Search for Heavy Higgs Bosons Decaying into Two Tau Leptons with the ATLAS Detector Using pp Collisions at root s=13 TeV

ATLAS Collaboration

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Search for Heavy Higgs Bosons Decaying into Two Tau Leptons with the ATLAS Detector Using $pp$ Collisions at $\sqrt{s} = 13$ TeV

G. Aad et al. *
(ATLAS Collaboration)

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A search for heavy neutral Higgs bosons is performed using the LHC Run 2 data, corresponding to an integrated luminosity of 139 fb$^{-1}$ of proton-proton collisions at $\sqrt{s} = 13$ TeV recorded with the ATLAS detector. The search for heavy resonances is performed over the mass range 0.2–2.5 TeV for the $\tau^+\tau^-$ decay with at least one $\tau$-lepton decaying into final states with hadrons. The data are in good agreement with the background prediction of the standard model. In the $M_{h}^{125}$ scenario of the minimal supersymmetric standard model, values of $\tan \beta > 8$ and $\tan \beta > 21$ are excluded at the 95% confidence level for neutral Higgs boson masses of 1.0 and 1.5 TeV, respectively, where $\tan \beta$ is the ratio of the vacuum expectation values of the two Higgs doublets.

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The ATLAS and CMS collaborations discovered in 2012 a new boson with a mass of 125 GeV [1,2]. Current measurements [3,4] indicate that the new particle is compatible with the Higgs boson predicted by the standard model (SM) [5–7]. This discovery opens the way for studies of the structure of the Higgs sector. Many theoretical models beyond the SM, such as two-Higgs-doublet models (2HDMs) [8], extend the Higgs sector to include a second Higgs doublet which implies the existence of new heavy pseudoscalar ($A$) and scalar ($H$) states, while the observed scalar particle would correspond to the lightest Higgs boson ($h$). The decay probability of these scalar states into $\tau^+\tau^-$ pairs can be enhanced relative to other decay modes in 2HDMs of type II, such as the minimal supersymmetric SM (MSSM) [9,10], the minimal extension of the SM that realizes supersymmetry [11–16].

At tree level, the properties of the MSSM Higgs sector depend only on two non-SM parameters, which can be chosen to be the mass of the pseudoscalar Higgs boson, $m_A$, and the ratio of the vacuum expectation values of the two Higgs doublets, $\tan \beta$. Beyond tree level, the Higgs sector is affected by additional parameters, the choice of which defines various MSSM benchmark scenarios. In the $M_{h}^{125}$ scenario [17], the parameters are such that the mass of the lightest $CP$-even Higgs boson, $m_h$, is close to the measured mass of the Higgs boson discovered at the LHC [18] and the masses of all superparticles are heavy enough to only mildly affect the production and decays of the MSSM Higgs bosons. The couplings of the MSSM heavy Higgs bosons to down-type fermions are enhanced with respect to the SM for large $\tan \beta$ values, resulting in increased branching fractions to $\tau$ leptons and $b$ quarks, as well as a higher cross section for Higgs boson production in association with $b$ quarks ($bbH$). For the mass range considered in this Letter, the mass difference between the $A$ and $H$ bosons is much smaller than the experimental resolution and they are treated as degenerate in mass.

This Letter describes a search for massive scalar and pseudoscalar resonances decaying into a $\tau$-lepton pair (throughout this Letter the inclusion of charge-conjugate decay modes is implied). The search is conducted on a sample of proton-proton collision data with an integrated luminosity of 139 fb$^{-1}$ at a center-of-mass energy of $\sqrt{s} = 13$ TeV, collected with the ATLAS detector [19–21] during the Run 2 of the LHC (2015–2018) [22]. The $\tau_\text{lep}\tau_\text{had}$ and $\tau_\text{had}\tau_\text{had}$ decay channels are considered, where $\tau_\text{lep}$ denotes the decay of the $\tau$ lepton into neutrinos and an electron ($\tau_\nu$) or into neutrinos and a muon ($\tau_\mu$) and $\tau_\text{had}$ denotes the decay into a neutrino and hadrons. This search improves on the results obtained by previous searches performed by the ATLAS and CMS collaborations at a center-of-mass energy of $\sqrt{s} = 13$ TeV [23–25] by about a factor of 4–5 for a scalar boson in the mass range 700–2500 GeV, thanks to improvements of the modeling of the top-quark background and of the backgrounds estimated from data, of the reconstruction of high-$p_T$ $\tau$ leptons and the increase of integrated luminosity.

The ATLAS detector at the LHC covers nearly the entire solid angle around the collision point [26]. It consists of an inner tracking detector surrounded by a thin superconducting solenoid, electromagnetic and hadronic calorimeters,
and a muon spectrometer incorporating three large superconducting toroidal magnets.

Samples of Monte Carlo (MC) simulated events are used to optimize the event selection, estimate the signal efficiencies, and model some of the background processes. The generators and parton showers used to simulate the different MC processes are summarized in Table I. The production cross sections and branching fractions for the various MSSM scenarios are calculated using procedures described in Refs. [27,28]. The cross sections for gluon-gluon fusion (ggF) production calculated with SUSHI [29,30] include next-to-leading-order (NLO) supersymmetric-QCD corrections [31–36], next-to-next-to-leading-order (NNLO) QCD corrections for the top quark [37–41], and light-quark electroweak effects [42,43]. The $bbH$ cross sections are calculated in the five-flavor [44] and four-flavor schemes [45,46], and the predictions are combined as described in Refs. [47–50]. The other production modes contribute negligibly in the $M_{h}$ scenario and are not considered. The masses and mixing (and effective Yukawa couplings) of the Higgs bosons are computed with FEYNHIGGS [51–58]. Branching fractions of Higgs bosons are computed using a combination of results calculated by FEYNHIGGS, HDECAY [59,60], and PROPHECY4F [61,62], following the procedure discussed in Ref. [27]. The samples were produced with the ATLAS simulation infrastructure [63] using the full detector simulation performed by the GEANT4 [64] toolkit, with the exception of $bbH$ production of the MSSM Higgs boson signal, for which the ATLFASTII [63] fast simulation framework was used.

In this search, the leptonic $\tau$ decays are identified by their charged decay product, either an electron or a muon. Electron candidates are reconstructed from energy deposits in the electromagnetic calorimeter associated with a charged-particle track measured in the inner detector [93]. They are required to have $|\eta| < 2.47$. The transition region between the barrel and end cap calorimeters (1.37 < |$\eta$| < 1.52) is excluded.

Muon candidates are reconstructed in the range $|\eta| < 2.5$ by matching tracks found in the muon spectrometer to tracks found in the inner detector [94]. The selected leptons in the $\tau_{lep}\tau_{had}$ channel are required to have a transverse momentum $p_{T} > 30$ GeV, pass the “medium” quality requirement for both the electrons [93] and muons [94] and satisfy a $p_{T}$- and $\eta$-dependent isolation criterion called “Gradient”, which uses calorimetric and tracking information. The efficiencies for the identification and isolation criteria are given in Refs. [93,94].

Jets are reconstructed from topological clusters [95] of energy depositions in the calorimeter using the anti-$k_{t}$ algorithm [96], with a radius parameter value $R = 0.4$ [97]. The average energy contribution from pileup is subtracted according to the jet area and the jets are calibrated as described in Ref. [98]. Jets are required to have $p_{T} > 20$ GeV and $|\eta| < 2.5$. The effect of pileup is reduced by using tracking information associated with the calorimeter-based jets to reject those not originating from the primary vertex [99]. The primary vertex is chosen as the proton-proton vertex candidate with the highest sum of the squared transverse momenta of the associated tracks.

In order to identify jets containing $b$ hadrons ($b$ jets), a multivariate algorithm (MV2) is used [100]. The algorithm has an average efficiency of 70% for $b$ jets and rejections of approximately 9, 36, and 300 for $c$ jets, $\tau$ decays with hadrons, and jets initiated by light quarks or gluons, respectively, as determined in simulated $t\bar{t}$ events. Correction factors are applied to the simulated event samples to compensate for differences between data and simulation in the $b$-tagging efficiencies for $b$ jets, $c$ jets and light-flavor jets.

Hadronic $\tau$ decays are composed of a neutrino and a set of visible decay products ($\tau_{had-vis}$), typically one or three charged pions and up to two neutral pions. The $\tau_{had-vis}$ candidates reconstructed from seeding jets [101] must have $p_{T} > 25$ (65) GeV in the $\tau_{lep}\tau_{had}$ ($\tau_{had}\tau_{had}$) channel, $|\eta| < 2.5$ excluding 1.37 < $|\eta|$ < 1.52, one or three associated tracks and an electric charge of ±1. A boosted-decision-tree identification procedure, based on calorimetric shower shapes and tracking information, is used to reject jets.

The $\tau_{had-vis}$ candidates must satisfy “loose” or “medium” $\tau$ identification criteria [101] with efficiencies of about 85% (75%) and 75% (60%) for one-track (three-track) $\tau_{had-vis}$ candidates, respectively. The rejections factors of “loose” and “medium” $\tau$ identification in multijet events are about

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**TABLE I.** Generators used to describe the signal and background processes, parton distribution function (PDF) sets for the hard process, and models used for parton showering, hadronization and the underlying event (UEPS). The orders of the total cross sections used to normalize the events are also given. $V$ represents either $W$ or $Z$ gauge bosons.

<table>
<thead>
<tr>
<th>Process</th>
<th>Generator</th>
<th>PDF</th>
<th>UEPS</th>
<th>Cross section order</th>
</tr>
</thead>
<tbody>
<tr>
<td>$ggF$</td>
<td>POWHEG-BOX v2 [65–69]</td>
<td>CT10 [70]</td>
<td>PYTHIA 8.1 [71]</td>
<td>See text</td>
</tr>
<tr>
<td>$bbH$</td>
<td>MG5_aMC@NLO 2.1.2 [72,73]</td>
<td>CT10</td>
<td>PYTHIA 8.2 [74]</td>
<td>See text</td>
</tr>
<tr>
<td>$W +$ jets</td>
<td>SHERPA 2.2.1 [75]</td>
<td>NNPDF 3.0 NNLO [76]</td>
<td>SHERPA 2.2.1 [77]</td>
<td>NNLO [78]</td>
</tr>
<tr>
<td>$VV/VV^*$</td>
<td>SHERPA 2.2</td>
<td>NNPDF 3.0 NNLO</td>
<td>SHERPA 2.2</td>
<td>NLO</td>
</tr>
<tr>
<td>$t\bar{t}$</td>
<td>POWHEG-BOX v2 [65–67,80]</td>
<td>NNPDF 3.0 NLO</td>
<td>PYTHIA 8.2</td>
<td>NNLO + NNLL [81–87]</td>
</tr>
<tr>
<td>Single $t$</td>
<td>POWHEG-BOX v2 [65–67,88–90]</td>
<td>NNPDF 3.0 NLO</td>
<td>PYTHIA 8.2</td>
<td>NNLO + NNLL [91,92]</td>
</tr>
</tbody>
</table>
Events satisfying the selection criteria of either channel are divided into categories to exploit the different production modes in the MSSM: the \( b \)-tag category for events containing at least one \( b \)-jet and the \( b \)-veto category for events containing no \( b \) jets. These categories are the signal regions used by the analysis.

The \( \tau \tau \) mass reconstruction is crucial for good separation between signal and background events. However, its reconstruction is challenging due to the presence of neutrinos from the \( \tau \)-lepton decays. The mass reconstruction used for both channels is the total transverse mass, defined as

\[
m_T^{\text{miss}} = \sqrt{(p_T^1)^2 + (p_T^2)^2 + m_T^{\text{miss}} - (p_T^1 + p_T^2 + m_T^{\text{miss}})^2}
\]

for either (\( \ell \), \( \tau \)-had-vis) or (\( \tau \)-had-vis, \( \tau \)-had-vis) as \( (\tau_1, \tau_2) \).

The dominant background contribution in the \( \tau \)-had-vis channel arises from processes where the \( \tau \)-had-vis candidate originates from a jet. Such background events are divided into those where the selected lepton is correctly identified, mainly from \( W + \text{jets} \) (\( W/\ell \)) production in the \( b \)-veto (\( b \)-tag) category, and those where the selected lepton arises from a jet, mainly from multijet production. These contributions are estimated using a data-driven technique, which is similar to that described in Ref. [24]. Three orthogonal control regions are defined using the same selection as for the signal region, except that the lepton candidate fails isolation requirements in CR-0, the \( \tau \)-had-vis candidate fails \( \tau \) identification in CR-1, and both fail these conditions in CR-2. The multijet background events are estimated from CR-0 weighted with lepton correction factors, called fake factors, which are ratios of the numbers of lepton candidates passing and failing the isolation requirements [24] (hereafter, fake factors refer to ratios of the number of candidates passing a certain identification requirement to the number of candidates failing the requirement). The \( W + \text{jets} \) (\( W/\ell \)) background events are estimated from CR-1 after subtracting the multijet background contributions estimated from CR-2 corrected with lepton fake factors. Real \( \tau \)-lepton contributions in CR-1 are subtracted using MC simulation. The \( \tau \)-lepton fake-factor weights measured in data are then applied to the events in CR-1 to estimate the \( W + \text{jets} \) (\( W/\ell \)) background in the signal region. Backgrounds where both the lepton and \( \tau \)-had-vis candidates originate from electrons, muons or \( \tau \) leptons arise from \( Z/\gamma' \to \tau \tau \) production in the \( b \)-veto category and \( \ell \ell \) production in the \( b \)-tag category.

The \( m_T^{\text{miss}} \) is calculated with respect to the sum of all reconstructed physics objects [102].

\[
m_T^{\text{miss}} = \sqrt{(p_T^1)^2 + (p_T^2)^2 + m_T^{\text{miss}} - (p_T^1 + p_T^2 + m_T^{\text{miss}})^2}
\]

for either (\( \ell \), \( \tau \)-had-vis) or (\( \tau \)-had-vis, \( \tau \)-had-vis) as \( (\tau_1, \tau_2) \).

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with minor contributions from $Z/\gamma^* \to \ell\ell'$, diboson and single top-quark production. These contributions are estimated using MC simulation. To constrain the normalization of the $t\bar{t}$ contribution, a top-quark control region enhanced in $t\bar{t}$ events is defined by substituting the transverse mass requirement with $m_T(p_T,E_T^{\text{miss}}) > 110$ (100) GeV in the $b$-tag category of the $t\bar{t}$ ($t\bar{t}$) channel. This region is included in the fitting procedure. Other major background contributions can be adequately constrained in the signal regions.

The dominant background contribution in the $t\bar{t}$ channel is from multijet production, which is estimated using a data-driven technique described in Ref. [24]: the background is estimated from a control region whose events pass the same selection as for the signal region, except the subleading $t\bar{t}$-vis candidates fail $\tau$ identification. Then the events are weighted with fake factors measured in a region enriched with multijet events to obtain the multijet background estimation in the signal region. The other nonnegligible backgrounds contributions are $Z/\gamma^* \to \tau\tau$ production in the $b$-tag category, $t\bar{t}$ production in the $b$-tag category, and to a lesser extent $W(\to \tau\nu, \ell\nu)+$jets, single top-quark, diboson, and $Z/\gamma^* (\to \ell\ell') +$jets production. These contributions are estimated using MC simulation. To improve the modeling of jets faking hadronic $\tau$ decays (fake $\tau$ leptons), events in the multijet background-only hypothesis. Expectations from signal processes are superimposed. Overflows are included in the last bin of the distributions.

![Figure 1](https://example.com/figure1.png)

**FIG. 1.** The $m_T^{\text{had}}$ for the $b$-veto (left) and $b$-tag (right) categories of the $t\bar{t}$ channel (top) and $t\bar{t}$ channel (bottom). The binning displayed is that entering into the fit. The predictions and uncertainties for the background processes are obtained from the fit assuming the background-only hypothesis. Expectations from signal processes are superimposed. Overflows are included in the last bin of the distributions.
transverse momentum. Uncertainties in the determination of $\tau$ with misidentified $\tau$ are related to the determination of the integrated luminosity. The systematic uncertainties are shown in Table II. They are not applicable.

Uncertainties affecting the simulated signal and background contributions are considered in the statistical analysis. These include uncertainties associated with the determination of the integrated luminosity, detector simulation, the theoretical cross sections, and multiple parton interactions. The sensitivity of the analysis is limited by statistical uncertainties, especially for scalars with mass values above 600 GeV. The main search is limited by statistical uncertainties, especially for the $W$ and $H$ bosons associated production in Fig. 2(b). The fitted event yields from background processes are $8430 \pm 150$ and $368 \pm 27$. The $m_\phi$ distributions obtained from the fit performed simultaneously in the $b$-veto and $b$-tag categories of the two channels are shown in Fig. 1.

The data are found to be in good agreement with the obtained background yields, and the results are given in terms of exclusion limits. Upper limits on the cross section times branching fraction for a scalar boson ($\phi$) produced via (a) ggF and (b) $b$-associated production. The limits are calculated from a statistical combination of the $\tau_{\text{lep}}\tau_{\text{had}}$ and $\tau_{\text{had}}\tau_{\text{had}}$ channels. The excluded region from the 2015–2016 data ATLAS search [24] is depicted by the dotted pink line. The 95% C.L. upper limits on $\tan \beta$ as a function of $m_A$ in the $M_h^{125}$ scenario is shown (c). The lowest value of $\tan \beta$ considered for the $M_h^{125}$ scenario is 0.5. In the small lower-left region shown in solid blue, the mass splitting between $\tau$ had and $\tau$ had-vis energy reflect the behavior of the $A \rightarrow \tau \tau$ branching fraction close to the $A \rightarrow t\bar{t}$ kinematic threshold for low $\tan \beta$. The hatched area defines which side of the curve is excluded by the search.

gluon-initiated jets that are misidentified as $\tau_{\text{had-vis}}$ candidates are corrected to follow rates of fake $\tau$ leptons measured in $W +$ jets and $t\bar{t}$ enhanced regions in data.

Uncertainties affecting the simulated signal and background contributions are considered in the statistical analysis. These include uncertainties associated with the determination of the integrated luminosity [106,107], the detector simulation, the theoretical cross sections, and the background modeling. For MSSM Higgs boson samples, various sources of uncertainty which affect the signal acceptance are considered, such as the impact of varying the factorization and renormalization scales and uncertainties in the modeling of initial- and final-state radiation, as well as multiple parton interactions. The sensitivity of the search is limited by statistical uncertainties, especially for scalars with mass values above 600 GeV. The main systematic uncertainties are shown in Table II. They are related to the determination of the $\tau_{\text{had-vis}}$ identification efficiency and energy scale, estimation of the backgrounds with misidentified $\tau_{\text{had-vis}}$ and modeling of $Z +$ jets background. The uncertainty in the $\tau_{\text{had-vis}}$ identification efficiency is determined from measurements of $Z \rightarrow \tau\tau$ events and, for the high $p_T$ regime, an additional uncertainty is assigned from the validation of the $\tau_{\text{had-vis}}$ properties in high-$p_T$ dijet events. The uncertainty in the $\tau_{\text{had-vis}}$ energy scale is derived from $Z \rightarrow \tau\tau$ events as well, and from single hadron test-beam data, and it is validated for high-$p_T$ $\tau_{\text{had-vis}}$ with top-quark events and $Z(\rightarrow \tau\tau)$ events with large transverse momentum. Uncertainties in the determination of backgrounds with misidentified $\tau_{\text{had-vis}}$ include the uncertainty from the subtraction of other backgrounds in the control regions, the uncertainty from the limited number of events in the control regions and the uncertainty from differences in the jet composition between control regions and signal regions.

For $Z +$ jets production, cross-section and modeling uncertainties are taken from Refs. [108,109].

A simultaneous fit of the $m_\phi$ distributions of the top-quark control region and of the $b$-veto and $b$-tag categories of the $\tau_{\text{lep}}\tau_{\text{had}}$ and $\tau_{\text{had}}\tau_{\text{had}}$ channels is performed in the statistical analysis. The numbers of observed events in the $b$-veto and $b$-tag categories of the $\tau_{\text{lep}}\tau_{\text{had}}$ channel are 728 174 and 19 542, while event yields of $728 \pm 3900$ and $19 600 \pm 400$ for the background-only hypothesis are obtained from the statistical analysis, which includes the fit of the nuisance parameters associated with the systematic uncertainties.

For the $\tau_{\text{had}}\tau_{\text{had}}$ channel, the numbers of observed events in the $b$-veto and $b$-tag categories are 8420 and 381, and the fitted event yields from background processes are $8430 \pm 150$ and $368 \pm 27$. The $m_\phi$ distributions obtained from the fit performed simultaneously in the $b$-veto and $b$-tag categories of the two channels are shown in Fig. 1.

The data are found to be in good agreement with the obtained background yields, and the results are given in terms of exclusion limits. Upper limits on the cross section times branching fraction for a scalar boson (generically called $\phi$) decaying into $\tau$-lepton pairs are set at the 95% confidence level (C.L.) as a function of the boson mass. They are computed using a modified frequentist CLs method [110] with the profile likelihood ratio as the test statistic. The asymptotic approximation is used [111]. The upper limits cover the mass range 0.2–2.5 TeV and are shown for a production entirely via ggF in Fig. 2(a) and entirely via $b$-quark associated production in Fig. 2(b). The observed (expected) upper limits are 1.8 fb (3.8 fb) for ggF and 1.1 fb (2.2 fb) for $bbH$ production at $m_\phi = 1$ TeV. For
ggF, the lowest local $p_0$, the probability that the background can produce a fluctuation greater than the excess observed in data, is $0.014 (2.2\sigma)$ at $m_\phi = 400$ GeV, while for $bbH$ production it is $0.003 (2.7\sigma)$ at $m_\phi = 400$ GeV. The natural width of the scalar boson is assumed to be negligible compared to the experimental resolution. Results are interpreted in terms of the MSSM in Fig. 2(c), which shows the regions in the $m_A - \tan\beta$ plane excluded at the 95% C.L. in the $M_h^{125}$ scenario. The observed (expected) upper limits exclude $\tan\beta > 21$ (24) for $m_A = 1.5$ TeV.

In conclusion, a search for heavy neutral Higgs bosons decaying into a pair of $\tau$ leptons is performed in the mass range 0.2–2.5 TeV using a data sample corresponding to an integrated luminosity of 139 fb$^{-1}$ from proton-proton collisions at $\sqrt{s} = 13$ TeV recorded by the ATLAS detector at the LHC. No significant excess over the expected SM backgrounds is found. Upper limits on the cross section for production of scalar bosons with masses of 0.2–13 TeV are interpreted in terms of the MSSM in Fig. 2(c), which shows the regions in the $m_A - \tan\beta$ plane excluded at the 95% C.L., signifying 95% C.L. in the upper limits exclude $\tan\beta > 21$ (24) for $m_A = 1.5$ TeV.

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[26] ATLAS uses a right-handed coordinate system with its $z$ axis along the beam pipe. The $\rho$ axis points from the IP to the center of the LHC ring, and the $\phi$ axis points upward. Cylindrical coordinates $(\rho, \phi)$ are used in the transverse plane, $\phi$ being the azimuthal angle around the $z$ axis. The pseudorapidity is defined in terms of the polar angle $\theta$ as $\eta = -\ln \tan(\theta/2)$.


[83] P. Bärnreuther, M. Czakon, and A. Mitov, Percent-Level-Precision Physics at the Tevatron: Next-to-Next-to-Leading Order QCD Corrections to $qq \to t\bar{t} + X$, Phys. Rev. Lett. 109, 132001 (2012).


