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Published in:
Journal of the Australian Mathematical Society

DOI:
10.1017/S1446788719000168

Publication date:
2020

Document version
Peer reviewed version

Document license:
Other

Citation for published version (APA):

Download date: 13. nov., 2021
CUNTZ–KRIEGER ALGEBRAS AND ONE-SIDED CONJUGACY OF
SHIFTS OF FINITE TYPE AND THEIR GROUPOIDS

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Abstract. A one-sided shift of finite type \((X_A, \sigma_A)\) determines on the one hand a
Cuntz–Krieger algebra \(O_A\) with a distinguished abelian subalgebra \(D_A\) and a certain
completely positive map \(\tau_A\) on \(O_A\). On the other hand, \((X_A, \sigma_A)\) determines a
groupoid \(\mathcal{G}_A\) together with a certain homomorphism \(\epsilon_A\) on \(\mathcal{G}_A\). We show that this completely
characterizes the one-sided conjugacy class of \(X_A\). This strengthens a result of J. Cuntz
and W. Krieger. We also exhibit an example of two irreducible shifts of finite type
which are eventually conjugate but not conjugate. This provides a negative answer to
a question of K. Matsumoto of whether eventual conjugacy implies conjugacy.

1. Introduction

In [6], J. Cuntz and W. Krieger initiated what has turned out to be a very fascinating
study of the symbiotic relationship between operator algebras and symbolic dynamics.
Given a finite square \(\{0,1\}\)-matrix \(A\) with no zero rows or zero columns, they construct a
\(C^*\)-algebra \(O_A\) which is now called the Cuntz–Krieger algebra of \(A\) with a distinguished
abelian \(C^*\)-subalgebra \(D_A\) called the diagonal subalgebra. Under a certain condition (I)
(this is later generalized to condition (L) of graphs), \(O_A\) is a universal \(C^*\)-algebra and
there is an action of the circle group \(\mathbb{T} \curvearrowright O_A\) called the gauge action.

The matrix \(A\) also determines both a one-sided and a two-sided shift space of finite
type (see, e.g., [8], [10]) denoted \((X_A, \sigma_A)\) and \((\bar{X}_A, \bar{\sigma}_A)\), respectively. In fact, any one-
sided (resp., two-sided) shift of finite type is conjugate to \((X_A, \sigma_A)\) (resp., \((X_A, \bar{\sigma}_A)\))
for some finite square \(\{0,1\}\)-matrix \(A\) with no zero rows or zero columns. The spectrum
of the above mentioned abelian \(C^*\)-subalgebra \(D_A\) is homeomorphic to \(X_A\) in a natural
way.

In [6, Proposition 2.17], J. Cuntz and W. Krieger proved that the data consisting of
the \(C^*\)-algebra \(O_A\), the diagonal \(D_A\), the gauge action and the restriction to the diagonal
of a certain completely positive map \(\phi_A : O_A \rightarrow O_A\) is an invariant of the one-sided
conjugacy class of \(X_A\) provided \(A\) satisfies condition (I).

In [5], J. Cuntz also showed the following two results under the hypothesis that the
defining matrices as well as their transposes satisfy condition (I): A flow equivalence
between the two-sided shift spaces \((X_A, \bar{\sigma}_A)\) and \((X_B, \bar{\sigma}_B)\) implies the existence of a *
-isomorphism \(O_A \otimes \mathbb{K} \rightarrow O_B \otimes \mathbb{K}\) which sends \(D_A \otimes C\) onto \(D_B \otimes C\), where \(C\) is the
canonical maximal abelian subalgebra of the \(C^*\)-algebra \(\mathbb{K}\) of compact operators on an
infinite-dimensional separable Hilbert space; a two-sided conjugacy between \((X_A, \bar{\sigma}_A)\)
and \((\bar{X}_B, \bar{\sigma}_B)\) implies the existence of a diagonal-preserving \(*\)-isomorphism between the stabilized \(C^*\)-algebras as above which also intertwines the gauge actions. Both of these results were also present in \([6]\) under the additional assumptions that the defining matrices are irreducible and aperiodic.

From a one-sided shift space \((X_A, \sigma_A)\) one can construct an amenable locally compact Hausdorff étale groupoid \(G_A\) whose \(C^*\)-algebra \(C^*(G_A)\) is isomorphic to \(O_A\) in a way that maps \(D_A\) onto \(C(G_A^{(0)})\). Using this groupoid, H. Matui and K. Matsumoto in \([14]\) improved the work of J. Cuntz and W. Krieger when they showed that flow equivalence between shift spaces determined by irreducible and non-permutation \{0,1\}-matrices \(A\) and \(B\) is equivalent to the existence of a diagonal-preserving \(*\)-isomorphism between the stabilized Cuntz–Krieger algebras. This result was later proved in \([2]\) to hold for any pair of finite square \{0,1\}-matrices \(A\) and \(B\) with no zero rows and no zero columns. In \([3]\), the second-named author and J. Rout used a similar approach to prove that \((\bar{X}_A, \bar{\sigma}_A)\) and \((\bar{X}_B, \bar{\sigma}_B)\) are conjugate (only assuming that \(A\) and \(B\) have no zero rows or zero columns) if and only if there exists a diagonal preserving \(*\)-isomorphism between the stabilized Cuntz–Krieger algebras which intertwines the gauge actions. Thus, one can recover the two-sided shift space \((\bar{X}_A, \bar{\sigma}_A)\) both up to flow equivalence and up to conjugacy from its Cuntz–Krieger algebra \(O_A\).

In \([11]\), K. Matsumoto introduced the notion of continuous orbit equivalence of one-sided shift spaces of finite type and showed that for irreducible and non-permutation \{0,1\}-matrices \(A\) and \(B\), the one-sided shift spaces \((X_A, \sigma_A)\) and \((X_B, \sigma_B)\) are continuously orbit equivalent if and only if there is a diagonal preserving \(*\)-isomorphism (i.e., a \(*\)-isomorphism that maps \(D_A\) onto \(D_B\)) between the Cuntz–Krieger algebras \(O_A\) and \(O_B\). Building on the reconstruction theory of J. Renault in \([17]\), H. Matui and K. Matsumoto observed in \([14]\) that this is equivalent to isomorphism of the groupoids \(G_A\) and \(G_B\). These results were in \([2]\) shown to hold for any pair of finite square \{0,1\}-matrices \(A\) and \(B\) with no zero rows and no zero columns.

Given irreducible and non-permutation \{0,1\}-matrices \(A\) and \(B\), K. Matsumoto proved that the stronger notion of eventual conjugacy of one-sided shifts is completely characterized by diagonal-preserving \(*\)-isomorphism of the Cuntz–Krieger algebras which intertwines the gauge actions, see \([12]\). This is generalized to any pair of finite square \{0,1\}-matrices \(A\) and \(B\) with no zero rows and no zero columns in \([3]\). K. Matsumoto then asks the question whether eventual conjugacy is equivalent to conjugacy. In the wake of the above mentioned results, the question can be rephrased as to whether diagonal preserving \(*\)-isomorphism of Cuntz–Krieger algebras intertwining the gauge actions actually characterizes conjugacy (see \([12]\) Remark 3.6] or \([13]\) p. 1139).

In this paper, we address this question and the characterization of one-sided conjugacy of finite type shift spaces in relation to \([6]\) Proposition 2.17. Given any finite square \{0,1\}-matrix \(A\) with no zero rows or zero columns, we introduce a continuous groupoid homomorphism \(\epsilon_A: G_A \to G_Y\) which induces a completely positive map \(\tau_A: O_A \to O_A\). This is different but related to the completely positive map \(\phi_A: O_A \to O_A\) considered in \([6]\). We show that for a pair of finite square \{0,1\}-matrices \(A\) and \(B\) with no zero rows and no zero columns, the one-sided shift spaces \((X_A, \sigma_A)\) and \((X_B, \sigma_B)\) are conjugate if and only if there is a diagonal preserving \(*\)-isomorphism \(\Psi: O_A \to O_B\) that intertwines \(\tau_A\) and \(\tau_B\), if and only if there is a groupoid isomorphism \(\Phi: G_A \to G_B\) that intertwines \(\epsilon_A\) and
and $\epsilon_B$. We also show that these conditions are equivalent to the existence of a diagonal preserving $*$-isomorphism between $O_A$ and $O_B$ that intertwines both the gauge actions and $\phi_A|D_A$ and $\phi_B|D_B$, and thus show that [6 Proposition 2.17] holds also for matrices that do not satisfy condition (I). Finally, we exhibit an example of two irreducible shifts of finite type which are eventually conjugate but not conjugate. This shows that conjugacy is strictly stronger than eventual conjugacy and this answers K. Matsumoto’s question in the negative.

2. Notation and preliminaries

In this section we briefly recall the definitions of the one-sided shift space $X_A$, the groupoid $G_A$, and the Cuntz–Krieger algebra $O_A$ together with the subalgebra $D_A$ and the gauge action $\gamma: \mathbb{T} \curvearrowright O_A$. We let $\mathbb{Z}, \mathbb{N} = \{0, 1, 2, \ldots\}$ and $\mathbb{C}$ denote the integers, the non-negative integers and the complex numbers, respectively. Let $\mathbb{T} \subseteq \mathbb{C}$ be the unit circle group.

2.1. One-sided shifts of finite type. Let $N$ be a positive integer and let $A \in M_N(\{0, 1\})$ be a matrix with no zero rows and no zero columns. The set

$$X_A := \{x = (x_n)_{n \in \mathbb{N}} \in \{1, \ldots, N\}^\mathbb{N} \mid A(x_n, x_{n+1}) = 1, \ n \in \mathbb{N}\}$$

is a second countable compact Hausdorff space in the subspace topology of $\{1, \ldots, N\}^\mathbb{N}$ (equipped with the product topology). Together with the shift operation $\sigma_A: X_A \rightarrow X_A$ given by $(\sigma_A(x))_n = x_{n+1}$ for $x \in X_A$ and $n \in \mathbb{N}$, the pair $(X_A, \sigma_A)$ is the one-sided shift of finite type determined by $A$. In the literature (e.g., [5], [6], [11], [14]), $(X_A, \sigma_A)$ is often referred to as the one-sided topological Markov chain determined by $A$. The reader is referred to [10] for an excellent introduction to the general theory of shift spaces.

A finite string $\alpha = \alpha_0 \alpha_1 \cdots \alpha_{n-1}$ with $\alpha_i \in \{1, \ldots, N\}$ is an admissible word (or just a word) of length $|\alpha| = n$ if $A(\alpha_{i-1}, \alpha_i) = 1$ for $i = 1, \ldots, n - 1$. Equivalently, there exists $x = x_0 x_1 x_2 \cdots \in X_A$ and $j \in \mathbb{N}$ such that $\alpha = x_{[j,j+n]}$. If $\alpha = x_{[i,j]}$ and $\beta = y_{[i',j']}^\prime$ are words, then $\alpha \beta y_{[i',\infty)}^\prime \in X_A$ if and only if $A(x_{j-1}, y_{j'})^\prime = 1$ in which case the concatenation $\alpha \beta$ is again a word. The topology of $X_A$ has a basis consisting of compact open sets of the form

$$Z_\alpha = \{x \in X_A \mid x_{[0,|\alpha|]} = \alpha\} \subseteq X_A$$

where $\alpha$ is a word. Let $(X_A, \sigma_A)$ and $(X_B, \sigma_B)$ be one-sided shifts of finite type and let $h: X_A \rightarrow X_B$ be a homeomorphism. Then $h$ is a one-sided conjugacy (or just a conjugacy) if $h \circ \sigma_A = \sigma_B \circ h$.

2.2. Groupoids. Let $A$ be a finite square $\{0, 1\}$-matrix with no zero rows and no zero columns. The Deaconu-Renault groupoid [7] associated to the one-sided shift of finite type $(X_A, \sigma_A)$ is

$$G_A := \{(x, n, y) \in X_A \times \mathbb{Z} \times X_A \mid \exists k, l \in \mathbb{N}, \ n = k - l: \sigma^k_A(x) = \sigma^l_A(y)\}$$

with unit space $G_A^{(0)} = \{(x, 0, x) \in G_A \mid x \in X_A\}$. The range map is $r(x, n, y) = (x, 0, x)$ and the source map is $s(x, n, y) = (y, 0, y)$. The product $(x, n, y)(x', n', y')$ is well-defined if and only if $y = x'$ in which case it equals $(x, n + n', y')$ while inversion is given by $(x, n, y)^{-1} = (y, -n, x)$. We can specify a topology on $G_A$ via a basis consisting of sets of the form

$$Z(U, k, l, V) := \{(x, k - l, y) \in G_A \mid x \in U, y \in V\},$$

where $U$ and $V$ are open subsets of $X_A$.

CUNTZ–KRIEGER ALGEBRAS AND ONE-SIDED CONJUGACY OF SHIFTS OF FINITE TYPE 3
where $k, l \in \mathbb{N}$ and $U, V \subseteq X_A$ are open such that $\sigma^k_A|_U$ and $\sigma^l_A|_V$ are injective and $\sigma^k_A(U) = \sigma^l_A(V)$. If $\alpha, \beta$ are words with the same final letter, we write

$$Z(\alpha, \beta) := Z(Z_{\alpha, |\alpha|, |\beta|}, Z_{\beta}).$$

With this topology, $G_A$ is a second countable, étale (i.e., $s, r: G_A \to G_A$ are local homeomorphisms onto $G_A(0)$) and locally compact Hausdorff groupoid. Throughout the paper, we identify the unit space $G_A(0)$ of $G_A$ with $X_A$ via the map $(x, 0, x) \mapsto x$. By, e.g., [19], Lemma 3.5, $G_A$ is amenable, so the reduced and the full groupoid $C^*$-algebras coincide. We shall refer to the groupoid homomorphism $c_A: G_A \to \mathbb{Z}$ given by $c_A(x, n, y) = n$ as the canonical continuous cocycle. The pre-image $c_A^{-1}(0) = \{(x, 0, y) \in G_A \mid x, y \in X_A\}$ is a principal subgroupoid of $G_A$.

2.3. Cuntz–Krieger algebras. Let $A$ be an $N \times N$ matrix with entries in $\{0, 1\}$ and no zero rows and no zero columns. The Cuntz–Krieger algebra $O_A$ is the universal unital $C^*$-algebra generated by partial isometries $s_1, \ldots, s_N$ subject to the conditions

$$s_i^* s_j = 0 \quad (i \neq j), \quad s_i^* s_i = \sum_{j=1}^{N} A(i, j) s_j s_j^*$$

for every $i = 1, \ldots, N$. A word $\alpha = \alpha_1 \cdots \alpha_{|\alpha|}$ defines a partial isometry $s_\alpha := s_{\alpha_1} \cdots s_{\alpha_{|\alpha|}}$ in $O_A$. The diagonal subalgebra $D_A$ is the abelian $C^*$-algebra generated by the range projections of the partial isometries $s_\alpha$ inside $O_A$. The algebras $D_A$ and $C(X_A)$ are isomorphic via the correspondence $s_\alpha^* s_\alpha \leftrightarrow \chi_{Z_\alpha}$, where $\chi_{Z_\alpha}$ is the indicator function on $Z_\alpha$. If $A$ is irreducible and not a permutation matrix, then $O_A$ is simple and $D_A$ is maximal abelian in $O_A$; in fact, it is a Cartan subalgebra in the sense of [17]. The gauge action $\gamma^A: T \curvearrowright O_A$ is determined by $\gamma^A(s_i) = zs_i$, for every $z \in \mathbb{T}$ and $i = 1, \ldots, N$. The corresponding fixed point algebra is denoted $F_A$.

The Cuntz–Krieger algebra is a groupoid $C^*$-algebra in the sense that there is a $*$-isomorphism $O_A \to C^*(G_A)$ which sends the canonical generators $s_i$ to the indicator functions $\chi_i = \chi_{Z(x(r(i)))}$, for $i = 1, \ldots, N$ (see, e.g., [9]). This map takes $D_A$ to $C(G_A^0)$ (the latter is identified with $C(X_A)$) and $F_A$ to $C^*(c_A^{-1}(0))$. The canonical cocycle $c_A: G_A \to \mathbb{Z}$ defines an action $\gamma^c_A$ on $C^*(G_A)$ as

$$\gamma^c_A(f)(x, n, y) = z^{c_A(x, n, y)} f(x, n, y) = z^n f(x, n, y),$$

for $f \in C_c(G_A)$ and $(x, n, y) \in G_A$. In particular, $\gamma^c_A(s_i) = zs_i$ for $i = 1, \ldots, N$ so $\gamma^c_A$ is the gauge action $\gamma^A$ restricted to $C_c(G_A)$.

Throughout the paper we shall suppress this $*$-isomorphism and simply identify the algebras. The existence of a linear injection $j: C^*(G_A) \to C_0(G_A)$ allows us to think of elements in $O_A$ as functions on $G_A$ vanishing at infinity, cf. [18] or [19]. We shall do this whenever it be convenient. The inclusion $\iota: G_A^0 \to G_A$ induces a conditional expectation $d_A: O_A \to D_A$, see [3, Remark 2.18] and [16, II, Proposition 4.8]. In light of the above, we can think of $d_A(f)$ as the restriction of $f \in O_A \subseteq C_0(G_A)$ to $X_A$ where we identify $X_A$ with $G_A^0$. 
3. The results

Let \( A \) be an \( N \times N \) matrix with entries in \( \{0, 1\} \) and no zero rows and no zero columns, and let \( s_1, \ldots, s_N \) be the canonical generators of \( \mathcal{O}_A \). In \([6]\), J. Cuntz and W. Krieger consider a completely positive map \( \phi_A : \mathcal{O}_A \to \mathcal{O}_A \) given by

\[
\phi_A(y) = \sum_{i=1}^{N} s_i y s_i^*,
\]

for \( y \in \mathcal{O}_A \). The map \( \phi_A \) restricts to a \(*\)-homomorphism \( \mathcal{D}_A \to \mathcal{D}_A \). Under the identification of \( \mathcal{D}_A \) and \( C(\mathcal{X}_A) \) we have the relation \( \phi_A(f)(x) = f(\sigma_A(x)) \) for \( f \in \mathcal{D}_A \) and \( x \in \mathcal{X}_A \), cf. \([6]\) Proposition 2.5).

Put \( s := \sum_{i=1}^{N} s_i \). In this paper, we shall also consider the completely positive map \( \tau_A : \mathcal{O}_A \to \mathcal{O}_A \) defined by

\[
\tau_A(y) := \text{sys}^* = \sum_{i,j=1}^{N} s_j y s_i^*,
\]

for \( y \in \mathcal{O}_A \). Note that \( \mathcal{F}_A \) is generated by \( \bigcup_{k=0}^{\infty} \tau_A^{k}(\mathcal{D}_A) \) and \( \tau_A(\mathcal{F}_A) \subseteq \mathcal{F}_A \). On the level of groupoids, we consider the homomorphism \( \epsilon_A : \mathcal{G}_A \to \mathcal{G}_A \) given by

\[
\epsilon_A(x, n, y) := (\sigma_A(x), n, \sigma_A(y)),
\]

for \( (x, n, y) \in \mathcal{G}_A \). Suppose \( (x_i, n_i, y_i) \to (x, n, y) \) in \( \mathcal{G}_A \) as \( i \to \infty \) and suppose \( Z(\mu, \nu) \) is any basic open set containing \( (\sigma_A(x), n, \sigma_A(y)) \). Then \( n_i = n \) and \( \sigma_A(x_i) \in Z_\mu \) and \( \sigma_A(y_i) \in Z_\nu \) eventually by continuity of \( \sigma_A \). Hence \( (\sigma_A(x_i), n_i, \sigma_A(y_i)) \in Z(\mu, \nu) \) eventually, so \( (\sigma_A(x_i), n_i, \sigma_A(y_i)) \to (\sigma_A(x), n, \sigma_A(y)) \) as \( i \to \infty \) and \( \epsilon_A \) is continuous.

This induces a map \( \epsilon_A^* : C_c(\mathcal{G}_A) \to C_c(\mathcal{G}_A) \) given by \( \epsilon_A^*(f) = f \circ \epsilon_A \), for \( f \in C_c(\mathcal{G}_A) \).

\begin{lemma}
\[\text{The map } \tau_A : \mathcal{O}_A \to \mathcal{O}_A \text{ extends the map } \epsilon_A^* \text{ defined above and we have } d_A \circ \tau_A|_{\mathcal{D}_A} = \phi_A|_{\mathcal{D}_A} \text{.} \]
\end{lemma}

\begin{proof}
The generators \( s_i \) in \( \mathcal{O}_A \) correspond to the indicator functions \( \chi_i \) in \( C_c(\mathcal{G}_A) \). Inside the convolution algebra we thus have

\[
\sum_{i,j=1}^{N} \chi_j \ast (f \ast \chi_i^*)(x, n, y) = \sum_{j=1}^{N} \sum_{(z, m, y) \in \mathcal{G}_A} \chi_j(x, n - m, z)f(z, m + 1, \sigma_A(y))
\]

\[
= f(\sigma_A(x), n, \sigma_A(y)),
\]

for \( f \in C_c(\mathcal{G}_A) \) and \( (x, n, y) \in \mathcal{G}_A \). Here, \( \ast \) denotes the convolution product in \( C_c(\mathcal{G}_A) \). The maps \( \epsilon_A^* \) and \( \tau_A \) therefore agree on \( C_c(\mathcal{G}_A) \). A computation similar to the above shows that \( d_A(\tau_A(f)) = \phi_A(f) \), for \( f \in \mathcal{D}_A \).
\end{proof}

For the proof of Theorem \[\ref{3.3}\] we need the following lemma which might be of interest on its own.

\begin{lemma}
Let \( A \) and \( B \) be finite square \( \{0, 1\} \)-matrices with no zero rows and no zero columns, and let \( \Psi : \mathcal{F}_A \to \mathcal{F}_B \) be a \(*\)-isomorphism such that \( \Psi(\mathcal{D}_A) = \mathcal{D}_B \). Then \( \Psi(d_A(f)) = d_B(\Psi(f)) \) for all \( f \in \mathcal{F}_A \). If, in addition, \( \Psi \circ \tau_A|_{\mathcal{F}_A} = \tau_B \circ \Psi \), then \( \Psi \circ \phi_A|_{\mathcal{D}_A} = \phi_B \circ \Psi|_{\mathcal{D}_A} \).
\end{lemma}
the groupoid isomorphisms \( \Phi \).

As we shall see in the proof, if

Remark 3.4.

\( h \) (containing \( \ast \) isomorphism as in (iii) (or (ii)), then the

\[ [4, \text{Theorem 3.3}] \] and \[ [15, \text{Proposition 5.7}] \] and its proof, there is a groupoid isomorphism

\( \kappa : c_B^{-1}(0) \rightarrow c_A^{-1}(0) \) and a groupoid homomorphism \( \xi : c_A^{-1}(0) \rightarrow \mathbb{T} \) such that

\[ \Psi(f)(\eta) = \xi(\kappa(\eta))f(\kappa(\eta)), \]

for \( f \in F_A \) and \( \eta \in c_B^{-1}(0) \). In particular, \( \Psi(f)(x) = f(\kappa(x)) \) for \( x \in X_B \) when we

identify \( x \in X_B \) with \( (x, 0, x) \in G_B(0) \). For \( x \in X_B \), we then see that

\[ \Psi(f)(x) = f|_{X_A}(\kappa(x)) = \Psi(f|_{X_A})(x), \]

that is, \( \Psi \circ d_A = d_B \circ \Psi \). If, in addition, \( \Psi \circ \tau_A|_{F_A} = \tau_B \circ \Psi \), then

\[ \Psi(\phi_A(f)) = (\psi(d_A(\tau_A(f)))) = d_B(\tau_B(\psi(f))) = \phi_B(\psi(f)), \]

for \( f \in D_A \).

Proof. The groupoids \( c_A^{-1}(0) \) and \( c_B^{-1}(0) \) are principal. By \[ [17, \text{Proposition 4.13}] \] (see also

[11, Theorem 3.3]) and \[ [15, \text{Proposition 5.7}] \] and its proof, there is a groupoid isomorphism

\( \Phi : c_B^{-1}(0) \rightarrow c_A^{-1}(0) \) and a groupoid homomorphism \( \xi : c_A^{-1}(0) \rightarrow \mathbb{T} \) such that

\[ \Psi(f)(\eta) = \xi(\kappa(\eta))f(\kappa(\eta)), \]

for \( f \in F_A \) and \( \eta \in c_B^{-1}(0) \). In particular, \( \Psi(f)(x) = f(\kappa(x)) \) for \( x \in X_B \) when we

identify \( x \in X_B \) with \( (x, 0, x) \in G_B(0) \). For \( x \in X_B \), we then see that

\[ \Psi(f)(x) = f|_{X_A}(\kappa(x)) = \Psi(f|_{X_A})(x), \]

that is, \( \Psi \circ d_A = d_B \circ \Psi \). If, in addition, \( \Psi \circ \tau_A|_{F_A} = \tau_B \circ \Psi \), then

\[ \Psi(\phi_A(f)) = (\psi(d_A(\tau_A(f)))) = d_B(\tau_B(\psi(f))) = \phi_B(\psi(f)), \]

for \( f \in D_A \).

We now arrive at our main theorem. If \( A \) and \( B \) are finite square \( \{0,1\} \)-matrices with

no zero rows and no zero columns, then any isomorphism \( \Phi : G_A \rightarrow G_B \) restricts to a

homeomorphism from \( G_A(0) \) to \( G_B(0) \) and thus induces a homeomorphism from \( X_A \) to \( X_B \)

via the identification of \( X_A \) with \( G_A(0) \) and the identification of \( X_B \) with \( G_B(0) \). We denote

the latter homeomorphism by \( \Phi(0) \).

Theorem 3.3. Let \( A \) and \( B \) be finite square \( \{0,1\} \)-matrices with no zero rows and no

zero columns, and let \( h : X_A \rightarrow X_B \) be a homeomorphism. The following are equivalent.

(i) The homeomorphism \( h : X_A \rightarrow X_B \) is a conjugacy.

(ii) There is a groupoid isomorphism \( \Phi : G_A \rightarrow G_B \) satisfying \( c_B \circ \Phi = c_A \) and \( \Phi(0) = h \),

and

\[ \Phi \circ \epsilon_A = \epsilon_B \circ \Phi. \tag{2} \]

(iii) There is a groupoid isomorphism \( \Phi : G_A \rightarrow G_B \) satisfying \( \Phi(0) = h \) and \[ [2] \).

(iv) There is a *-isomorphism \( \Psi : O_A \rightarrow O_B \) satisfying \( \Psi \circ \gamma_A^z = \gamma_B^z \circ \Psi \) for all \( z \in \mathbb{T} \), \( \Psi \circ d_A = d_B \circ \Psi \), \( \Psi(f) = f \circ h^{-1} \) for all \( f \in D_A \), \( \Psi \circ \phi_A|_{D_A} = \phi_B \circ \Psi|_{D_A} \)

and

\[ \Psi \circ \tau_A = \tau_B \circ \Psi. \tag{3} \]

(v) There is a *-isomorphism \( \Psi : O_A \rightarrow O_B \) satisfying \( \Psi(D_A) = D_B \), \( \Psi(f) = f \circ h^{-1} \)

for all \( f \in D_A \), and \[ [3] \).

(vi) There is a *-isomorphism \( \Theta : D_A \rightarrow D_B \) satisfying \( \Theta(f) = f \circ h^{-1} \) for all \( f \in D_A \),

and \( \Theta \circ \phi_A|_{D_A} = \phi_B \circ \Theta \).

Remark 3.4. As we shall see in the proof, if \( h : X_A \rightarrow X_B \) is a conjugacy, then

the groupoid isomorphisms \( \Phi : G_A \rightarrow G_B \) in (ii) and (iii) can be chosen such that

\( \Phi((x, n, y)) = (h(x), n, h(y)) \) for \( (x, n, y) \in G_A(0) \). Also, if \( \Phi : G_A \rightarrow G_B \) is a groupoid

isomorphism as in (iii) (or (ii)), then the *-isomorphisms \( \Psi : O_A \rightarrow O_B \) in (iv) and (v)

and \( \Theta \circ \phi_A|_{D_A} = \phi_B \circ \Theta \).

Proof. (i) \( \implies \) (ii): If \( h : X_A \rightarrow X_B \) is a conjugacy we can define a groupoid ho-

morphism \( \Phi : G_A \rightarrow G_B \) by \( \Phi((x, n, y)) = (h(x), n, h(y)) \) for each \( (x, n, y) \in G_A \).

It is clear that \( \Phi \) is a bijective algebraic homomorphism. In order to see that \( \Phi \) is continuous, suppose \( (x_i, n_i, y_i) \rightarrow (x, n, y) \) in \( G_A \) as \( i \rightarrow \infty \) and pick \( Z(\mu, \nu) \subseteq G_B \)

containing \( (h(x), n, h(y)) \). Note that \( n_i \) eventually equals \( n \). As \( h \) is continuous, we have \( h(x_i) \in Z(\mu) \) and \( h(y_i) \in Z(\nu) \) eventually, hence \( h(x_i, n_i, y_i) \in Z(\mu, \nu) \) eventually, so
$h(x_i, n, y_i) \rightarrow h(x, n, y)$ as $i \rightarrow \infty$. The argument for $\Phi^{-1}$ is symmetric, so $\Phi$ is a groupoid isomorphism which satisfies $c_B \circ \Phi = c_A$ and $\Phi(0) = h$. As $h$ is a conjugacy, $\Phi$ also satisfies (2).

The implications (ii) $\implies$ (iii) and (iv) $\implies$ (v) are obvious.

(iii) $\implies$ (v) and (ii) $\implies$ (iv): A groupoid isomorphism $\Phi: \mathcal{G}_A \rightarrow \mathcal{G}_B$ with $\Phi(0) = h$ induces a $*$-isomorphism $\Psi: \mathcal{O}_A \rightarrow \mathcal{O}_B$ with $\Psi \circ d_A = d_B \circ \Psi$. In particular, $\Psi(D_A) = D_B$ and $\Psi(f) = f \circ h^{-1}$, for $f \in D_A$. Since $\Phi$ satisfies (2), we also have $\Psi \circ \tau_A = \tau_B \circ \Psi$. This is (v). If, in addition, $\Phi$ satisfies $c_B \circ \Phi = c_A$, then $\Psi(s_i) = 1_{\Phi(Z(i,r(i)))} \in c_B^{-1}(\{0\})$ so

$$\Psi(\gamma_A^i(s_i)) = z\Psi(1_{\Phi(Z(i,r(i)))}) = \gamma_B^i(\Psi(s_i)),$$

for $i = 1, \ldots, N$. It follows that $\Psi \circ \gamma_A^i = \gamma_B^i \circ \Psi$, for every $z \in T$. In particular, this implies that $\Psi(F_A) = F_B$. By Lemma 3.2 it follows that $\Psi \circ \phi_A|_{D_A} = \phi_B \circ \Psi|_{D_A}$. This is (iv).

(v) $\implies$ (vi): If $\Psi$ preserves the diagonal and satisfies (3), then

$$\Psi\left(\bigcup_{k=0}^{\infty} \tau_A^k(D_A)\right) = \bigcup_{k=0}^{\infty} \tau_B^k(D_B).$$

As $F_A$ is generated by $\bigcup_{k=0}^{\infty} \tau_A^k(D_A)$ as a C$^*$-algebra, it follows that $\Psi(F_A) = F_B$. Lemma 3.2 then entails that $\Psi \circ \phi_A|_{D_A} = \phi_B \circ \Psi|_{D_A}$.

(vi) $\implies$ (i): The relation $\Theta \circ \phi_A|_{D_A} = \phi_B \circ \Theta$ and the fact that $\phi_A(f)(x) = f(\sigma_A(x))$ for $f \in D_A$ and $x \in X_A$ ensures that $h$ is a conjugacy by Gelfand duality.

**Corollary 3.5.** Let $A$ and $B$ be finite square $\{0, 1\}$-matrices with no zero rows and no zero columns. The following are equivalent.

1. The one-sided shifts $(X_A, \sigma_A)$ and $(X_B, \sigma_B)$ are one-sided conjugate.
2. There is a groupoid isomorphism $\Phi: \mathcal{G}_A \rightarrow \mathcal{G}_B$ satisfying $\Phi \circ \epsilon_A = \epsilon_B \circ \Phi$.
3. There is a $*$-isomorphism $\Psi: \mathcal{O}_A \rightarrow \mathcal{O}_B$ satisfying $\Psi(D_A) = D_B$ and $\Psi \circ \tau_A = \tau_B \circ \Psi$.

One-sided shifts $(X_A, \sigma_A)$ and $(X_B, \sigma_B)$ are eventually conjugate if there exist a homeomorphism $h: X_A \rightarrow X_B$ and $L \in \mathbb{N}$ such that

$$\sigma_B^L(h(\sigma_A(x))) = \sigma_A^{L+1}(h(x)), \quad \sigma_A^L(h^{-1}(\sigma_B(y))) = \sigma_B^{L+1}(h^{-1}(y)),$$

for $x \in X_A$ and $y \in X_B$. The above theorem should be compared to [12] Theorem 3.5 and [3] Corollary 4.2, which characterize one-sided eventual conjugacy.

**Example 3.6.** Consider the following two graphs.

```
E:  
  d -------- f  
  |        |    
  c -------> c 
    ^   ^    
    a   b    

F:  
  e' -------- f'  
  |        |    
  e'' -----> e' 
    ^   ^    
    a'  b'    
```
Let $X_E$ be the one-sided edge shift of $E$ and let $X_F$ be the one-sided edge shift of $F$. Define a homeomorphism $h: X_E \to X_F$ by sending $(x_n)_{n \geq 0} \in X_E$ to $(y_n)_{n \geq 0} \in X_F$ where

$$y_n = \begin{cases} a', & \text{if } n > 0 \text{ and } x_{n-1} = e, \\ (x_n)', & \text{otherwise}. \end{cases}$$

E.g., $h(ebcaf \ldots) = e'a'e'af' \ldots$ while $h(\sigma_E(ebcaf \ldots)) = h(bcaf \ldots) = b'c'af' \ldots$ and $h^{-1}(a'e'af' \ldots) = aebf \ldots$. Observe that

$$\sigma^2_F(h(x)) = \sigma_F(h(\sigma_E(x))), \quad \sigma^2_E(h^{-1}(y)) = \sigma_E(h^{-1}(\sigma_F(y))),$$

for $x \in X_E$ and $y \in X_F$. Hence $X_E$ and $X_F$ are eventually conjugate. On the other hand, the total amalgamation of $E$ is the graph

\[ \begin{array}{c}
\bullet \\
\downarrow \\
\bullet \\
\downarrow \\
\bullet \\
\end{array} \]

while the total amalgamation of $F$ is $F$ itself. It thus follows that $X_E$ and $X_F$ are not conjugate, cf. e.g., [10, Section 13.8] or [8, Theorem 2.1.10].

References


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