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Space Telescope and Optical Reverberation Mapping Project. XI. Disk-wind Characteristics and Contributions to the Very Broad Emission Lines of NGC 5548


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Abstract

In 2014 the NGC 5548 Space Telescope and Optical Reverberation Mapping campaign discovered a two-month anomaly when variations in the absorption and emission lines decorrelated from continuum variations. During this time the soft X-ray part of the intrinsic spectrum had been strongly absorbed by a line-of-sight (LOS) obscurer, which was interpreted as the upper part of a disk wind. Our first paper showed that changes in the LOS obscurer produces the decorrelation between the absorption lines and the continuum. A second study showed that the base of the wind shields the broad emission-line region (BLR), leading to the emission-line decorrelation. In that study, we proposed the wind is normally transparent with no effect on the spectrum. Changes in the wind properties alter its shielding and affect the spectral energy distribution (SED) striking the BLR, producing the observed decorrelations. In this work we investigate the impact of a translucent wind on the emission lines. We simulate the obscuration using XMM-Newton, NuSTAR, and Hubble Space Telescope observations to determine the physical characteristics of the wind. We find that a translucent wind can contribute a part of the He II and Fe Kα emission. It has a modest optical depth to electron scattering, which explains the fainter far-side emission in the observed velocity-delay maps. The wind produces the very broad base seen in the UV emission lines and may also be present in the Fe Kα line. Our results highlight the importance of accounting for the effects of such winds in the analysis of the physics of the central engine.

Unified Astronomy Thesaurus concepts: Seyfert galaxies (1447); Active galaxies (17); Active galactic nuclei (16)

1. Introduction

The broad emission-line region (BLR) is closely associated with the central regions and the supermassive black hole (SMBH) in active galactic nuclei (AGNs). Reverberation mapping (RM; Blandford & McKee 1982; Peterson 1993) can determine the geometry and kinematics of the BLR, which can be used to infer the mass of the BH (Horne et al. 2004).

RM uses the time delay between the continuum and emission-line variations to determine the responsivity-weighted distance to the line-emitting region (Peterson et al. 2004), which is commonly taken to represent a characteristic size scale of the BLR. The time delay is, in fact, the travel time of the ionizing photons from the inner accretion disk region to the BLR gas. The duration of the delay depends on the causal connection between the broad emission-line gas and the ionizing continuum emission. This causal connection is one of the fundamental principles of RM.

In 2014, the most intensive RM campaign, AGN Space Telescope and Optical Reverberation Mapping (STORM;
De Rosa et al. 2015; Edelson et al. 2015; Fausnaugh et al. 2016; Goad et al. 2016; Mathur et al. 2017; Pei et al. 2017; Starkey et al. 2017; Dehghanian et al. 2019a; Kriss et al. 2019, observed the AGN NGC 5548 for six months. This unique data set has revealed several unexpected results. For a period of ~2 months mid-way through the campaign, the continuum and broad emission-line variations were observed to decorrelate (Goad et al. 2016), the so-called “emission-line holiday.” At almost the same time, the continuum and narrow absorption lines also decorrelated (Kriss et al. 2019), the “absorption-line holiday.” These spectral holidays, along with the presence of an X-ray obscurer in our line of sight (LOS) to the SMBH (Kaastra et al. 2014), distinguish the 2014 version of NGC 5548 from normal AGNs. There is no part of the standard AGN scenario that produces holidays, so clearly something fundamental is missing (Dehghanian et al. 2019a, 2019b, hereafter D19a and D19b). This is an opportunity to determine the physics controlling the spectral holiday, to study AGN feedback, and to develop scenarios about this central activity that affects the evolution of galaxies.

D19a show that the variation of the LOS obscurer covering factor (CF) produces the observed absorption-line holiday. Swift observations (Mehdipour et al. 2016) show that the absorption-line variations correlate with the CF (Figure 12 of D19a), so are consistent with this interpretation. D19b propose that the LOS obscurer is the upper part of a symmetric cylindrical disk wind that originates from the inner parts of the accretion disk and is interior to the BLR. As argued by D19b, the base of the wind forms an equatorial obscurer, filtering the spectral energy distribution (SED) before the ionizing photons strike the BLR, leading to the observed emission-line holiday.

In this work, we create potential models for the equatorial obscurer. Unlike the LOS obscurer, which can be studied by its absorption of the SED, the geometry and characteristics of the base of the wind are unknown. It does not absorb along our LOS, however, it filters the SED of the photons that reach the BLR. In the following Section we use STORM BLR observations to infer the properties of the obscurer. We use these constraints to narrow down the parameters and we propose a final model that not only reproduces the emission-line holiday (D19b), but is also consistent with the observations, while reproducing the absorption-line holiday (D19a).

Our preferred model of the base of the wind is also a major contributor to the observed broad iron Kα line. Both disk winds and broad Fe Kα emission are considered to be common properties of AGNs, and we propose that the SED filtering through the wind is too.

2. Physical Models of the Equatorial Obscurer

In this paper we consider new models of the equatorial obscurer. We do not provide new models of the BLR but rather rely on the results of D19b. Figure 4 of D19b shows that the equatorial obscurer will lead to a holiday if hydrogen is fully ionized and a He+ ionization front is present within it (their Case 2). All models in this paper have a column density adjusted so that the optical depth is 8 at 4 Rydberg. This optical depth belongs to the left threshold of Case 2 in D19b, and ensures the presence of the emission-line holiday.

We adopt the SED of Mehdipour et al. (2015) in CLOUDY (developer version, Ferland et al. 2017) and an open geometry for the equatorial obscurer. An open geometry is appropriate when the emission-line cloud CF is small since diffuse emission is assumed to escape from the AGN. The global BLR CF is about 50% (integrated cloud covering fraction; Korista & Goad 2000) and the equatorial obscurer must cover at least this much. So, it is intermediate between an open and closed geometry. Inspired by Figure 1 of D19b, we adopt an open geometry. In order to make our predictions more accurate, we increased the number of levels to $n = 100$ for H-like atoms. This allows a better representation of the collision physics that occurs within higher levels of the atom. We also set the spectral resolution to 5000 km s$^{-1}$. Changing the velocity width does not resolve the lines but changes the line-to-continuum contrast ratio to simulate a spectrometer measuring an unresolved line. We further assume photospheric solar abundances (Ferland et al. 2017).

With the assumptions above, we computed two-dimensional grids of photoionization models, similar to those of Korista et al. (1997). Each grid consists of a range of total hydrogen density, $10^{10}$ cm$^{-3}$ $<$ $n$(H) $<$ $10^{18}$ cm$^{-3}$, and a range of incident ionizing photon flux, $10^{20}$ s$^{-1}$ cm$^{-2}$ $<$ $\phi$(H) $<$ $10^{24}$ s$^{-1}$ cm$^{-2}$.

The right vertical axis on all plots (Figures 1–3) shows the distance from the incident ionizing continuum source in light days. The flux of ionizing photons $\phi$(H), the total ionizing photon luminosity $Q$(H), and the distance in light days are related by

$$\phi(H) = \frac{Q(H)}{4\pi r^2}. \quad (1)$$

For the SED of Mehdipour et al. (2015) and the observed luminosity of $L$ (1–1000 Ryd) = $2 \times 10^{44}$ erg s$^{-1}$, the $Q$(H) = $1.81 \times 10^{54}$ s$^{-1}$.

The STORM campaign reports observed lags between 2 and 9 light days for various strong emission lines (De Rosa et al. 2015, Table 4). In Figure 1, we show contours of the predicted obscurers column density. As mentioned earlier, we maintain a constant optical depth of 8 at an energy of 4 Rydbergs, the lower limit to have a holiday (D19b, Figure 4).

Next, we combine these predictions with the observations to derive the properties of the equatorial obscurer.

Before going on, we establish a nomenclature for the different components that we discuss in this paper. For the case of UV lines, Goad et al. (2016) and many other previous works report the total “time-averaged broad emission line (BEL) equivalent widths (EWs)” We refer to this as the “total” emission. Subsequent work by Kriss et al. (2019) models this total emission as the combination of three components: a “broad” component, a “medium broad” component, and a “very broad” component. The sum of the two first components (broad and medium broad) dominates in the line core, and we refer to them as the BLR/core. For C IV line, these components have FWHMs of 3366 ± 15 and 8345 ± 20 km s$^{-1}$, with an average of ~5000 km s$^{-1}$. Our calculations in Section 3 suggest that the very broad component (FWHM = 16367 ± 18 km s$^{-1}$; Kriss et al. 2019) forms in the equatorial obscurer. For reference, Table 1 of Kriss et al. (2019) reports that the very broad component of C IV comprises almost 47% of the total emission.

For Fe Kα, Cappi et al. (2016) report the presence of a time-steady “narrow” component with an upper-limit of 2340 km s$^{-1}$ on the line width, or to be specific, FWHM $< 5500$ km s$^{-1}$. This is very similar to the BLR component of C IV (broad plus medium broad; Kriss et al. 2019). Assuming the line is broadened by orbital motions, and adopting the BH mass quoted by Cappi et al. (2016),

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23 Refer to Section 2.3.4 of the CLOUDY documentation (Ferland et al. 2017).
they argue that this component forms a few light days away from the central source (0.006 pc), consistent with the lag observed for C IV. We refer to this component as the “BLR” Fe Kα emission. The signal-to-noise (S/N) ratios of the X-ray spectra do not permit definitive detection of the very broad component modeled in the Hubble Space Telescope (HST) data, although Cappi et al. (2016) note that there appears to be a broad, redshifted component underlying the Fe Kα profile.

3. Wind Properties from the Observations

The equatorial obscurer has a higher column density than the LOS obscurer since it is closer to the accretion disk, the site where the wind is launched. The orange line in Figure 1 shows the column density of the LOS obscurer, N(H) = 1.2 × 1023 cm⁻² (Kaast et al. 2014). The orange arrow shows the direction of possible higher column density obscurers.

The horizontal dashed black line indicates the location of the BLR adopting the C IV lag reported by De Rosa et al. (2015). To ensure that the base of the wind is located between the central SMBH and the BLR, we must choose an obscurer with a smaller distance (higher flux of ionizing photons) from the continuum source, than that for the BLR, the region suggested by the black arrow.

As Figure 1 shows, lines with constant column density are almost parallel for N(H) > 1021 cm⁻², and their values increase toward the upper-left corner, closer to the source. These lines also represent a nearly constant ionization parameter, which increases toward the upper-left corner.

The properties of the equatorial obscurer are constrained by observations. The equatorial obscurer is a source of emission itself since energy is conserved, and it must re-radiate the energy that is absorbed. If the equatorial obscurer emission is strong enough, then it produces a second emission-line region between the original BLR and the source. Since re-emission by the obscurer is not evident in the observations, we must find a model of the obscurer, which not only explains the holiday, but also does not dominate the strong lines seen by HST and XMM-Newton. To do this, we considered the total observed equivalent widths (EWs) of strong emission lines from the STORM data (Goad et al. 2016; Pei et al. 2017) and the total luminosity of Fe Kα observed by XMM-Newton (Mehdipour et al. 2015).

In general, an obscuring cloud may cover only a small fraction of the continuum source, as in the leaky LOS obscurer shown in Figure 6 of D19a, or it can fully cover the continuum source (CF = 100% in their figure). Here we assume that the equatorial obscurer fully covers the central object along the LOS of the BLR, which is the preferred situation explained by D19b.

We wish to directly compare our predictions with the observations. We report all lines as EW relative to the continuum at 1215 Å so that ratios of EWs are the same as ratios of intensities.

The EW is proportional to the ratio of a line luminosity to the continuum. We assume that the continuum is isotropic and that HST had an unextinguished view of it. The continuum luminosity is not affected by the equatorial obscurer’s CF. The luminosities of lines emitted by the equatorial obscurer are linearly proportional to the equatorial global CF, the fraction of 4π steradian covered by the obscurer. The equatorial obscurer CF is not known but must be at least 50% if it is to shield the BLR. We report EWs for full coverage with the understanding that the actual EW of the obscurer is

$$\text{EW(omniscer) = } \frac{\Omega}{4\pi} \times \text{EW(pred) } \sim 50\% \text{ EW(pred).} \quad (2)$$

On the other hand, the equatorial obscurer is not a dominant contributor to the emission lines. As a first step in the modeling, we set a limit so that the amount of emission from
the obscurer is less than half of the total emission. To choose this value, we were motivated by the ratio of the flux of very broad C IV to the flux of total observed C IV, 47%, as measured by Kriss et al. (2019):

$$\text{EW(obscurer)} \leq 50\% \times \text{EW(observed)}.$$  

(3)

Based on Equations (2) and (3), the two factors of 50% cancel

$$\text{EW(pred)} \leq \text{EW(observed)},$$  

(4)

which means any model of the equatorial obscurer that produces lines with EW less than the observed total values are allowed. We map the obscurer’s predicted emission lines in

Figure 2. Upper four panels show the predicted EW of strong lines emitted by the equatorial obscurer as the contours. The colored lines indicate the HST observed value and arrows show the direction in which the equatorial obscurer must be chosen in order for its emission to not dominate the HST emission lines. All the EWs are relative to the 1215 Å continuum. The lowest panel shows the predicted luminosity of Fe Kα as the contours and the blue lines show the XMM-Newton observed values.

Figure 2. We also include the observed values as colored lines in each panel. The arrows show the physical conditions where the obscurer will not dominate the emission-line fluxes of observed HST spectrum.

The lowest panel of Figure 2 shows the predicted luminosity of Fe Kα for full coverage. When the obscurer is highly ionized, Fe Kα is strong (dark orange). It becomes weaker in the extreme upper-left corner where the obscurer is fully ionized. In this regime, there are few bound electrons and there is no iron emission line or edge. The observed time-averaged value of its luminosity for the 2013 campaign is $$(2.0 \pm 0.3) \times 10^{41} \text{ erg s}^{-1}$$ (Mehdipour et al. 2015) and is indicated by the blue lines in Figure 2.
Satisfying the constraints from Equations (2) and (3) guarantees that the obscurer does not produce strong emission lines. For the rest of the modeling, we assume this holds for all lines except He II and broad Fe Kα. As discussed below, the lag profiles measured by Horne et al. (2020) show that He II forms very close to the central source. We assume that all of the UV He II comes from the obscurer. The Fe Kα forms very close to the central source. We assume that all of the major contribution to the He II and Fe Kα emissions. Any other wind selected from region B will be very close to the central source and will emit lines much broader than what was observed.

The parameters for our final preferred model, \( \phi(H) \approx 10^{22.5} \text{s}^{-1} \text{cm}^{-2}, n(H) \approx 10^{12} \text{cm}^{-3}, T \approx 5 \times 10^4 \text{K}, \) and \( \tau_e \approx 0.1 \) are shown with a star in Figure 3. A wind with these parameters is our favorite model in region A, since it has a major contribution to the He II and Fe Kα emissions. Any other wind selected from region A will emit lower values of the mentioned lines. These conditions place the wind/equatorial obscurer at about one light day from the central source. Please note that although the mentioned hydrogen density seems to correspond to the changing look portion of Figure 4 of D19b, since the current paper has adopted a different \( \phi(H) \) for the equatorial obscurer, the ionization parameter is nearly the same as case 2 in D19b. This means an obscurer with mentioned \( \phi \) (H) and \( n(H) \) belongs to the case 2 discussed in D19b and reproduces the holiday. This was expected since by keeping the optical depth constant, we made sure that all of the models in this paper belong to case 2 of D19B.

Figure 4 compares our predictions for the C IV and Fe Kα line profiles with the observations. To illustrate our preferred model (panels A and C), we adopt a SMBH mass of \( M = (5.2 \pm 0.2) \times 10^7 M_\odot \) (Bentz & Katz 2015). Assuming Keplerian motion and the equations given in the first paragraph in Section 5.1 of Cappi et al. (2016), the lines produced by the equatorial obscurer have a FWHM of 18,500 \pm 3500 \text{ km s}^{-1}. The more recent BH mass estimations are about 50% larger than our adopted value.
obscurer produces a very broad component similar to C IV is present, although the S/N for which the obscurer produces a very broad component can be responsible for the observed very broad emission-line components. The FWHM is consistent with Kriss et al. We adopt the mass determined by Bentz & Katz in our model, since the line width estimation depends on the BH mass and causes 20% uncertainty on the FWHM. This represents the uncertainty in the BH mass measurements and causes 20% uncertainty on the FWHM of our model, since the line width estimation depends on the BH mass. We adopt the mass determined by Bentz & Katz (2015), to be consistent with Kriss et al. (2019).

Figure 4’s panels A (theory) and B (HST observations) show the case for C IV, in which we are using arbitrary vertical offsets in flux, simply for illustrative purposes. To produce panel A, we assume that the equatorial obscurer is emitting C IV with an EW of half of that produced by the BLR (panel A, red). Panels C and D show the case for Fe K\(\alpha\), for which the obscurer produces a very broad component (panel C, blue) with an EW equal to that produced by the BLR (panel C, red). It is plausible that a broad base similar to C IV is present, although the S/N is not high enough to say for sure. In both cases our predictions are very similar to the observations, suggesting that the disk wind could be responsible for the observed very broad emission-line components.

\[
\text{C IV (EW_{wind} = EW_{BLR} = 50\% \ EW_{total})}
\]

\[
\text{Fe K\(\alpha\) (EW_{wind} = EW_{BLR} = 50\% \ EW_{total})}
\]

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Figure 4. This figure compares our model with the observations from HST, XMM-Newton, and NuSTAR. Panels A and B show the case for C IV, for which the obscurer produces a very broad component (panel A, blue) with an EW of half of that produced by the BLR (panel A, red). Panels C and D show the case for Fe K\(\alpha\), for which the obscurer produces a very broad component (panel C, blue) with an EW equal to that produced by the BLR (panel C, red). It is plausible that a broad base similar to C IV is present, although the S/N is not high enough to say for sure. In both cases our predictions are very similar to the observations, suggesting that the disk wind could be responsible for the observed very broad emission-line components.

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4. Discussion and Summary

Here, we have used HST and XMM-Newton observational constraints to derive a model of the equatorial obscurer. We have shown that the equatorial obscurer, which modifies the SED to produce the emission-line holiday, is itself a significant source of line emission, solving several long-standing problems...
Finally, we found that the obscurer has a modest optical depth (presented in Kriss et al. 2019). Other solutions with similar atomic column density but greater thickness are possible. They would have larger ionized column density and electron scattering optical depth. The Thomson optical depths reported in Figure 3 are normal to the slab. A ray passing into the slab at an angle \( \theta \) will see an optical depth of \( \tau_{\text{e}} \cos \theta \). For isotropic illumination the mean optical depth is \( \sqrt{2} \) larger than the normal.

A region with a significant electron scattering optical depth and warm temperature, \( T \approx 5 \times 10^7 \text{K} \), would solve several outstanding problems, which we summarize next.

It could be a part of the Compton reflector and so constitutes a translucent mirror in the inner regions. Scattering off warm gas will help producing smooth line profiles (Arav et al. 1998), a long-standing mystery in the geometry of the BLR. Gas with these properties also produces bremsstrahlung emission with a temperature similar to that deduced by Antonucci & Barvainis (1988) and so could provide the location of the non-disk emission. The obscurer modeled here is not a significant source of bremsstrahlung emission, however.

A model with an electron scattering optical depth \( \geq 0.5 \) could provide an obscuration required for explaining the velocity-delay maps of Horne et al. (2020). They show that the emission from the far side of the BLR is much fainter than expected with isotropic emission from the central source and no obscuration. If the base of the wind is transparent we will observe both the near and far sides of the BLR. This indicates that there must be an obscuring cloud between the BLR and the source, acting like a mirror.

D19b proposed that the disk wind can be transparent or translucent. This hypothesis is compatible with Figure 4 of Giustini & Proga (2019), in which NGC 5548 is on the border of having a line-driven disk wind or a failed wind. This means that small changes in the disk luminosity/mass-loss rate will affect the state of the wind. The reason is that decreasing the disk luminosity leads to a reduction in the mass flux density of the wind, making it over-ionized (Proga & Kallman 2004). A transparent wind has little effect on the SED and no spectral holidays occur, while holidays occur when the wind is translucent. In this state, the equatorial obscurer absorbs a great deal of the XUV/X-ray part of the SED which must be reemitted in other spectral regions.

In this paper, we introduced a new approach to derive the wind’s properties. This will have important implications for future studies of AGN outflows and feedback. We used observations to discover the behavior of a part of the wind that can never be directly observed. Our models of the wind will be expanded to better approximate the hydrodynamics of the wind. Deriving these “next generation” hydrodynamical/microphysical models and comparing them with the observations will be the subject of our future study.

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