2X}{\sqrt{\pi}a} e^{-x^2} \]
\[ X = \frac{v}{\sqrt{2}\sigma(r)} \]
\[ \Lambda(r, v) = \max \left[ \frac{\max[r, M_{\text{cl}}, \Phi_1]}{\min[a^*, \Phi_1] \sqrt{\pi} \sigma(r)} \right] \]
where \( a^* \) is the SMA of \( P_t \), \( \ln(\Lambda) \) is the Coulomb Logarithm, and \( v = v_r \) within the context of our radial orbit approximation. Assuming that \( P_t \) is subject to linearly independent dynamical friction forces from our double-Plummer cluster, one from the primary cluster and one from the BH sub-cluster, we define the dynamical friction force as
\[ F_{\text{df}}(r, v) = F_{\text{df,cl}}(r, v) + F_{\text{df,bb}}(r, v) \]
with the density and velocity dispersion terms within equation (31) defined by their respective clusters (Section 2.3).

An illustration of our prescription’s \( t_{\text{df}} \) values as a function of \( r_{\text{dep}} \) is shown in the upper panel of Fig. 2 and a comparison between the full numerical integration of the equation of motion and our secular approximation (equation 30) are displayed in the lower panel.2

### 2.9 Encounter rate dependence on initial conditions

More encounters lead to more collisions. As such, it is crucial to document how the encounter rate, \( \Gamma \) (equation 14), reacts to changes in host cluster initial conditions. For convenience in the following calculation, BH sub-cluster quantities critical to \( \Gamma \) may be approximated to be
\[ n_{\text{c,bb}} \approx \frac{\rho_{\text{c,bb}}}{\langle m \rangle} = \frac{3M_{\text{bh}}}{4\pi b_{\text{bh}}^3(m_p)} \]
\[ r_p \approx k a_{\text{hb}} = \frac{k G M_{\text{bh}} m_2}{3(m_p)\sigma_{\text{c,bb}}} \]
\[ \sigma_{\text{c,bb}} \approx \sqrt{\frac{G M_{\text{bh}}}{6b_{\text{bb}}}}. \]
Substituting (33) into (14),
\[ \Gamma \approx \frac{k^2 \sqrt{6G}}{\sqrt{\pi} \sqrt{M_{\text{bh}} b_{\text{bb}}^{3/2} m_p^2}} \left( 1 + \frac{3m_p(m_1 + m_2 + m_p)}{2km_1 m_2} \right) \]
which scales as
\[ \Gamma \propto \left( \frac{M_{\text{bh}} b_{\text{bb}}}{b} \right)^{-1/2} \]
with changes in cluster initial conditions. Using our selected mass/radius scaling relations (equation 3), \( \Gamma \) becomes
\[ b = b(M_{\text{cl}}) \]
\[ b_{\text{bb}} = \epsilon_b b(M_{\text{cl}}) \]
\[ \Gamma \approx C_1 \left( \epsilon_M \epsilon_b^2 M_{\text{cl}} b(M_{\text{cl}})^3 \right)^{-1/2}. \]
where \( C_1 \) is an arbitrary scaling constant.

2While the Plummer distribution function yields an analytic solution for the Chandrasekhar dynamical friction force, we find minimal differences in the decay times between the Plummer and the Maxwellian friction forces.

**Figure 2.** Time to decay, \( t_{\text{df}} \), from a radial distance \( r_{\text{dep}} \) to \( r_{\text{c, bb}} \) for a 100 M\(_{\odot}\) BH via dynamical friction. Here we express the distance in units of the half-mass radius, \( r_0 \). Colour indicates \( M_{\text{cl}} \) and all curves are expressed for a double-Plummer model with scaling parameter \( \epsilon_3 = 0.01 \). The bottom panel displays the decay time resultant from integrating the full equation of motion (dashed curve) and our secular solution (equation 30; dotted curve).

and in the perturbation limit
\[ \Phi(r) = \frac{1}{m_t} \delta E(r) \approx \Phi(r) - \Phi(r) \delta r \]
\[ \frac{\delta t}{\delta r} \approx \frac{m_t \Phi'(r)}{\delta E(r)} \]
\[ \delta t \approx \frac{m_t \Phi'(r)}{\delta E(r)/\delta t} \]
\[ \delta t = \int_{r_{\text{dep}}}^{r_{\text{c,bb}}} \frac{m_t \Phi'(r)}{\delta E(r)/\delta t} dr \]
where \( \delta t \) expresses a time-scale long compared to the orbital period but short compared to the orbital decay time. The total decay time for the apocentre distance to decrease from its initial value, \( r_{\text{dep}} \), to the core of the BH sub-cluster, \( r_{\text{c, bb}} \), may then be evaluated as
\[ t_{\text{df}} = \int_{r_{\text{dep}}}^{r_{\text{c,bb}}} \frac{m_t \Phi'(r)}{\delta E(r)/\delta t} dr \]
Despite the massive dynamical variability in each sequence, equation (36) produces a reasonable tracing of the median encounter rate with respect to cluster mass, $M_{\text{cl}}$, as can be seen in Fig. 3. A key takeaway is that encounter and merger rates are inversely proportional to the cluster mass, $M_{\text{cl}}$, across all mass and density scales.

We note that the cluster is implicitly assumed to be in a state of balanced evolution (i.e. gravothermal equilibrium; see Breen & Heggie 2013; Antonini et al. 2019), but we only compute our encounter rate for the growing seed. The self-consistent treatment of binary interactions is represented by our simple distribution of binary properties and binary fraction. Our above calculation only concerns the rate of interactions of the growing seed hierarchy and is not meant to apply to binary interactions in general.

3 RESULTS

In this paper, we present initial results from

(i) calculations of $\sim$17 million interactions using a 3x4 grid of 12 host cluster initial conditions, with 1500 realizations per cluster initial condition,

$$M_{\text{cl}}/M_\odot = \{10^6, 10^7, 10^8, 10^9\}$$

$$\epsilon_b = [0.05, 0.01, 0.005],$$

performed for two seed masses, $m_0/M_\odot = \{50, 100\}$.

(ii) calculations of $\sim$18 million interactions using a 3x1 grid of three host cluster initial conditions, with 1500 realizations per cluster initial condition,

$$M_{\text{cl}}/M_\odot = \{10^7\}$$

$$\epsilon_b = [0.05, 0.01, 0.005],$$

performed for six different seed masses, $m_0/M_\odot = \{50, 100, 150, 200, 250, 300\}$.

The selected seed masses are motivated by CMC simulations of young, massive clusters (Kremer et al. 2020; González et al. 2021), though they may be more massive in principle. All initial conditions pertaining to variations of our double-Plummer models are displayed in Table 1.

Only a single computationally expensive interaction is needed to completely prevent the completion of a sequence. Despite this, the majority of models reach completion with each set of sequences having a completion rate of $>99$ per cent.

3.1 Sequence end-states

A BH seed sequence loops until one of three critical junctures is reached:

(i) The seed is ejected from the cluster due to a dynamical or GW recoil kick (i.e. $v_{\text{kick}} > v_{\text{c},\text{cl}}$).

(ii) The seed is experiencing runaway growth. The seed is labelled as a runaway if it reaches a mass $m_\text{r} \geq 1000 M_\odot$ within the 3-Gyr sequence duration.

(iii) The seed has survived encounters for a time $t_e = 3$ Gyr without escaping or runaway.

Escaping BHs are labelled as ‘escapes’ and form the most common end-state in most double-Plummer models. The ‘runaway’ is a BH that is experiencing an exponential growth due to an exponentially increasing rate of mergers. We find in our simulations that 100 per cent of models that reach $1000 M_\odot$ grow indefinitely; thus, we adopt $m_{\text{run}} = 1000 M_\odot$ as our threshold for runaway and stop all simulations when a BH reaches this mass. We also adopt an effective runaway fraction,

$$f_{\text{run}} = \frac{m_\text{r} - m_{\text{bol}}}{m_{\text{run}} - m_{\text{bol}}}$$

where $m_r$ is the BH seed mass at the point of evaluation and $m_{\text{bol}}$ is the initial BH seed mass. This quantity is useful to draw a consistent comparison of mass growth across different initial seed masses. Finally, if $P_t$ reaches 3 Gyr of evolution time without escaping or reaching $m_{\text{run}} = 1000 M_\odot$, we label the seed as ‘stalled’.

Table 1. Initial condition table describing all double-Plummer models.

<table>
<thead>
<tr>
<th>$M_{\text{cl}}$</th>
<th>$\epsilon_b$</th>
<th>$r_b$</th>
<th>$v_{\text{c},\text{cl}}$</th>
<th>$v_{\text{c},\text{bh}}$</th>
<th>$\rho_{\text{c},\text{bh}}$</th>
<th>$\sigma_{\text{c},\text{bh}}$</th>
<th>$\eta$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$(M_\odot)$</td>
<td>(pc)</td>
<td>(km s$^{-1}$)</td>
<td>(km s$^{-1}$)</td>
<td>($M_\odot$ pc$^{-3}$)</td>
<td>(km s$^{-1}$)</td>
<td>$T_{\text{bh}}/T_{\text{cl}}$</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>0.05</td>
<td>2.1</td>
<td>79</td>
<td>27</td>
<td>$3.3 \times 10^8$</td>
<td>9</td>
<td>4</td>
</tr>
<tr>
<td>1</td>
<td>0.01</td>
<td>2.1</td>
<td>103</td>
<td>58</td>
<td>$4.1 \times 10^8$</td>
<td>20</td>
<td>20</td>
</tr>
<tr>
<td>2</td>
<td>0.005</td>
<td>2.1</td>
<td>126</td>
<td>82</td>
<td>$3.3 \times 10^9$</td>
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<td>40</td>
</tr>
<tr>
<td>3</td>
<td>0.05</td>
<td>4.5</td>
<td>174</td>
<td>59</td>
<td>$3.6 \times 10^9$</td>
<td>20</td>
<td>4</td>
</tr>
<tr>
<td>4</td>
<td>0.01</td>
<td>4.5</td>
<td>224</td>
<td>127</td>
<td>$4.5 \times 10^9$</td>
<td>44</td>
<td>40</td>
</tr>
<tr>
<td>5</td>
<td>0.005</td>
<td>4.5</td>
<td>274</td>
<td>180</td>
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<td>63</td>
<td>40</td>
</tr>
<tr>
<td>6</td>
<td>0.05</td>
<td>9.4</td>
<td>379</td>
<td>128</td>
<td>$3.9 \times 10^9$</td>
<td>43</td>
<td>4</td>
</tr>
<tr>
<td>7</td>
<td>0.01</td>
<td>9.4</td>
<td>490</td>
<td>278</td>
<td>$4.9 \times 10^9$</td>
<td>97</td>
<td>20</td>
</tr>
<tr>
<td>8</td>
<td>0.005</td>
<td>9.4</td>
<td>600</td>
<td>392</td>
<td>$3.9 \times 10^9$</td>
<td>137</td>
<td>40</td>
</tr>
<tr>
<td>9</td>
<td>0.05</td>
<td>19.6</td>
<td>829</td>
<td>280</td>
<td>$4.2 \times 10^9$</td>
<td>95</td>
<td>4</td>
</tr>
<tr>
<td>10</td>
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<td>19.6</td>
<td>1070</td>
<td>606</td>
<td>$5.3 \times 10^8$</td>
<td>212</td>
<td>20</td>
</tr>
<tr>
<td>11</td>
<td>0.005</td>
<td>19.6</td>
<td>1310</td>
<td>857</td>
<td>$4.2 \times 10^9$</td>
<td>300</td>
<td>40</td>
</tr>
</tbody>
</table>

Notes. In order from the left to right, the columns are the total cluster mass, $M_{\text{cl}}$, the sub-cluster radial scaling parameter, $r_b$, the half-mass radius of the cluster, $r_b$, the escape velocity of the double-Plummer model, $v_{\text{c},\text{cl}}$, the escape velocity of the BH sub-cluster core, $v_{\text{c},\text{bh}}$, the average mass density of the BH sub-cluster core, $\rho_{\text{c},\text{bh}}$, the average velocity dispersion of the sub-cluster core, $\sigma_{\text{c},\text{bh}}$, and the deviation from energy equipartition between the BH sub-cluster and the primary cluster, $\eta$.
3.2 Final mass distributions

In Fig. 4, we show the final mass distribution of all sequence endstates. The final seed mass, $m_s$, distributions follow intuitive trends, favoring larger $m_s$ as $\rho_{c, bh}$ and $m_b$ increase. These terms increase the escape velocity and reduce the magnitude of GW recoil kicks, mitigating the tendency for merger products to escape their host cluster and encouraging follow-up mergers.

Notably, there are four distinct peaks each panel may exhibit: at $f_{\text{run}} = 0$ (shifted to $7 \times 10^{-3}$ for clarity), the fraction of BH seeds which do not undergo a merger is displayed; $>90$ per cent also being escapes through dynamical kicks. The peaks lying at $f_{\text{run}} \approx 2 \times 10^{-2}$ and $4 \times 10^{-2}$ correspond to escapes following one and two mergers, respectively. The peak at $\approx 2 \times 10^{-2}$ tends to shrink and disappear with increasing $\rho_{c, bh}$ and $m_b$. In such models, only a GW recoil kick amplified by a spinning seed is powerful enough to eject the merger product. The final peak lies at the runaway threshold, $m_{\text{run}} = m_{\text{run}}$, corresponding to $f_{\text{run}} = 1$. The runaway probability tends to increase by a factor of $10-50$ with an order of magnitude increase in $M_{\text{cl}}$, while a factor of 2 decrease in $\epsilon_b$ corresponds to a factor of $\sim 2$ increase in runaway probability.

In Fig. 5, we display the mass growth rate versus time for the set of all runaway sequences in each double-Plummer model. The figure shows that a decrease in $\epsilon_b$ by a factor of $\sim 2$ corresponds to a factor of $\sim 2-3$ reduction in the time it takes to reach the runaway mass, $t_{\text{run}}$, for a constant $M_{\text{cl}}$. While runaways are less frequent in lower mass clusters, BH seeds reach runaway $\sim 10$ times faster when decreasing $M_{\text{cl}}$ by an order of magnitude; i.e. $t_{\text{run}} \approx (M_{\text{cl}}/10)(\epsilon_b/0.01)t_{\text{run}}$. These trends follow directly from the fits which produce Fig. 3, expressing that encounter rates of individual BHs tend to decrease with a larger cluster mass, but increase with central density with Georgiev et al. (2016) NSC models. Notably, our fixed double-Plummer models predict the runaway seed BH will reach $10^6 M_\odot$ within $\sim 3 t_{\text{run}}$ across all models. This means our seeds may reach SMBH status within roughly $0.2-1.0$ and $1.2-5.4$ Gyr in $10^6$ and $10^7 M_\odot$ clusters, respectively (excluding $\epsilon_b = 0.05$ models).

3.3 Critical mass

In rudimentary analysis, once the seed BH reaches a ‘critical mass’, $M_{\text{crit}}$, it becomes unlikely that any strong encounter may prevent runaway growth. To find a reasonable boundary on $m_{\text{crit}}$, we define it to be the boundary at which $>50$ per cent of seeds which reach $M_{\text{crit}}$ will also reach $f_{\text{run}}$. We will also limit our calculations to models where more than one seed achieves runaway status. In any other circumstance, there is no way to calculate a robust probability of a runaway occurring with remaining, ‘stalled’ BHs since the assumption of a fixed background becomes increasingly undependable as evolution time is increased.

In this spirit, Fig. 6 details $m_{\text{crit}}$ for each model with a dashed vertical line and conveys several key ideas. First, increasing $M_{\text{cl}}$ dramatically increases the fraction of BHs reaching larger final masses. While increasing the BH sub-cluster density by an order of magnitude (by decreasing $\epsilon_b$ from 0.01 to 0.005) is effective at increasing runaway probability (by $\sim$two to five times with $M_{\text{cl}}$ and $m_b$ held constant), increasing $M_{\text{cl}}$ by an order of magnitude is always more effective, increasing runaway probability by $\sim$10–20 times with $\epsilon_b$ and $m_b$ held constant). In addition, for all models producing a runaway in $M_{\text{cl}} \geq 10^7 M_\odot$, $\epsilon_b = \{0.01, 0.005\}$ clusters, $m_{\text{crit}} - m_{\text{run}} \lesssim 180 M_\odot$.

When comparing runaway probability between $50$- and $100 M_\odot$ seeds, runaways sourced from $100 M_\odot$ seeds are roughly $1.5–10$ times more frequent. Weaker GW recoil kicks follow immediately from an increased initial seed mass, $m_{\text{crit}}$, beyond the typical BH mass, $M_{\text{bh}}$ (i.e. $q_{100} < q_{50} < 0.38$, where $q = 0.38$ is the peak of the GW recoil kick distribution). The smaller $q$ is for non-spinning merging BHs, the smaller the spin of the merger product, helping reduce the magnitude of GW recoil from additional mergers; see Fig. 7 for a comparison of GW recoil kicks to $v_{\text{crit}}$ for various cluster models. An $m_{\text{crit}} = 100 M_\odot$ seed initiated in $(M_{\text{cl}}, \epsilon_b) = (10^7 M_\odot, [0.01, 0.005])$ clusters have $m_{\text{crit}} = m_{\text{run}}$ (binned at $f_{\text{run}} = 10^{-2}$ for convenience), with about $76-89$ per cent of seeds reaching runaway. It is difficult to not produce a runaway from a $100 M_\odot$ seed in these clusters given the extremely large central escape velocities ($>1000$ km s$^{-1}$).

Figure 4. Distribution of final seed masses expressed in terms of the effective runaway fraction, $f_{\text{run}}$ (see equation 39), with each grid member showing the final seed mass distribution with cluster mass, $M_{\text{cl}}$. BH seeds that do not undergo any mergers are deposited into the leftmost bin of each grid ($f_{\text{run}} = 8 \times 10^{-3}$).
Figure 5. All runaway BH seed masses with respect to time, organized by the double-Plummer model ($M_{cl}$, $\epsilon_b$). Each lightly shaded line represents an individual seed’s effective runaway fraction, $f_{\text{run}}$, with respect to time. The red line is a simple exponential fit to the median $f_{\text{run}}$ across all runaway sequences. Thus, the red line shows the mass growth of a ‘typical’ runaway BH. The dashed vertical line denotes the time the median growth curve reaches our runaway threshold, $m_{\text{run}} = 1000 M_\odot$. We do not include $M_{cl} = 10^8 M_\odot$ models because no runaways occur for $m_{s0}/M_\odot = \{50, 100\}$ and $M_{cl} = 10^9 M_\odot$ panels are omitted for $m_{s0} = 50 M_\odot$ due to a lack of runaways. The $m_{s0} = 100 M_\odot$ seed includes the only $M_{cl} \geq 10^8 M_\odot$, $\epsilon_b = 0.05$ model hosting runaways within the 3 Gyr time limit and has been included above the $(10^7 M_\odot, 0.005)$ panel.

Figure 6. The frequency of BH seeds, $N_{\text{run}}/N$, exceeding our effective runaway fraction, $f_{\text{run}}$ (see equation 39). The coloured, dashed lines mark $m_{\text{crit}}$, defined as the point where 50 per cent of seeds will also reach $m_{\text{run}}$ within the 3-Gyr lifetime allowance. In the left-most panels, with the exception of $(m_{s0}, M_{cl}, \epsilon_b) = (100 M_\odot, 10^8 M_\odot, 0.05)$, there are no dashed lines because there are no runaways.
3.4 The importance of hierarchies

While our models produce simple exponential growth rates over the lifetime of a runaway BH seed, the hierarchical interactions fueling them are rich. Other than via explicit integration in direct $N$-body simulations—which are too computationally expensive to study the dense regimes likely to form massive BHs—cluster models often neglect to account for the influence of large hierarchies in BH dynamics. For example, Monte Carlo codes like CMC and MOCCA (see Giersz et al. 2013; Rodriguez et al. 2022, for the respective and most recent comprehensive overviews) incorporate FEWBODY-based scattering only for binary–single and binary–binary interactions. By enabling our BH seed to occupy any sized hierarchy allowed by the physical constraints defined in Section 2.3, we find a 53–95 per cent chance that one or more interactions where $P_i$ contains three or more (3 +) bound BHs will occur over a single sequence in $10^6$- and $10^7$-M⊙ double-Plummer models (Table 2). For the higher mass $10^8$ and $10^9$-M⊙ models, the probabilities range from 4–63 per cent.

The $M_i/M_\odot = \{10^6, 10^7\}$ double-Plummer models constitute the most massive cluster models explorable by common $N$-body stellar dynamics infrastructures (CMC, MOCCA, NBODY6+ etc.). As can be seen in Fig. 8, single–single, binary–single, and binary–binary interactions constitute roughly 65 and 80 per cent of all ‘merger-producing’ interactions in $10^6$ M⊙ and $10^7$ M⊙ clusters, respectively. The approximation that binary–single and binary–binary interactions may encompass >90 per cent of a BH seed’s dynamical history is valid only in clusters with $M_\odot \geq 10^7$ M⊙; regimes the previously

Figure 7. Scatter plot of every GW recoil kick experienced by a seed in all sequences. The kick velocity, $v_{\text{kick}}$, is displayed along the y-axis and the mass ratio, in terms of the mass of the secondary BH, $m_2$, merging with the seed BH, $m_1$, is displayed along the x-axis. The dashed, gray line is the central escape velocity of the double-Plummer cluster. Colour represents the spin of the seed BH, $\chi_1$, at merger. As expected, GW recoil magnitude decreases as the mass ratio, $q$, drifts from the zero-spin peak of $q \approx 0.38$, but tends to be dramatically amplified by spin.
Table 2. Table depicting average values relevant to the net conglomerate of BH lifetime sequences simulated for each of 12 cluster models, with $m_{\odot}/M_\odot = \{50, 100\}$, varying cluster mass, $M_\Delta$.

<table>
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<th>$r_{\infty}$ (km s$^{-1}$)</th>
<th>$v_{c, \text{hl}}$ (km s$^{-1}$)</th>
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Notes. The first six columns detail terms describing the physical characteristics of the cluster models held constant over a sequence while the following columns list statistics of interest. From the left- to right-hand side: average final seed mass, $\langle m_i \rangle$, percentage of BH seeds that escape during the sequence (escape), percentage of BH seeds that merge $N$ times (generation), and the percentage of sequences that hosted a $P_i$ reaching a maximal hierarchy rank $(3, 4, 5)$ at some point during the sequence.

mentioned numerical infrastructures are incapable of probing. In fact, if the dynamical history of a massive BH seed ($\lesssim 100 M_\odot$) is considered within globular cluster-like (GC) cluster masses ($\lesssim 10^5 M_\odot$), about 95 percent of $P_i$ will experience at least one 3 + hierarchical interaction in a sequence, and $\lesssim 50$ percent will experience at least one 4 + hierarchical interaction (Table 3).

The rate of single–single GW capture is inversely proportional to $a_{\infty}$; occurring in about 0.04, 0.3, and 3 percent of interactions in $10^5$–$10^6$, and $10^6$–$M_\odot$ clusters, respectively, for $m_{\odot} = 50 M_\odot$ seeds. Since increasing the density and velocity dispersion of bodies in the BH sub-cluster reduce the lifetime (GW decay time) and physical cross-section of BH clusters relative to $f_{\text{esc}}$ and $a_{\infty}$, respectively, it becomes more likely that the set of strong encounters experienced by the seed will include strong single–single interactions.

Fig. 9 displays the hierarchical configuration of the BH seed and its bound companions at the time of merger. Hierarchical triples, quadruples, and quintuples constitute about 40, 9, and 0.3 percent of configurations, respectively, at the time of merger in an $m_{\odot} = 50 M_\odot$, $M_\Delta = 10^5 M_\odot$ model, none of which are sourced from a seed BH on the runaway track. While rarer in an $M_\Delta = 10^6 M_\odot$ model, triples and quadruples constitute roughly 8 and 0.3 percent hierarchical configurations at the time of merger, respectively, for a BH seed on the runaway track. Within a GC-like cluster profile, the 3 + hierarchical mergers are more probable ($\gtrsim 50$ percent) than isolated binary mergers for massive seeds which do not escape the cluster following five to seven mergers (Fig. 10).

Fig. 11 suggests that binaries live most comfortably with an orbital radius an order of magnitude smaller than the absolute orbital radius threshold of the respective cluster model (see equation (22)). Unsurprisingly, the set of orbital radii of higher order hierarchies congregate at the enforced threshold. This effect is expected because hierarchical stability is most strongly correlated with orbital separation between recursive layers (see Section 2.7). In our models, quintuples are the largest hierarchical configurations we can form frequently enough to provide sample statistics, though sextets and septets form infrequently ($\lesssim 0.1$ per cent of interactions). Fig. 9 suggests that, given a fixed orbital radius threshold, a BH seed becomes more likely to support larger hierarchies at merger as it accumulates mass. Thus, a potential signature of a BH seed which has undergone runaway growth through dynamical encounters may be a large, dynamically stable, (5 +) hierarchical cusp.

To explore the effect that rank 3 + hierarchies have in a BH seed sequence, a set of $m_{\odot} = 50 M_\odot$ models was calculated in CUSP-BUILDING while forcefully separating the outermost orbit(s) of 3+ hierarchical systems at the end of an interaction–limiting interactions to 1+1, 2+1, or 2+2 configurations. We find nominal increases in the runaway probability when hierarchies are allowed to organically form and grow; constituting a factor of 1.03–1.30 increase when compared to the models where separation of 3 + hierarchies is enforced post-interaction. The typical time required to runaway remains unchanged. While hierarchical assembly is likely to play a significant role in a BH seed’s dynamical history, it may only have a nominal effect on runaway frequency or evolution time of any individual seed BH in clusters well described by our fixed double-Plummer models.
Figure 8. For each cluster initial condition, the above set shows the rate of \((N_t, N_p)\) encounters in which the seed mass, \(m_s\), underwent a merger across all sequences; distinguishing between 1st generation mergers and across all generations with bar colour. \(N_t\) and \(N_p\) represent the number of bodies in the target hierarchy and projectile system, respectively. For example, (2,1) and (1,2) are binary–single encounters, (3,2) is a triple-binary, etc. The top panel (a) adopts an initial seed mass \(m_{s0} = 50\,M_\odot\) and the bottom panel (b) adopts an initial seed mass \(m_{s0} = 100\,M_\odot\).
3.5 Escapes

Seeds are unlikely to stall and will either escape through strong velocity perturbations or cross the runaway threshold, \( m_{\text{run}} \); the exceptions are models where \( t_{\text{ms}} \) is a significant fraction of our 3 Gyr evolution time, namely (\( M_{\text{d}} \), \( e_{\text{s}} \)) = \( \{10^8 M_{\odot}, \{0.05, 0.01\}\} \). While dynamical kicks play a small role in ejecting seeds in lower mass star clusters, constituting up to 45 per cent of 50-\( M_{\odot} \) BH seed ejections in \( 10^6 M_{\odot} \) cluster models, GW recoil is most responsible for abruptly halting the growth of a BH seed in every double-Plummer model.

Escaping BHs are most likely to leave their host clusters by their second merger across all models (Fig. 12). This is due to the kick amplification caused by a spinning BH seed. Since the spin of the seed BH is usually highest after the first merger, with successive mergers tending to slowly reduce the spin (Fig. 13), it follows that the most powerful GW recoil kicks peak at second-generation mergers – this effect is well depicted in Fig. 7. Since all BHs in our sequences are initiated non-spinning, the zero-spin curve (shown in blue) is prominent as it exclusively hosts first-generation mergers; second-generation mergers run the gamut of the \{mass ratio\}/\{kick velocity\} parameter space, almost unanimously amplifying the strength of GW recoil kicks by \( \sim 2\)–10 times the zero-spin curve for spins between 0.4 and 0.8.

Across all models, except \( \{10^9 M_{\odot}, 0.05\} \), if a seed is to escape its host cluster, it will most likely do so by 1 Gyr, escaping before 0.5 Gyr has elapsed in most models. The time of escape is directly correlated to the merger rate since GW recoils are the primary form of ejection. The merger rate decreases with cluster mass, as expressed in the movement and spread of escaping ‘clumps’ depicted in Fig. 14. The reader may notice two clumps in many models. These clumps are a direct result of first-generation GW recoil kicks which eject the seed from the BH sub-cluster, but fail to eject the seed from the macro-cluster. This prompts a time delay for the seed to decay back to the centre of the cluster through dynamical friction, at which point it has another chance to merge with another projectile. This clumping tendency also appears in Fig. 4. It should be noted that BH seeds with initial mass 50-\( M_{\odot} \) are at a disadvantage to attain runaway status compared to 100 \( M_{\odot} \) seeds. This is because the \( m_{\text{d0}} = 50 M_{\odot} \) BH will have a spin of about 0.5 by the time it reaches 100 \( M_{\odot} \), dramatically increasing the average GW recoil magnitude at \( m_x = 100 M_{\odot} \) in comparison to a non-spinning, \( m_{\text{d0}} = 100 M_{\odot} \) BH seed.

3.6 Varying seed mass in low-mass clusters

Earlier works (Portegies Zwart et al. 2004; Kremer et al. 2020; González et al. 2021; Weatherford et al. 2021) found that low-mass clusters are inherently capable of forming massive BH seeds through collisions of massive stars at early times. While this is a promising development for populating the BH upper mass gap, the question of cluster retention requires further exploration. González Prieto et al. (2022) explore retention through standard, cluster Monte Carlo models and finds that the vast majority of BH seeds with \( m_{\text{d0}} \leq 300 M_{\odot} \) are ejected from their host cluster, about 64 per cent through GW recoil; Martinez et al. (in preparation) also explore ejection probabilities using small-N scattering experiments and comes to a similar conclusion. BH seed retention in low-mass clusters is also explored in this work in addition to runaway tendency using CUSPBUILDING. For GC-type masses, we find that the critical mass to undergo runaway is \( \gtrsim 350 M_{\odot} \) and will likely be ejected otherwise (Fig. 15), in agreement with González Prieto et al. (2022).

Following the \( m_{\text{d0}}/M_{\odot} = \{50, 100\} \) models discussed previously, GW recoil kicks remain the primary source of ejection – most likely ejecting a BH seed following its second merger due to the kick amplification a spinning seed provides (Figs 16 and 17). We find a 100 per cent ejection probability for 50–150 \( M_{\odot} \) BH seeds. The most likely end-state for \( m_{\text{d0}} \geq 200 M_{\odot} \) BH seeds is GW recoil ejection from the host cluster, but runaway is almost guaranteed for the few BH seeds which are not ejected by their 10th merger (Figs 16, 18 and Table 3). These seeds constitute 0.1–0.7, 2.5–6.4, and 12.9–26.5 of 200-, 250-, and 300-\( M_{\odot} \) BH seeds in \( e_{\text{s0}} = \{0.01, 0.005\} \) models, respectively (Table 3). In addition, the only \( e_{\text{s0}} = 0.05 \) models that host a runaway are sourced from \( m_{\text{d0}}/M_{\odot} = \{250, 300\} \) sequences. The probability of runaways here is small (< 1 per cent), with > 99 per cent of BH seeds escaping. This suggests clusters with \( v_{\text{esc}, \text{cl}} \approx 80–100 \text{ km s}^{-1} \) prohibit multi-generation seed growth and retention if \( m_{\text{d0}} \lesssim 250 M_{\odot} \).
Figure 9. The probability, $N_i/N$, of a BH seed merger to occur within hierarchy rank, $N_{\text{hier}}$. Colour bars are organized by $\Delta m = m_i - m_{i0}$, where $m_i$ is the seed mass post merger and $m_{i0}$ is the initial seed mass. The top panel (a) adopts an initial seed mass $m_{i0} = 50 M_\odot$ and the bottom panel (b) adopts an initial seed mass $m_{i0} = 100 M_\odot$. Lower density clusters feature mergers in a broader range of hierarchy types.
Figure 10. The probability, $N/N_h$, of a BH seed merger to occur within hierarchy rank, $N_h$. Colour bars are organized by $\Delta m = m_s - m_{s0}$, where $m_s$ is the seed mass post merger and $m_{s0}$ is the initial seed mass. Sub-plots are labelled with initial seed mass and scale parameter ($m_{s0}, \epsilon_b$). As seed mass increases, hierarchical mergers become more prevalent than isolated binary mergers.

Figure 11. Violin plot displaying the spread of target hierarchy orbital radii, $a_t$, which survive to the next interaction. Internal blue lines are the median of each hierarchy violin plot, the dashed green line is a reference hard-soft boundary for a binary consisting of a 100-$M_\odot$ seed and 20-$M_\odot$ companion, and the dashed red line is the orbital radius limit defined at 10 per cent of the average interparticle distance of each model, beyond which bound orbits are broken up.

Despite runaway being a common end-state for $\gtrsim 200-M_\odot$ seeds, $P_t$ ejects $\gtrsim 90$ per cent of the BH reservoir ($N_{bh}$; see equation 12) during every runaway sequence through dynamical kicks. Background statistics (see Table 1) are fixed independent of what happens to reservoir BHs allowing sequences to continue uninterrupted, but the validity of a static reservoir is heavily challenged in low-mass clusters. Fig. 19 displays the ending mass of a BH seed if a sequence was abruptly halted at the
Figure 12. Bar chart displaying the fraction of escaping seed BHs that escape following their Nth merger. The zeroth generation refers to a seed that escaped without ever undergoing a merger, and the first generation refers to a seed escaping following one merger, etc. The orange line is the cumulative distribution that caps at unity when all escaping BHs have been considered.
point $0.9 \, M_{\odot}$ BHs were ejected from the double-Plummer cluster. Unless it is assumed that the BH sub-cluster is replenished at a rate of about 40 BHs/Myr, the average BH escape rate across all sequences, then the maximal mass an $m_{\odot} = 200-300 \, M_{\odot}$ runaway may reach in our $M_d = 10^6 \, M_{\odot}$ cluster model is between 500 and 800 $M_{\odot}$.

### 3.7 Varying binary fraction in high-mass clusters

Throughout this work, we have held $f_b$ constant. In reality, the evolving binary fraction is likely dependent on the evolving cluster properties and vice versa (Heggie & Hut 2003; Chatterjee, Rodríguez & Rasio 2017). We reserve for future work a more detailed treatment of the binary fraction, especially as it relates to three-body-binary formation within the cores of dense stellar clusters (Heggie & Hut 1993). For now, to explore the possible role of binary fraction on our results, we present a small subset of simulations with varying binary fraction. We vary $f_b$ in an $(M_d, e_s) = [10^6 \, M_{\odot}, 0.01]$ model seeded with a 50-$M_{\odot}$ BH.

As expected, the rate of large hierarchy ($N > 2$) mergers increases with $f_b$ as well as the probability of $N_s + N_p > 3$ interactions immediately preceding a merger (Fig. 20). Despite this, and following from the trends observed in Figs 8 and 9, mergers within $N > 2$ hierarchies remain less than 20 percent of all merger scenarios, even for a 99 percent binary fraction. While substantial, it is clear that the high-velocity BH cores of high-mass clusters are not suitable environments for maintaining 3+ hierarchies. In addition, we find that the rate of runaways is largely unchanged across $f_b$, ranging between 1 and 2 percent of all sequences for all $f_b$ without a (presently) resolvable trend.

The most substantial effect increasing $f_b$ has on our BH sequences is to increase the encounter rate and, in turn, reduce the time to runaway, $t_{\text{run}}$, (Fig. 21). This is expected, since BBHs have a much larger cross-section than stellar mass BH singles. The time to runaway spans an order of magnitude, between 1.5 and 0.5 Gyr for $f_b = 1$ and 99 percent, respectively.

### 4 DISCUSSION AND FUTURE CONSIDERATIONS

The primary methods explored for producing MBHs from smaller BH seeds include (i) accretion of matter from local gaseous environments (e.g. Alexander & Natarajan 2014; Mayer et al. 2015; Pezzulli et al. 2016; Pacucci et al. 2017; Dittmann & Miller 2020), (ii) mergers of galaxies hosting sub-massive BHs, (iii) collapse from repeat stellar collisions (e.g. González et al. 2021), and (iv) repeat mergers with other BHs (e.g. Arca Sedda et al.; Kremer et al. 2020; Weatherford et al. 2021; Askar et al. 2022). Unfortunately, accretion growth is limited as a source for the rapid growth of seed BHs due to the fragile conditions necessary to maintain (super-)Eddington accretion rates, with numerous self-feedback and environmental mechanisms limiting its viability (Inayoshi, Visbal & Haiman 2020). Galaxy–galaxy mergers tend to occur on time-scales on the order of a Hubble Time, and are categorically left out of consideration for rapid BH growth as a consequence.

There are several considerations which would further increase the plausibility of our calculations. The basis of our models is to consider static, double-Plummer clusters. The evolution of an NSC over 3 Gyr is likely extremely complex and may not be faithfully represented with a static double-Plummer system, even for 1 Gyr of evolution time. Evolving the global and local properties in time – such as the half-mass radii, total cluster mass, BH mass and spin distributions, binary fraction, and velocity dispersion – using up-to-date analytic or semi-analytic prescriptions of stellar cluster evolution will be implemented in Paper II. A BH reservoir with initially spinning BHs would likely reduce the fraction of BH seeds reaching runaway for any initial BH seed mass by further amplifying the effect GW recoil kicks have on retention and runaway probabilities (e.g. Rodríguez et al. 2019).

Repeat BH–BH mergers in CUSPBUILD were performed within the context of dense and gas-poor NSCs. A gas-rich environment could increase gas drag on massive bodies (Rozner & Perets 2022), further condensing a central BH population and likely increasing merger rates. The possibility of a BH seed encountering an object other than a BH has also been ignored, but could provide another avenue for mass growth (e.g. Giersz et al. 2015; Rose et al. 2022) and potentially increase the relevance of gas-drag should the seed retain bound stellar material after a stellar collision.

In a single interaction, we only considered the possibility of an individual binary or single BH system interacting with $P_s$. Though rare, three-hierarchy interactions are likely to occur and could further increase the availability of rich dynamical interactions to probe, especially in the densest cluster models. A self-consistent method for three separate hierarchies to interact simultaneously will be considered in future work.

The tendency of an individual BH seed to be retained and runaway has been the focus of this work, but NSCs may contain many potential seeds over several Gyrs. This suggests that a small runaway probability for an individual BH seed may translate to a large runaway probability in an NSC. The possibility for two seeds to simultaneously and independently runaway has also been ignored, but would, instead, threaten the sustained growth of massive BH seeds. The probability of two seeds merging at the centre of a BH sub-cluster would be large and resultant GW recoil kicks would be powerful, pushing our predicted runaway regimes to higher critical masses.

As shown in Fig. 19, assuming a large and constant BH reservoir is not self-consistent for lower mass ($\sim 10^6 \, M_{\odot}$) NSCs in isolation. GCs tend to migrate to the central regions of galaxies through dynamical
Growing black holes in galactic nuclei

Figure 14. A grid of scatter plots displaying the time, $t_{\text{esc}}$, when a seed mass escapes from its cluster; its mass expressed in terms of the effective runaway fraction. The colour indicates the spin magnitude at the time of escape. Note that, in many models, there are BH seeds escaping at their initial mass ($f_{\text{run}} = 0$); these are all escapees due to dynamical, non-GW recoil kicks and are not displayed here.

Friction effects and inevitably merge with central NSCs (Arcas-Sedda & Capuzzo-Dolcetta 2014; Fahrion et al. 2022; Fragione 2022). If a reasonable fraction of the mass of migrating GCs is composed of stellar mass BHs, BH losses from mergers and/or recoil kicks in the central NSC may be replenished. Thus, it may not be unreasonable to assume a roughly static reservoir of BHs under some contexts. Given a reservoir which tends to replenish BHs lost to recoil kicks and mergers, the ultimate numerical destiny of a seed on the runaway track is to become an SMBH ($>10^5 M_\odot$); in some cases, within 1 Gyr. Given the context that our models implement the fits of Georgiev et al. (2016), where many individual NSC's are denser than the results of the 'late-type' numerical fit we selected, it may not be unreasonable to consider these calculations a conservative estimate on the likelihood of BH runaway in the early universe. We
Figure 15. The fraction of BH seeds, $N_m/N$, exceeding the effective runaway fraction, $f_{\text{run}}$ (see equation 39), in our low-mass $M_\odot = 10^6 M_\odot$ models. The coloured, dashed lines mark $m_{\text{crit}}$ (in terms of $f_{\text{run}}$), defined as the point where 50 per cent of seeds will also reach $m_{\text{run}}$ within the 3-Gyr evolution time. The $m/M_\odot = \{250, 300\}$ seeds produce the only sequences with a finite probability of reaching $m_{\text{run}}$ in an $\epsilon_b = 0.05$ double-Plummer model across any set of initial conditions considered in this work.

Figure 16. Bar chart displaying the fraction of escaping seed BHs that escape following their $N$th merger in our $10^6 M_\odot$ double-Plummer models. The zeroth generation refers to a seed that escaped without ever undergoing a merger, first generation refers to a seed escaping following one merger, etc. The orange line is the cumulative distribution, capping at unity when all escaping BHs have been considered.

Note that the fits we use are relative to present time (small $z$) and do not consider the contributions of a pre-existing SMBH to density profiles.

5 CONCLUSION

The evolution of 50–300 $M_\odot$ seed BHs in our NSC models provides novel insight into the repeat merger prospects of BH seeds within various host cluster environments. On time-scales of $\sim$0.01–1 Gyr following their formation (Figs 5 and 14), BH seeds born in dense clusters will accumulate mergers rapidly enough to either escape their host cluster through (primarily GW) recoil kicks or quickly grow too heavy to be ejected. This suggests that BHs between 50 and 300$M_\odot$ should be very rare in dense massive clusters older than $\sim$1 Gyr. In a sense, rapid mergers enforce a dynamically mediated mass gap between 50 and 300$M_\odot$ in an NSC. Under the context of runaway time-scales that we predict in our persistently dense BH reservoirs, this mass gap may extend far beyond 300$M_\odot$.

The most likely end-state of an individual BH seed is to escape its host cluster except in the heaviest ($\sim 10^9 M_\odot$) cluster models. GW recoil kicks with at least one spinning member subject the BH merger product to violent kicks often surpassing the clus-
Figure 17. Distribution of final seed masses expressed in terms of the effective runaway fraction, $f_{\text{run}}$ (see equation 39), within our low-mass cluster models. Each grid member tracks the final seed mass distribution from an initial seed mass, $m_s$. BH seeds that do not undergo a merger during a sequence are deposited into the leftmost bin of each grid ($f_{\text{run}} = 8 \times 10^{-3}$).

Figure 18. A grid depicting the fraction of BH seeds of initial mass, $m_s$, retained in $M_\text{cl} = 10^6 M_\odot$ clusters with density scaling parameter, $\epsilon_b$. The dotted lines represent the fraction of seed BHs reaching our runaway threshold, $m_{\text{run}} = 1000 M_\odot$, by time, $t$.

Figure 19. Distribution of runaway BH mass in our low-mass cluster expressed at the point at which (> 90 per cent) of the BH reservoir was ejected during the sequence of encounters. Alternatively stated, encounters $P_i$ has with $\{P_p\}$ have a finite chance of dynamically ejecting a BH to infinity; if we assume ~1 per cent of our $10^6 M_\odot$ cluster mass is in BHs and the average BH mass is about $20 M_\odot$, then there are 500 BHs in our static clusters. Therefore, $m_{\text{seed}}$ is the seed mass at the point when ~450 (non-seed) BHs had escaped to infinity during the sequence of encounters.
Figure 20. From the left- to right-hand side, each panel corresponds to a different binary fraction, $f_b$. Top panel: fraction of $(N_i, N_p)$ scatterings in which the seed undergoes a merger, akin to Fig. 8. Bottom panel: fraction of seeds undergoing merger within a certain hierarchy rank, akin to Fig. 9. As expected, the rate of larger hierarchy interactions increases as $f_b$ increases.

Figure 21. Akin to Fig. 5, the solid lines are simple exponential fits to the median effective runaway fraction $f_{\text{run}}$ across all runaway sequences for each binary fraction, $f_b$. The dashed vertical line denotes the time the median growth curve reaches our runaway threshold, here set to $m_{\text{run}} = 800 M_{\odot}$ for computational efficiency. As $f_b$ increases, the time to cross the runaway threshold decreases; this is expected since the encounter rate increases with $f_b$.

...central escape velocity. Since our seeds are initiated with zero spin, it is second- and third-generation mergers with spun-up seeds that act as the primary obstacle to runaway growth. Upon surpassing this generational threshold, the mass of the BH seed becomes large compared to the typical mass of the surrounding BH reservoir, suppressing GW recoil kicks of merger remnants. The likelihood of runaway is nearly guaranteed across all models if the seed does not escape following the seventh merger.

Mass versus spin distributions of our seeds agree with previous findings (see Section 1). First and second-generation mergers fall between $\chi_{\text{eff}} = 0.5$ and 0.75. As runaway occurs, back-to-back mergers tend to spin down the seed to dimensionless spin magnitudes of $\sim 10^{-2} - 10^{-1}$ (Fig. 13).

Two dominant factors determine the seed sequence end-state (i.e. runaway, stall, or escape): the cluster’s core escape velocity and dynamical friction time-scale. The former controls the ease of BH ejection from the core, which either stalls continued runaway growth or brings it to a halt if the BH escapes the cluster entirely. The former controls how quickly a kicked BH returns to the core, and thereby how long such stalls last. As intuitively expected, the frequency of runaway growth increases in proportion to $M_{\text{cl}}$, $\rho_{\text{c, bb}}$, and $m$, primarily affecting $v_{\text{c, bb}}$, and $t_{\text{kick}}$, respectively. Independent of runaway status, both the mean and variance of the final mass of each seed increases with cluster mass.

Low-mass ($\leq 10^3 M_{\odot}$) clusters struggle to retain BHs with runaway growth except in cases where a very large seed is already present — e.g. our clusters with $\{m_s, M_{\text{cl}}\} = [100 M_{\odot}, 10^3 M_{\odot}]$, or $\{m_s, M_{\text{cl}}\} = [\geq 200 M_{\odot}, 10^3 M_{\odot}]$. In the most challenging ini-
tial conditions for runaway growth, our models predict a 50 M⊙ seed has a 1.0–3.7% per cent chance of runaway within a 10^5 M⊙ cluster, a 100-M⊙ seed has a 0.5% per cent chance of runaway within a 10^3 M⊙ cluster, and a 200-M⊙ seed has a 0.1–0.7% per cent chance of runaway within a 10^5 cluster with probabilities of runaway growth dramatically increasing with m*, M*, and ρc, tb. Notably, a 100-M⊙ BH has a 76–89% per cent chance of achieving runaway within our 10^9 M⊙ cluster environment, ensuring a near guarantee of runaway growth within such a densely populated stellar community if even a handful of 50–100 M⊙ BHs form in these environments (Tables 2, 3; Figs 6, 4, 17, 18).

Lower mass clusters (Mcl = 10^5–10^7 M⊙) with low escape speeds feature encounter rates amplified by stronger gravitational focusing. The enhanced encounter rate ensures a speedy conclusion to a seed’s dynamical history (< 100 Myr to escape or runaway), with seeds being ejected in (> 99 per cent) of sequences. Though expanding onto a runaway growth ‘track’ in an ~10^7 M⊙ cluster is possible for high-mass BH seeds of 200–300 M⊙, frequent dynamical ejections of stellar-mass BH projectiles produce the primary bottleneck to runaway growth (Fig. 19). In these runaways, the seed hierarchies dynamically eject > 90 per cent of the number of BHs in the sub-cluster before a BH seed can exceed about 500–800 M⊙. Depletion of the BH reservoir ceases to be a serious concern in our simulations for Mcl > 10^7 M⊙ clusters within our 3-Gyr evolution time and m* = 1000 M⊙ runaway threshold.

For computational efficiency, the formation and dynamics of triples and higher-order hierarchies is typically neglected in cluster modelling. Our calculations help to inform the impact these higher-order hierarchies have on mergers, merger dynamics, and runaways. The survivability of multi-component hierarchies in clusters is constrained by two limiting factors: (i) the average inter-particle distance limiting the maximum size a hierarchy may expand into and (ii) the GW merger time-scale. If the latter is comparable to or smaller than the encounter time-scale, the innermost orbit will decay before another interaction may occur, reducing or completely splitting the hierarchy. Given these limitations, multi-component hierarchical assembly still plays a significant part in the merger history of BH seeds. We find that mergers occurring within large, 3 + hierarchies total about 45 and 11 per cent (30 per cent for runaway track BHs) of all mergers in clusters of mass 10^6 and 10^7 M⊙, respectively (Fig. 9). We also find that mergers during an interaction involving a seed hierarchy of rank 3 + contribute roughly 30 and 5 per cent of all mergers in clusters of mass 10^6 and 10^7 M⊙, respectively (Fig. 8). Unsurprisingly, the contribution to the overall rate from 3 + hierarchy mergers in the 10^6–10^7 M⊙ clusters is very low (about 8–10 per cent for runaway track BHs), due to the small inter-particle distance that limits the size of stable hierarchies in such massive clusters.

In accordance with our results, the most likely environment for rapid and unrestrained runaway BH growth are young and dense NSCs as they are likely to provide a population rich in stellar mass BHs and a gravitational potential well deep enough to retain BH merger products. We predict that a runaway track BH of mBH > 10^4 M⊙ should form within any ~10^3 M⊙ NSC roughly 100–300 Myr following the assembly of a BH sub-cluster. This finding is subject to significant uncertainty in how well our assumed static double-Plummer model describes an NSC that does not yet host an IMBH/SMBH. High-redshift observations from JWST will help assess the validity of our young NSC models and inform future developments.

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DATA AVAILABILITY

The data underlying this paper will be shared on reasonable request to the corresponding author.

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