



Redundant indexicality and paradigmatic reorganisations in the Middle Danish case system

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Published in:

Proceedings of the SLE 2019 workshop Paradigms Regained [working title]

Publication date:

2020

Document version

Early version, also known as pre-print

Citation for published version (APA):

Hansen, B. S. S. (2020). Redundant indexicality and paradigmatic reorganisations in the Middle Danish case system. Manuscript submitted for publication. In *Proceedings of the SLE 2019 workshop Paradigms Regained [working title]* Language Science Press.

Redundant indexicality and paradigmatic reorganisations in the Middle Danish case system

The Danish case system changed profoundly throughout the Middle Danish era. Based on examples from mainly three texts written in East Danish (Scanian dialect), I describe the steps of and stages in these changes and claim that they were caused neither by an unstressed-vowel-neutralising sound law nor by language contact as often assumed, but by various interrelated processes of grammaticalisation. I focus on one of these processes, viz., that the fixed topology of the Middle Danish noun phrase simply made noun-phrase internal agreement by means of case marking redundant and caused the loss of the indexical relations signalling this agreement, which, in turn, contributed to the gradual phase-out of case marking. Moreover, I relate this phase-out to two general linguistic principles, viz. those of markedness agreement (Andersen 2001: 27–37) and single encoding (Norde 2001: 258–261). Finally, based on Nørgård-Sørensen & al. (2011: 5–6) and Nørgård-Sørensen & Heltoft's (2015: 262–263) five criteria for what constitutes a grammatical paradigm, I also demonstrate that, irrespective of the existence of some level of free variation, the Middle Danish case system may be described paradigmatically and, correspondingly, that the changes it undergoes constitutes an instance of paradigmatic and thus grammatical change.

1. Introduction

Grammaticalisation as defined by Nørgård-Sørensen & al. (2011: xi,

71–72) and Nørgård-Sørensen & Heltoft (2015: 261–262) equals paradigmatisation. This implies that, in order to count as an instance of grammar, any linguistic phenomenon must be paradigmatic, and any grammatical change must be describable as a paradigmatic change.

In the present paper, I will account for one type of grammatical and thus paradigmatic change, viz., the change of the case system in Middle Danish of the Scanian dialect from having four fully functional cases to, virtually, none in the nominal paradigm. I shall focus mainly on the changes that happened to the original genitive and dative and the change in relations between these and the accusative, since Jensen (2011) has already effectively accounted for the changes happening to the relations between the original nominative and accusative.

More specifically, I will shed light on one among several factors causing this change, viz., redundancy in the indexical relations of noun-phrase internal agreement, and present the paradigmatic consequences of the changes caused by this and other factors, including in particular the paradigmatic consequence of seemingly free variation in the case system during the period of change. Finally, in tandem with that, I will discuss the consequence of free variation to the aforementioned scholars' understanding of paradigmatic essence.

In order to fulfil these tasks, I will first provide synchronic descriptions of the Middle Danish use of case with examples from Middle Danish texts in Section 2, after which I will seek to explain the developments of the case system by means of processes of grammaticalisation in Section 3. These two sections, which build on – and constitute a concise version of – my previous outline of these matters in Hansen (forthc. 2021), serve as the starting point for my discussion of the paradigmatic consequences, which will occupy Section 4, while Section 5 will constitute the conclusion of the article.

2. Data from Middle Danish¹

1 All category labels used in interlinear glossing follow the Leipzig Glossing Rules, the only additions being OBL and C, which signify “oblique” and “commune” (common gender), respectively.

Kommenterede [BSSH1]: In this footnote, I do not know whether I should use the “ls_Category”-format (which is what I have done) or merely capitalise the text when still using the “Normal”-format.

2.1. Relevant texts

As I have described in detail in Hansen (forthc. 2021: 2–9) and will now recapitulate here, a comparison of three texts written in the East Danish (Scanian) dialect in the first half of the 15th century, viz., *Skånske Lov* (SkL) after Cod. E don. var. 136, 4^o, *Sjælens Trøst* (SjT), and *Søndagsevangelier* (SdE), reveal the existence of multiple simultaneous systems of case application in this period. What follows is therefore a brief outline of the systems found in these texts. For a full description of the details in the systems, see Hansen (forthc. 2021: 2–9).

2.2. Case marking on both nouns and on typical modifiers

In one system, genitive and dative case is marked on all members of a noun phrase, i.e., both on nouns and on typical modifiers (adjectives, articles, pronouns, numerals and other determiners), in situations with a potential for genitive or dative government.

Marking for dative thus appears both on the possessive pronoun *sinum* ‘their’ and the noun *thiænarum* ‘servants’ in (1), where the function of the noun phrase as the indirect object of *budho* ‘they commanded’ triggers the use of dative, and similarly on both the indefinite pronoun *ene* ‘a’, the adjective *longe* ‘long’ and the noun *iærnlænki* ‘iron chain’ in (2), where the preposition *mæth* ‘with’ governs the dative. In the 15th century, this system prevails with the feminine singular and with the (genitive/dative) plural for all genders, but especially in SkL, this system is also found with other case forms, as evidenced by (3) which represents a case of a preposition governing the dative.

- (1) SjT: 70²⁵
buth-o sin-um thiænar-um
command-PST.3PL their-M.DAT.PL servant(M)-DAT.PL
‘they commanded their servants [that ...]’
- (2) SjT: 128²⁷
mæth en-e long-e iærnlænki-o
with a-F.DAT.SG long-F.DAT.SG iron.chain(F)-DAT.SG
‘with a long iron chain’
- (3) SkL after E don. var. 136, 4^o: 36v
a thredi-e thing-i
on third-N.OBL.SG moot(N)-DAT.SG

‘on the third moot’

2.3. Case marking on typical modifiers only

A second system is the one found in examples like (4–6) where all members of a noun phrase but the typical noun-phrase head, i.e., the noun itself, are marked for case.²

- (4) SkL after E don. var. 136, 4^o: 44v
at andr-u thing-Ø
at other-N.DAT.SG moot(N)-SG
‘at the next/second moot’
- (5) Sjt: 29³²
gífv-in th-øm fatig-o folk-Ø
give-IMP.2PL it-ACC.PL poor-N.DAT.SG people(N)-SG
‘give them to poor people’
- (6) Sjt: 122⁹
mæth en-um stor-um hæR-Ø
with a-M.DAT.SG great-M.DAT.SG army(M)-DAT.SG
‘with a great army’

As revealed by a comparison of (3) and the structurally almost identical example (4), at least SkL after E don. var. 136, 4^o had some level of apparently free variation between the former system of case marking on all members of the noun phrase and this system of case marking on all members but nouns. Because of this paradigmatic choice, it may also be, however, that the seemingly case-uninflected noun *thing* ‘moot’ actually does inflect for case, but merely expresses the traditional dative content with the endless accusative instead of the historically expected dative. Only in a linguistic system with no option left for case marking on nouns may one establish with certainty that an endless noun is indeed also uninflected.

Examples (5–6) stem from Sjt, and both display the same system of no case marking on nouns. Here, it seems more certain that the nouns *folk* ‘people’ and *hæR* ‘army’ are, indeed, uninflected for case, since in this text, singular forms of masculine and neuter nouns ending in a

2 Although nouns do not inflect for case in this system, they still inflect for number; hence, I still need to mark them for inflectional endings as per, e.g., the \emptyset -ending in (4–6).

consonant never enter into a paradigmatic opposition with variants that are inflected for case.

On the surface, example (7), which stems from SdE, resembles (4–6) by marking for case on typical modifiers only, not on nouns. Here, however, the traditionally dative-governing preposition *met* ‘with’ suddenly governs the accusative instead. For that reason, the endingless form *renlik* ‘cleanliness’ in (7) might actually, at least theoretically, have represented the accusative of the noun rather than the noun stripped for case marking, just as with the noun *thing* ‘moot’ in (4). The second member of this prepositional phrase, i.e., *fasta* ‘fasting’, clearly represents the form uninflected for case, however, since the accusative would have been expressed by the oblique form **fasto* or **fastæ/faste* (with unstressed-vowel neutralisation).

- (7) SdE: 42²⁷
met lang-en renlik-Ø ok fasta
with long-M.ACC.SG cleanliness(M)-(ACC.?)SG and fasting(F):SG
‘with long cleanliness and fasting’

The most remarkable feature of (7) is, however, not the status of *renlik* and *fasta* as either accusatives or bare nouns uninflected for case, but the unambiguous use of the accusative in the adjective *langen* ‘long’. As I have already mentioned, the preposition *met* ‘with’ traditionally governed the dative, not the accusative. If this were a standalone example of failure of choosing the historically expected case, I might have dismissed it as a simple error, but seeing that one may come across several structurally similar examples – e.g., (8–9) with the preposition *til* ‘to’, which traditionally governed the genitive – this is hardly the case.

- (8) Sjt: 32²⁷⁻²⁸
af hørðh-a-na
of herdsman(M)-PL-the.M.ACC.PL
‘from the herdsmen’
- (9) Sjt: 96¹⁸
til en hælgh-an abod-a
to a-M.NOM/ACC.SG holy-M.ACC.SG abbot(M)-OBL.SG
‘to a holy abbot’

Rather, the explanation for the use of the accusative in (7–9) lies either with prepositions simply allowing accusative government in addition to their traditional government, i.e., *met* ‘with’ may govern either the accusative or the traditional dative, or with the accusative

simply taking optionally over for the dative and genitive in all regards, i.e., so-called participation (Hjelmslev 1935–1937, 1970 [1939]: 87, Bjerrum 1966: 8–10, 38–40, Andersen 2001: 46, Heltoft 2010: 16–18, Jensen 2012, etc.). The concept of participation constitutes a typical example of markedness relations. In an opposition between two members of a paradigm, one member is restricted in its functions (in this case: the genitive and the dative), whereas the other member both covers its own restricted functions and may participate in the functions of the first member (in this case: the accusative). Needless to say, accusative participation may also constitute an important trigger for the loss of case marking on Middle Danish nouns seen in (4–6), since in most nominal classes, the accusative ended in *-Ø* and was thus formally identical to the bare stem; see also Norde (2001: 250–251) on a similar situation in Old Swedish.

2.4. No case marking

Finally, I have recorded a third – or is it a fourth? – system in Middle Danish in which case marking is neither present on nouns, nor on the typical noun-phrase modifiers, as evidenced by (10–11). This is largely reminiscent of the nominal system recorded in modern Danish, which (12–13) serve to illustrate.

- (10) Sjt: 122⁹
mæth en-Ø stor-Ø hæer-Ø
 with a-M.SG great-M.SG army(M)-SG
 ‘with a great army’
- (11) SdE: 17⁸⁻⁹
fran all-Ø køtlik-Ø lust-Ø
 from all-F.SG corporeal-F.SG lust(F)-SG
 ‘from all pleasures of the flesh’
- (12) Modern Danish
jeg giv-er e-t klog-t barn bog-Ø-en
 I give-PRS a-N.SG wise-N.SG child(N)\SG book-SG-the.C.SG
 ‘I give the book to a wise child’
- (13) Modern Danish
til e-n ung-Ø dreng-Ø
 to a-C.SG young-C.SG boy(C)-SG
 ‘to a young boy’

I cannot rule out completely, though, that (10) represents not the lack

of inflection, but instead a traditional nominative outside of its original domain and with the novel function of marking foreground information (Jensen 2011: 264), but (11) is an unequivocal example of true caseless forms in both the modifier and the noun, as are (12–13) from modern Danish.

2.5. Older and younger stages of Danish

When compared to the situation in an older manuscript of SkL (such as Cod. Holm. B 74, 4^o from the first half of the 13th century) and to later linguistic stages such as modern Danish, it is evident that what the nominal system undergoes is a development from case marking with the historically expected case on all members of a noun phrase, as in (1–3), to no case marking at all, as in (10–11).

For instance, as witnessed by (14–16), SkL after Cod. Holm. B 74, 4^o displays many instances of traditional case marking, even in contexts where traditional case marking would normally not occur on nouns in the 15th century, viz., in the masculine and neuter singular. I have also found examples of accusative participation on the noun as in (17), where the accusative form *brofial* ‘plank’ replaces the historically expected dative form **brofialu* (Bjerrum 1966: 39). This form, to which the participating accusative form *brofial* would stand in paradigmatic opposition, is not attested in Cod. Holm. B 74, 4^o, however, but cf. the dative form *brofiaele* in (18) from an addendum to *Skånske Lov* (Add.SkL), printed in a manuscript (Cod. Holm. B 73) that exhibits neutralisation of some unstressed vowels.

- (14) SkL after Cod Holm. B 74, 4^o: ch. 145
til annar-s thing-s
 to other-N.GEN.SG moot(N)-GEN.SG
 ‘to the next/second moot’
- (15) SkL after Cod Holm. B 74, 4^o: ch. 145
at andr-u thing-i
 at other-N.DAT.SG moot(N)-DAT.SG
 ‘at the next/second moot’
- (16) SkL after Cod Holm. B 74, 4^o: ch. 158
gifu-ær andr-um mann-j thiuf sac-Ø
 give-PRS.3SG other-M.DAT.SG man(M)-DAT.SG thief case(F)-ACC.SG
 ‘[if he] accuses another man’

(17) SkL after Cod Holm. B 74, 4^o: ch. 142
ofna brofial-Ø sinn-j
on plank(F)-ACC.SG his-F.DAT.SG
'in his house'

(18) Add.SkL: ch. XXX
a brofiæl-e sin-æ
on plank(F)-DAT.SG his-F.DAT.SG
'in his house'

Kommenterede [BSSH2]: Due to the Danish corona lockdown, I am currently not able to run a physical check of the excerpt, but I will of course check it as soon as the Danish libraries reopen and I am allowed back to work.

Conversely the frequency of case marking decreases significantly in a 16th-century post-reformation text such as the first full Danish bible translation, *Christian 3.s danske Bibel* (Chr.3.B) from 1550. Examples (19–20) from Chr.3.B illustrate the absence of case marking even in such contexts where case distinctions were kept for the longest time, viz., in the feminine singular and in the plural, respectively.

(19) Chr.3.B: E919 (Luk.II)
ligg-endis i e-n krubbe-Ø
lying-PRS.PTCP in a-F/C.SG manger(F/C)-SG
'lying in a manger'

(20) Chr.3.B: E21 (Gen.XII)
met stor-e plaffue-r
with great-F/C.PL plague(F/C)-PL
'with great plagues'

2.6. Stages in and developments of the Middle Danish case systems

When taking into account both my outline of the situation prior and posterior to the 15th century and the possible steps of and pivots for reanalysis, I may sum up the potential stages in and developments of the Middle Danish case systems as follows.

1. Starting point: Historically expected case on both nouns and typical modifiers
2. Historically expected case on typical modifiers and optional accusative participation on nouns
3. Historically expected case on typical modifiers and no case marking on nouns (excluding the genitival clitic *-s*)

4. Optional accusative participation on typical modifiers and no case marking on nouns (excluding the genitival clitic *-s*)
5. End point: Neither case marking on nouns nor on typical modifiers (excluding the genitival clitic *-s*)

As I have already shown, these systems and subsystems existed side by side within one and the same text. A simple comparison of, e.g., (1), (6), (8) and (10), which all stem from Sjt, serves to illustrate this.

3. Explaining the developments of the Middle Danish case system

3.1. Traditional views

Traditionally, two views have prevailed on how the changes in the Middle Danish case system came about, the former generally more accepted – or at least more frequently mentioned – than the latter.

First, viewing the reductions in the Danish case system as a result of sound laws, above all the Danish unstressed-vowel-neutralising sound law *e/a/o* > [ə], has long been the prevalent position among historical linguists (Falk & Torp 1900: XIV–XV, 16–17, Meillet 1922: 71, 95–100, 113, Skautrup 1944: 266, etc.). This reductionist or “phonology-first” view holds that the coalescence of unstressed vowels (i.e., typically vowels in non-first syllables) resulted in homophony and syncretism of many inflectional endings. Such an explanation may theoretically work not only for Danish, but also for other languages with phonological reductions in non-first syllables; see, e.g., Barber, Beal & Shaw (2009: 167–168) on English.

Second, Wessén (1954: 27) regards Middle Low German influence as (one) reason for the Scandinavian case-system reductions:

Vi har stor anledning att tro, att det främmande inflytandet har sträckt sig jämväl till ordens böjning och till uttalet. Då fornspråkets rika formsystem mot medeltidens slut upplöses och förenklas, har man med skäl sökt en av orsakerna därtill i att de inflyttade tyskarna aldrig kunde lära sig att rätt bruka de gamla kasusformerna och ändelserna; deras förenklade ordböjning smittade

efterhand av på landets egna barn.³

Although admitting that it is difficult to establish the exact extent of the Middle Low German influence, Haugen (1976: 65) agrees with Wessén by noting that both English and Scandinavian underwent case-system reductions while dominated by other languages and that Low German has a structure similar to that which the mainland Scandinavian languages adopted. Several scholars still include this specific influence or similar types of language contact in their list of causes for the mainland Scandinavian case-system reductions; see, e.g., Norde (2001: 243) on Swedish.

3.2. Challenging the traditional views

Appealing as these two traditional explanations may seem, they suffer from some major deficiencies.

Taking the reductionist view first, the neutralisation of unstressed vowels and the reductions in the case system simply do not seem to be connected. One would expect this sound law to be operational in texts where the case system is in the process of change, but as all the examples from Sjt reveal, this is certainly not the case. For instance, (9) shows an instance of accusative where the traditional system would have dictated a genitive, even though both *hælghan* ‘holy’ and *aboda* ‘abbot’ preserve the unstressed *-a*, and in (5), *fatigo* ‘poor’ keeps its unstressed *-o* in spite of the absence of the historical dative singular ending **-i/*-e* on *folk* ‘people’. The reductionist view meets the exact same challenge when attempting to explain the case-system reductions in Swedish. As Jensen (2011: 18) points out, the Swedish case system has been reduced and changed to the same extent and more or less with the same result as the Danish one, but Swedish does not display any substantial weakening of unstressed vowels.

SdE also demonstrates the mismatch between the unstressed-vowel-neutralising sound law and the case-system reductions, but in the

3 My translation: “We have great reason to believe that the foreign influence has encompassed even the inflection of words and the pronunciation. Since the rich morphological system of the ancient language is dissolved and simplified around the end of the mediaeval period, scholars have reasonably regarded the following circumstance as one of the reasons for that, viz., that the immigrating Germans could never learn to use the old case forms and endings correctly; their simplified inflection gradually rubbed off on the country’s own children.”

opposite manner. In SdE, unstressed vowels have been neutralised in many positions, as revealed by, e.g., *langen* (< *langan*) ‘long’ in (7), but this neutralisation has not prevented a by-and-large retention of the Danish case system, illustrated again by the accusative form *langen* in (7). Following Baechler & Pröll (2018: 4–5), I will stress this point even further by drawing attention to a language like standard German, in which the process of unstressed-vowel neutralisation is just as advanced as in modern Danish despite the preservation of a functional distinction between all four historical cases: nominative, accusative, genitive and dative.

Finally, leaving the possibility of a causal correlation between the unstressed-vowel-neutralising sound law and the case-system reductions aside, this sound law is not capable of explaining the loss of consonantal endings. Neither the functional changes and subsequent loss of the historical nominative singular ending *-Vr* in the mainland Scandinavian area (Jensen 2011: 18) nor the Faroese and dialectal Norwegian and Swedish loss of the genitive in *-s* (Enger 2013: 6–7) finds any catalyst in reductionist sound laws. To sum up my objections against the reductionist view, I will cite Loporcaro (2018: 42) who concludes on some instances of gender agreement in Italian dialects that “there is no deterministic impact of sound change on morphosyntax.”

Turning now to the second traditional explanation of the mainland Scandinavian case-system reductions, i.e., language contact, I will call attention to Ringgaard’s (1986: 177–182) highly valid objection of a mere chronological mismatch. Followed by Askedal (2005: 2–3) and Enger (2013: 13–14), Ringgaard claims that Middle Low German would exert its allegedly system-changing influence too late on Danish and the remaining mainland Scandinavian languages for it to constitute a factor. The Middle Low German influence was most pervasive in the 14th century, but one may register case-system reductions already in the earliest manuscripts of the Danish regional laws, the language of which may have been settled as early as the end of the 12th century. In all fairness, while Ringgaard’s criticism is indeed relevant for Danish, it may be slightly less so for Swedish, for which language Wessén (1954: 27) originally postulated his claim.

3.3. Processes of grammaticalisation

Scholars like Andersen (e.g., 2010: 143–144), Heltoft (e.g., 2010), Jensen (e.g., 2011) and Petersen (2018) offer an alternative to the

traditional explanations of the Danish case-system changes. They all attribute certain instances of language change, including those of the Danish case system, to processes of grammaticalisation, which they define as processes of change in the function and contents of the grammatical signs and in the paradigmatic oppositions between them (Andersen 2006, 2010: 123, Nørgård-Sørensen & al. 2011: xi, 7–8, 11–17, Nørgård-Sørensen & Heltoft 2015: 261–262, etc.). By entailing both grammation, regrammation and degrammation (i.e., the rise, change and dissolution of grammar, respectively) and also insisting on syntax (topology and constructions) and syntactic changes forming part of grammaticalisation (Nørgård-Sørensen & al. 2011: 43–45), this definition of grammaticalisation goes beyond the mainstream unidirectionality hypothesis advanced by, e.g., Hopper & Traugott (1993: 7) and Lehmann (1995: 12, 121–123).

For instance, Heltoft (2010: 13–22) describes the changes in the Middle Danish case system as part of a larger process that turns the Old Scandinavian noun phrase into a determine phrase in the modern mainland Scandinavian languages. Jensen (2011: 201–232, 283–311), in turn, focuses specifically on a reanalysis of the relationship between the nominative and the accusative, resulting in nominatives marking only such subjects and subjective complements that also provide foreground information. Finally, Petersen (2018: e.g. 63–89) connects all the changes mentioned above to the rise of definite and indefinite articles and the subsequent shift in markedness between inflected nouns with and bare nouns without an article as well as to the rise of unity stress. According to Petersen, all these changes form part of the process that gives rise to the concept of incorporation in Danish.

3.4. Redundancy in the indexical relations of noun-phrase-internal agreement

The three grammaticalisation-based approaches to explaining the Danish case-system changes mentioned in Section 3.3 by no means contradict each other. On the contrary, they complement each other, each contributing one important factor to the complex overall explanation of the systemic changes. Neither do they provide the full explanation, however. As I have advocated for in Hansen (forthc. 2021), one additional factor must be added, viz., the language users' desire for eliminating redundancy in noun-phrase internal agreement.

Most grammaticalisation-based explanations of the case-system changes focus on noun-phrase-external relations and functions of case

marking. For instance, Jensen (2011) regards the functional change of the historical nominative from marking subjects and subjective complements to signalling foreground information as a decisive factor. However, although the primary function of case marking is, indeed, noun-phrase external in terms of 1) indexical reference to the valency of a predicate (i.e., revealing the argument status of the noun phrase in question) or to the government of an adposition and 2) symbolic reference to location, direction, means, etc. (Blake 2004: 1080–1086, Andersen [s.a.]: 2, Heltoft 2019: 154–155), case may also point indexically within the noun phrase. This is what creates noun-phrase-internal or endophoric agreement, i.e., the phenomenon that multiple members of a noun phrase inflect identically (on the functional level; the formal expression of the endings may differ) and point indexically to each other (Andersen [s.a.]: 2, Haspelmath 1996: 52, Nielsen 2010: 82); see also Nielsen (2010: 86–89) on the general principle of what he labels conditioned agreement). For an outline of the general distinctions between symbolic and indexical sign relations, see Andersen (1980: 4–5, 27–30, 2010: 119–121, [s.a.]) with further reference to Peircean sign theory.

Example (21) serves to illustrate this double function of case marking. Please note both the noun-phrase-external relations, because the noun phrase *ondom quinnom* ‘evil women’ in the dative points indexically to the dative-governing preposition *for* ‘against’, and the noun-phrase-internal or endophoric agreement, because the dative ending *-om* of *ondom* ‘evil’ and *quinnom* ‘women’ point indexically to each other, signalling that they belong together as members of the same noun phrase.

- (21) Sjt: 52²⁸
for ond-om quinn-om
for evil-F.DAT.PL woman(F)-DAT.PL
‘against evil women’

This type of noun-phrase internal agreement may be instrumental for language users when they attempt to group individual words of a sentence together in phrases, but this would hold true mostly for languages where a fixed topology, including juxtaposition of constituents belonging to the same phrase, is not the general rule. For instance, in (22), the noun phrases *contiguās domōs* ‘neighbouring houses’ and *altam urbem* ‘upper city’ are separated by other constituents (Andersen [s.a.]: 2, Nielsen 2010: 89–93), and readers of that sentence must therefore rely heavily on case marking in order to

group the words correctly together.

- (22) Latin
*contigu-ās tenuere dom-ōs, ubi dīcitur alt-am
coctil-ibus mūr-is cinxisse Semīram-is urb-em*
neighbouring-F.ACC.PL they.lived house(F)-ACC.PL
where is.said high-F.ACC.SG bricked-M.ABL.PL wall(M)-
ABL.PL to.have.surrounded Semiramis(F?)-NOM.SG city(F)-
ACC.SG
‘they lived in neighbouring houses where Semiramis is
said to have surrounded the upper city with brick walls’

In languages with a fixed topology and with juxtaposition of constituents that belong together, this type of noun-phrase internal agreement becomes redundant. As Diderichsen (1941: 93–107) has demonstrated, Middle Danish is such a language, at least when it comes to the topology internally within the noun phrase. Juxtaposition is standard in the Middle Danish noun phrase, and the position of the modifiers (pre-head or post-head) follows from a set of fixed rules that I may sum up as follows; for an elaborate presentation of these rules, see Diderichsen (1941: 93–107) and Hansen (forthc. 2021).

- Modifier in pre-head position: Determiners (numerals, quantitative adjectives and indefinite pronouns) as well as characterising or emphatically used adjectives and possessive pronouns
- Modifier in post-head position: Simple descriptive adjectives, adjectival appositions, participles equivalent of subordinate clauses, “superfluous” possessive pronouns, and partitive genitives

Hence follows that the Middle Danish case-marking system is redundant in one of its two functions, viz., noun-phrase-internal agreement, leaving noun-phrase-external reference as its sole non-redundant function. In actual fact, noun-phrase-internal agreement is marked in three ways: by juxtaposition and fixed rules of noun-phrase-internal topology, by morphological case marking, and by morphological marking of gender and number. One could reasonably argue, therefore, that Middle Danish displays double redundancy in noun-phrase-internal agreement. In light of this triple marking or double redundancy, it is hardly surprising that one of the ways of marking this agreement, viz., case marking, would become prone to

loss, as evidenced by stages 2–5 in Section 2.6.

Figure 1–6 express the loss that happened in terms of grammatical sign relations and changes in these relations, referring concretely to the prepositional phrase *for ondom quinnom* ‘against evil women’ in (21). Figure 1 illustrates the traditional representation of the original situation with morphologically marked case on every member of the noun phrase.

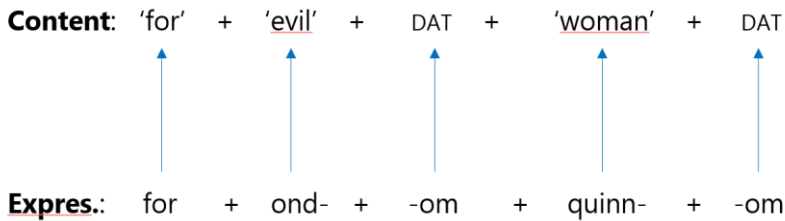


Figure 1: Symbolic sign relations morphologically expressed (case focus only) (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

As I have already shown, however, topology also plays a decisive role in the marking of noun-phrase-internal agreement, to which point I will add that the order of constituents within a prepositional phrase like *for ondom quinnom* ‘against evil women’ is also fixed: preposition first, noun phrase second (Diderichsen 1941: 109). In order to illustrate the grammatical relations in further detail, I will therefore need to add an additional topological layer to the presentation of Figure 1, for which see Figure 2, where thicker arrows represent topologically marked relations, and thinner arrows those that are morphologically marked. The label “no coi.” stands for “not coincidental”, referring to the noun-phrase-internal position of the head and the modifier not being coincidental, but governed by a set of fixed rules (Diderichsen 1941: 93–107).

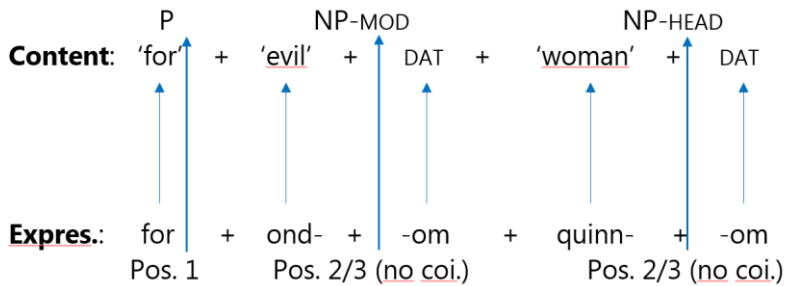


Figure 2: Symbolic sign relations morphologically and topologically expressed

(case focus only) (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

So far, the model does not reveal much about the claimed redundancy in noun-phrase internal agreement. In order for that to happen, I will need to extent the model even further. Figure 3 therefore adds morphologically marked indexical relations, including such that represent noun-phrase-internal agreement, and Figure 4 is even further augmented with those indexical relations that are topologically marked. Full lines in blue colour represent symbolic relations (as in Figure 1–2 above), whereas dotted lines in red represent indexical relations. As illustrated especially in Figure 4, both the noun-phrase-internal agreement and the noun-phrase external relations to the preposition are doubly marked, viz., both morphologically and topologically. One of these layers, the morphological one, is therefore dispensable and subject to gradual phase-out by the language users.

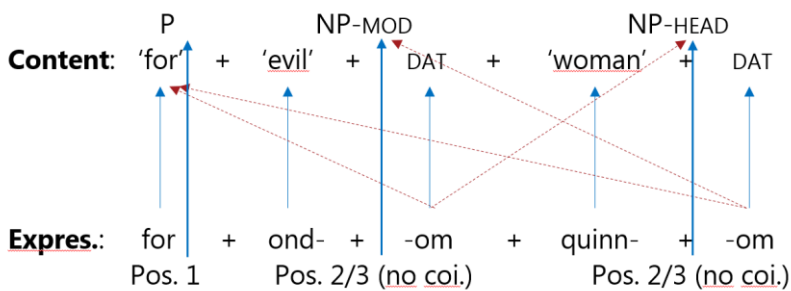


Figure 3: Symbolic and indexical sign relations morphologically expressed (case focus only) (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

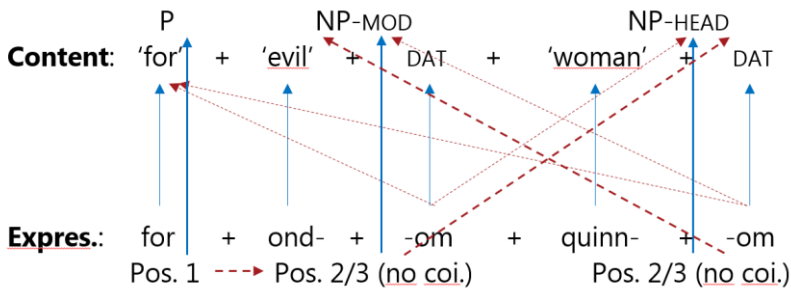


Figure 4: Symbolic and indexical sign relations morphologically and topologically expressed (case focus only) (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

Figure 5 below illustrates the grammatical relations in my model with the morphological level (i.e., marking by means of case) phased out. It has now become evident that the topological level alone is fully

capable of marking both the noun-phrase-internal agreement and the noun-phrase-external relations to the preposition. In other types of situations, e.g., when a noun phrase originally marked for dative did not form part of a prepositional phrase with a dative-governing preposition, but functioned as an indirect object, the topological level would be capable of marking noun-phrase-internal agreement only, seeing that the Middle Danish topology is not fixed on the level of sentential constituents.

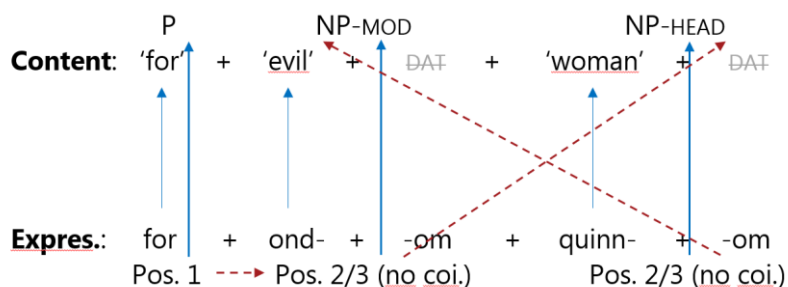


Figure 5: Symbolic and indexical sign relations morphologically and topologically expressed after the removal of case inflection (case focus only) (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

Despite what one may have deduced and thus been led to believe from the model in Figure 1–5 so far, one must not forget that, even after the loss of case marking, noun-phrase-internal agreement is still marked in two ways, viz., by juxtaposition and fixed rules of noun-phrase-internal topology and by morphological marking of gender and number. Redundancy thus remains, but only singly, not doubly as in the original system prior to the loss of case marking. Figure 6 serves to illustrate that, besides expressing symbolically the number and gender of the elements of a noun phrase, number and gender marking also express indexical relations within the noun phrase, i.e., noun-

phrase-internal agreement.

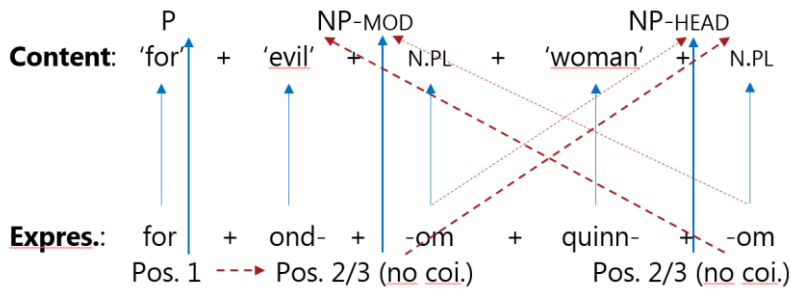


Figure 6: Symbolic and indexical sign relations morphologically and topologically expressed (gender and number focus) (CC-BY-NC 3.0 Bjarne Simmelkjer Sandgaard Hansen)

3.5. Gradual abandonment of case marking

In Section 3.4, I presented one of the factors that motivated the Middle Danish case-system changes, viz., redundancy, but it remains unexplained so far why the language users simply did not remove such redundancy at once, but did it gradually instead. In what follows, I will therefore outline why case marking remained longer in the feminine singular and in the plural than in the masculine and neuter singular, and longer, too, on typical modifiers than on nouns.

Andersen’s (2001: 27–37) principle of markedness agreement may account for some of this asymmetry. According to this principle, elements that are marked similarly behave identically. Marked forms behave in the same way as other marked forms, and unmarked forms in the same way as other unmarked forms. When it comes to linguistic change, Andersen (2001: 36) claims that one would expect

[...] the innovation to occur earliest in environments with equivalent markedness value and to subsequently gain ascendancy first in such contexts and then, as it loses its novelty, in the complementary contexts with opposite markedness value.

This corresponds well to the Middle Danish situation, where the case-system innovations occur first in the unmarked environment, i.e., in nouns (Andersen 1980: 44) and in the masculine and neuter singular. The marked environments, i.e., the non-substantival nominal parts of speech, the feminine singular and the plural, keep case marking

longer.

One additional factor that may account for the marking of case on only one (type of) noun-phrase member, viz., the typical modifiers, at the intermediate steps of the Middle Danish development (represented by stages 3–4 in Section 2.6) may be the application of a principle of single encoding (Norde 2001: 258–261). This principle, which entails that noun phrases, for instance, only inflect for case once and not on every single member, also operates in the well-known way of marking case in German noun phrases. Here, only one noun-phrase member distinguishes fully for case, while the remaining case markers are underspecified. Returning to the application of this principle in the Middle Danish noun phrase, one may attribute the preference for inflection on typical modifiers over inflection on nouns to the circumstance that the adjectival and pronominal paradigms historically contain more and clearer distinctions than the nominal paradigm.

4. Paradigmatisation

4.1. Theoretical viewpoint

Now that I have described and explained some of the possible reasons for the Middle Danish case-system changes, it is not only interesting, but also necessary to witness their paradigmatic consequences. As mentioned briefly in Section 1, I follow the theoretical viewpoint of Nørgård-Sørensen & al. (2011: xi, 71–72) and Nørgård-Sørensen & Heltoft (2015: 261–262) that grammaticalisation equals paradigmatisation, meaning that one cannot have grammar and changes in grammar without also having paradigms and changes in paradigms; see also Diewald & Smirnova (2010: 2–4). Consequently, in accordance with this theoretical viewpoint, the changes of the Middle Danish case system described in Section 2 and explained in Sections 3.3–3.4 cannot be grammatical changes unless they can be formalised paradigmatically.

In Nørgård-Sørensen & al. (2011: 5–6) and Nørgård-Sørensen & Heltoft's (2015: 262–263) understanding of what constitutes a grammatical paradigm, any grammatical paradigm must meet five criteria. First, they state, it must be closed, in principle, thus containing only a fixed number of members. Second, it must be

possible to specify the domain of the paradigm, i.e., the syntagmatic context to which the paradigm applies. Third, in close correspondence with the domain introduced by the second criterion, any paradigm must have a semantic frame within which the content of the specific members of the paradigm is defined. In other words, the semantic frame reveals what type of oppositions the members of a paradigm serve to express. Fourth, the choice between the members of the paradigm is obligatory, meaning that, when producing an utterance that activates the domain of a grammatical paradigm, the language users cannot avoid choosing one of its members. Fifth and finally, grammatical paradigms are asymmetric and thus automatically distinguish between marked and unmarked members, the latter being the one without a specific semantic load. For that reason, the unmarked paradigm member may sometimes participate in the functions of the other, i.e., the marked, members; see also Section 2.3 for a further discussion of and references to the concept of participation.

4.2. Paradigmatic consequences of the Middle Danish case-system changes

The question to be answered now is how the notion of a paradigm outlined in Section 4.1 fits the data presented in Section 2 and analysed in Sections 3.3–3.4. In order to answer this question, I will first attempt to set up a paradigm of the original Middle Danish case system with historically expected case on both nouns and typical modifiers (represented by stage 1 in Section 2.6) in Table 1, the focus of which lies on the paradigmatic opposition between the accusative and the dative. Similar and more extensive tables may be set up for the inclusion of the nominative and the genitive, but for the sake of clarity, I will suffice with the accusative-dative opposition here.

This paradigm contains a syntagmatic domain (Middle Danish noun phrases consisting of a modifier and a noun), a semantic frame (“case”, i.e., indexes of noun-phrase external government as well as non-phrase-internal agreement) and a closed set of case endings as its members.⁴ In addition, the language users cannot avoid choosing

4 Please note that the expressional label ACC covers a wide array of endings from different inflectional classes such as *-an* (adjectival M.ACC.SG), *-a* (masculine *n*-stem noun ACC.SG) and \emptyset (vowel-stem noun ACC.SG), while DAT covers endings such as *-u* (adjectival N.DAT.SG), *-i* (adjectival F.DAT.SG or masculine *a*-

Kommenterede [BSSH3]: In this note, I do not know whether I should use the “ls_Category”-format (which is what I have done) or merely capitalise the text when still using the “Normal”-format.

between an accusative and a dative ending when faced with a choice between them in an utterance.

Table 1 reveals that the accusative consistently marks historical accusative contexts, and dative the historical dative contexts. Consequently, no overlapping occurs between the accusative and the dative in this system.

Domain	Noun phrases (examples here: modifier + noun)	
Frame	“Case”, i.e., indexes of government (noun-phrase-externally) + agreement (noun-phrase-internally)	
Content	Indexes of historical accusative contexts (direct-object/object-complement government, prepositional government, etc.)	Indexes of historical dative contexts (indirect-object government, prepositional government, etc.)
Expression	Modifier-ACC + noun-ACC --- Ex.: (<i>vith</i>) <i>swa ohørlig-a synd-Ø</i> ‘against a sin this unheard-of’	Modifier-DAT + noun-DAT --- Ex.: (<i>at</i>) <i>andr-u thing-i</i> ‘at the next/second moot’

Table 1: Paradigmatic visualisation of the system of historically expected case marking both on nouns and on typical modifiers (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

As I mentioned in Section 3.4, the Middle Danish noun-phrase-internal word order was fixed even at the earliest attested stage of Middle Danish when the application of this historical case system was most widespread. In order to illustrate the grammatical potential of this topological system, I have entered it into a paradigm, as well, as represented by Table 2.

Domain	Noun phrases (examples here: modifier + noun)	
Frame	Type and function of modifier + agreement/mutual connection (noun-phrase-internally)	
Content	Determiners (numerals,	Simple descriptive

stem noun DAT.SG) and *-um/-om* (DAT.PL).

	quantitative adjectives and indefinite pronouns) + characterising or emphatically used adjectives and possessive pronouns	adjectives, adjectival appositions, participles equivalent of subordinate clauses, “superfluous” possessive pronouns and partitive genitives
Expression	Position X = Modifier Position Y = Head --- Ex.: <i>andru thingi</i> ‘the next/second moot’	Position X = Head Position Y = Modifier --- Ex.: <i>børnum sinum</i> ‘his children’

Table 2: Paradigmatic visualisation of the Middle Danish predictability of noun-phrase-internal topology (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

So far, both tables have represented clear-cut grammatical paradigms with no vacillation between the members. However, the paradigmatic representation attempted in Table 1 of each stage in a separate paradigm does not depict the actual situation, since all the case-system stages of Section 2.6 actually do occur within one and the same text; cf. again, e.g., (1), (6), (8) and (10), which all stem from S_jT and may reveal up to four competing systems. Focusing on the many different ways to express indexes of historical dative contexts, one may therefore be tempted to produce a paradigm like that of Table 3 to check if it would constitute a more precise rendition of the actual situation.

Domain	Noun phrases (examples here: modifier + noun)			
Frame	“Case”, i.e., indexes of government (noun-phrase-externally) + agreement (noun-phrase-internally)			
Content	Indexes of historical dative contexts (indirect-object government, preposition al	Indexes of historical dative contexts (indirect-object government, preposition al	Indexes of historical dative contexts (indirect-object government, preposition al	Indexes of historical dative contexts (indirect-object government, preposition al

	government , etc.)	government , etc.)	government , etc.)	government , etc.)
Expression	Modifier- DAT + noun-DAT ---	Modifier- DAT + noun ---	Modifier- ACC + noun ---	Modifier + noun ---
	Ex.: <i>sin-um thiænar-um</i> 'their servants'	Ex.: (<i>mæth</i>) <i>en-um stor- um hæf</i> 'with a great army'	Ex.: (<i>af</i>) <i>hørdh-a-na</i> 'from the herdsmen'	Ex.: (<i>mæth</i>) <i>en stor hæf</i> 'with a great army'

Table 3: Attempt at a paradigmatic visualisation of four competing case-system stages in noun phrases based on the content of indexing historical dative contexts (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

This representation creates an entirely novel issue, viz., the introduction of free choice within the paradigm. Admittedly, the existence of a free choice need not necessarily violate Nørgård-Sørensen & al. (2011: 5–6) and Nørgård-Sørensen & Heltoft's (2015: 262–263) fourth criterion that the choice between the members of a paradigm is obligatory, for, as they further state (Nørgård-Sørensen & al. 2011: 5), “[t]his choice may be free or bound, but will ultimately be determined by the content of the forms constituting the paradigm.”

In this statement lies the real problem with the paradigm of Table 3. Even though it seems to contain a domain, a frame, a closed set of members and an obligatory, yet free choice, it does not entail an opposition of content, unless one may assume that the content opposition is one of indexing variation within the language users' personal register, i.e., within the language users' range of varieties between which they may choose at different times (Halliday & al. 1964: 77).⁵ If such a register-focused content opposition is not present, this paradigm is invalid, for a grammatical paradigm opposes grammatical signs, i.e., linguistic units consisting of both a content side and an expression side. In other words, the absence of a content opposition equals the absence of a grammatical opposition, which, in

5 I am greatly indebted to Henning Andersen for pointing this possibility out to me during the discussion round at the SLE workshop “Paradigms regained” where I first gave the presentation upon which this article is based.

turn, equals the absence of a paradigmatic opposition.

A more effective way of presenting the paradigm in question would be that of Table 4, which reintroduces the content opposition between expressing indexes of historical accusative and historical dative contexts. Here, it becomes evident that what seems to be (and is indeed) free variation between different ways of expressing indexes of historical dative contexts also represents an instance of difference in markedness relations, i.e., the fifth criterion of Nørgård-Sørensen & al. (2011: 6) and Nørgård-Sørensen & Heltoft (2015: 263). The option for using historical accusative forms or uninflected forms in historical dative contexts simply follows from the general unmarkedness of these forms; see again Section 2.3 on accusative participation. In contrast to that, the historical dative forms remain marked and are applicable only in their original contexts. What Table 4 does not reveal, however, is the relative markedness of the accusative forms and the uninflected forms, since the rendition of such a distinction would require the inclusion of a larger set of data and forms (the nominative and the genitive), which lies outside the scope of the present article.

Domain	Noun phrases (examples here: modifier + noun)	
Frame	“Case”, i.e., indexes of government (noun-phrase-externally) + agreement (noun-phrase-internally)	
Content	Indexes of historical accusative contexts (direct-object/object-complement government, prepositional government, etc.)	Indexes of historical dative contexts (indirect-object government, prepositional government, etc.)
Expression	Modifier-ACC + noun --- Exx.: (<i>vith swa ohørlig-a synd-Ø</i> ‘against a sin this unheard-of’, (<i>af</i>) <i>hørdh-a-na</i> ‘from the herdsmen’	Modifier-DAT + noun(-DAT) --- Exx.: <i>sin-um</i> <i>thiænar-um</i> ‘their servants’, (<i>mæth en-um</i> <i>stor-um hær</i>

Modifier + noun

Ex.: (*mæth*) *en stor*

hær ‘with a great army’

‘with a great
army’

Kommenterede [BSSH4]: I know that the style sheet states that there should be no vertical lines in tables. In this specific case, however, I am afraid that the graphic way of representing the markedness relations if the vertical lines are omitted.

Table 4: Paradigmatic visualisation of four competing case-system stages in noun phrases with the inclusion of markedness differences (and for the sake of visual clarity: with a specific focus on the marking of case on the typical noun-phrase modifiers) (CC-BY-NC 3.0 Bjarne Simmelkjær Sandgaard Hansen)

5. Conclusion

In this article, I have analysed linguistic data from mainly three Middle Danish texts with respect to case use and presented a development of the Middle Danish case system divided into possibly five stages:

1. Starting point: Historically expected case on both nouns and typical modifiers
2. Historically expected case on typical modifiers and optional accusative participation on nouns
3. Historically expected case on typical modifiers and no case marking on nouns (excluding the genitival clitic *-s*)
4. Optional accusative participation on typical modifiers and no case marking on nouns (excluding the genitival clitic *-s*)
5. End point: Neither case marking on nouns nor on typical modifiers (excluding the genitival clitic *-s*)

I have demonstrated that the Middle Danish case-system changes result neither from reductionist sound laws, nor from linguistic simplification due to language contact. Rather, various processes of grammaticalisation, i.e., processes of change in the function and contents of the grammatical signs and in the paradigmatic oppositions between them, are responsible of the changes. One of these processes is the change from double to single redundancy in noun-phrase-internal agreement. After the fixation of noun-phrase-internal

topology had rendered the use of case for expressing noun-phrase-internal agreement superfluous, this type of indexical reference was phased out gradually in general accordance with both Andersen's (2001: 27–37) principle of markedness agreement and Norde's (2001: 258–261) principle of single encoding.

Based on Nørgård-Sørensen & al. (2011: 5–6) and Nørgård-Sørensen & Heltoft's (2015: 262–263) five criteria for what constitutes a grammatical paradigm, I have also shown that the Middle Danish case system may be described paradigmatically and, correspondingly, that the changes it undergoes constitutes an instance of paradigmatic change. The existence of an intermediate transitional period with competition and seemingly free variation between different Middle Danish case-system stages does not challenge this claim, since these stages do not only represent free variation, but also an instance of difference in markedness relations, i.e., the fifth criterion of Nørgård-Sørensen & al. (2011: 6) and Nørgård-Sørensen & Heltoft (2015: 263).

After subjecting the Middle Danish case-system changes to this paradigmatic test, I dare now claim that the changes in the Middle Danish case system are indeed grammatical changes – and thus represent a process of both grammaticalisation and paradigmatisation – in Nørgård-Sørensen & al. (2011: xi, 71–72) and Nørgård-Sørensen & Heltoft's (2015: 261–262) sense.

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