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BRIEF REPORT

Reduction in albuminuria with dapagliflozin cannot be predicted by baseline clinical characteristics or changes in most other risk markers

Hiddo J. L. Heerspink PhD¹  | C. David Sjöström MD² | Silvio E. Inzucchi MD³ |
Melissa K. Hallow PhD⁴  | Valerie A. Cain MS⁵ | Peter Rossing MD^{6,7} |
Bergur V. Stefansson PhD² | Peter Sartipy PhD^{2,8}

¹Department of Clinical Pharmacy and Pharmacology, University of Groningen, University Medical Center, Groningen, The Netherlands

²AstraZeneca, Gothenburg, Sweden

³Section of Endocrinology, Yale University School of Medicine, New Haven, Connecticut

⁴Department of Epidemiology and Biostatistics, University of Georgia School of Public Health, Athens, Georgia

⁵Bogier Clinical and IT Solutions, Inc., Raleigh, North Carolina

⁶Steno Diabetes Center Copenhagen, Gentofte, Denmark

⁷Department of Clinical Medicine, University of Copenhagen, Copenhagen, Denmark

⁸Systems Biology Research Center, School of Bioscience, University of Skövde, Skövde, Sweden

Correspondence

Dr Hiddo J. L. Heerspink, Department of Clinical Pharmacy and Pharmacology, University of Groningen, University Medical Center, Hanzeplein 1, PO Box 30 001, 9700 RB, Groningen, The Netherlands.
Email: h.j.lambers.heerspink@umcg.nl

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The sodium glucose co-transporter-2 inhibitor dapagliflozin has been shown to decrease urinary albumin-to-creatinine ratio (UACR). This effect, however, varies among individual patients. In this study, we assessed the baseline characteristics and concurrent changes in other cardiovascular risk markers that might be associated with UACR response to dapagliflozin. A pooled analysis of 11 phase 3 randomized, controlled clinical trials was performed. UACR change from baseline after 24 weeks treatment with dapagliflozin 10 mg/d in 531 patients with type 2 diabetes and UACR ≥ 30 mg/g at baseline was determined. UACR response was defined as $>30\%$ reduction from baseline at 24 weeks, whereas UACR non-response was defined as $\leq 30\%$ reduction at 24 weeks. A total of 288 (54%) patients were classified as responders and 243 (46%) as non-responders. At 24 weeks, the UACR-adjusted mean change from baseline was -71.2% and 25.9% in responders and non-responders, respectively. Baseline characteristics were similar between both groups. Changes in HbA1c and body weight were comparable across groups. Responders showed a numerically larger reduction in estimated glomerular filtration rate and systolic blood pressure versus non-responders. UACR reduction to dapagliflozin is an individual characteristic that cannot be predicted by baseline clinical features or changes in metabolic variables. Whether UACR response would improve long-term renal and cardiovascular outcomes remains to be determined.

KEYWORDS

albuminuria, dapagliflozin, diabetes, hypertension, sodium glucose co-transporter-2

1 | INTRODUCTION

Dapagliflozin, a selective sodium glucose co-transporter-2 (SGLT2) inhibitor, has been shown to improve glycemic control and to have beneficial effects on other cardiovascular risk markers, including body weight and blood pressure.^{1,2} Studies of SGLT2 inhibitors in patients with type 2 diabetes with and without kidney impairment have consistently reported modest reversible reductions in estimated glomerular filtration rate (eGFR) and reductions of 30% to 40% in urinary albumin

excretion.^{3–6} Recent large clinical outcome trials in patients with type 2 diabetes at high cardiovascular risk have shown that SGLT2 inhibitors delay the progression of kidney disease and reduce the risk of end-stage kidney disease.^{3,7} Altogether, these data indicate that SGLT2 inhibitors hold great promise as renoprotective agents for patients with type 2 diabetes with prevalent kidney disease.

Although SGLT2 inhibitors have been shown to be effective in lowering albuminuria and stabilizing eGFR on a population level, individual patients may show large variations in their albuminuria

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response to these drugs, similar to what has been reported for ACE inhibitors (ACEi) and angiotensin receptor blockers (ARBs). It has been shown that the inter-individual variation in albuminuria response to dapagliflozin is consistent in both the initial and subsequent exposure to the drug,⁴ suggesting that this variation is based on a specific pharmacodynamic response as opposed to merely random variation.

In order to precisely characterize the inter-individual variations in albuminuria responses to dapagliflozin, we performed a patient-level analysis of a large pooled phase 3 clinical trial database. To this end, we classified patients into responders and non-responders based on their individual change in urinary albumin : creatinine ratio (UACR) with dapagliflozin. We then assessed baseline clinical characteristics and concurrent changes in other cardiovascular risk markers in these two groups.

2 | METHODS

2.1 | Study pool and patient population

This post hoc analysis was performed using pooled data from 11 phase 3, randomized, placebo-controlled clinical trials (Table S1) assessing the effect of dapagliflozin 10 mg/d or placebo administered for 24 weeks in patients with type 2 diabetes. These studies compared dapagliflozin 10 mg with placebo as monotherapy or in combination with other glucose-lowering therapies, including metformin, sulphonylureas, thiazolidinediones, insulin, and dipeptidyl peptidase 4 inhibitors. Two studies enrolled patients at high cardiovascular risk.^{8,9} Detailed methods for individual trials have been described previously.^{1,8-17} All clinical study protocols were approved by the relevant institutional review board/ethics committee and written informed consent was provided by the enrolled patients.

2.2 | UACR responder and non-responder definition

Patients with UACR ≥ 30 mg/g at baseline and assigned to dapagliflozin 10 mg/d were identified and selected for the main analysis. The patient population was then divided into UACR responders and non-responders. UACR response was defined as $>30\%$ reduction in UACR from baseline at week 24 of the treatment period. This threshold has been used in prior observational studies and prospective randomized clinical trials.¹⁸ UACR non-response was defined as $\leq 30\%$ reduction in UACR from baseline at week 24. For sensitivity analyses, UACR response/non-response was defined as $>30\%$ reduction in UACR from baseline at least at one time point during the 24-week treatment period or $\leq 30\%$ reduction in UACR from baseline at all time points during the 24-week treatment period, respectively. In a second sensitivity analysis, UACR response/non-response was defined as $>20\%$ or $\leq 20\%$ reduction in UACR from baseline, respectively, at week 24. In a third sensitivity analysis, UACR response/non-response was defined as $>20\%$ reduction in UACR from baseline at least at one time point during the 24-week treatment period or $\leq 20\%$ reduction in UACR from baseline at all time points during the 24-week treatment period, respectively.

2.3 | Assessments and outcomes

This pooled analysis assessed changes in HbA1c, fasting plasma glucose (FPG), eGFR, body weight, systolic blood pressure (SBP), serum uric acid, haematocrit, and serum bicarbonate levels, as proxy for sodium-hydrogen exchange transporter activity, from baseline to week 24 with dapagliflozin treatment in UACR responders and non-responders. Urinary albumin and creatinine levels were measured in spot urine samples, with the ratio calculated as urine albumin (mg/L)/urine creatinine (g/L). eGFR was calculated using the Modification of Diet in Renal Disease equation. All biochemical assessments were conducted in central laboratories in each study. The frequencies of adverse events (AEs) and serious AEs (SAEs) were also assessed in both groups.

2.4 | Statistical analyses

Descriptive statistics were used for presenting baseline characteristics and safety data. For efficacy variables, mean change from baseline values and 95% CI were derived using a longitudinal repeated measures mixed model with fixed terms for treatment, week, subgroup, study, week-by-treatment interaction, treatment-by-subgroup interaction, and treatment-by-week-by-subgroup interaction, as well as the fixed covariates of baseline, baseline-by-study, and baseline-by-week interactions. UACR values were log transformed (using the natural log). Change in UACR was calculated as $\log(\text{UACR}_{\text{week24}} - \text{UACR}_{\text{baseline}})$ and entered in the repeated measures mixed model. Results from the model were then exponentiated back to the original scale. The Kenward-Roger method was used. When the model did not converge, the Satterthwaite approximation method was used. If this model also did not converge, the Kenward-Roger method was used with the baseline-by-week terms removed. Finally, if this model still did not converge, ANCOVA was performed for each week separately. Correlation (Pearson) analyses of baseline and changes in UACR with changes in eGFR, serum uric acid, SBP, FPG and body weight (log-transformed values) were also performed.

3 | RESULTS

A total of 531 patients treated with dapagliflozin 10 mg/d and who had UACR ≥ 30 mg/g at baseline were available for analysis. In this cohort, UACR was reduced at 24 weeks by 19.1% (95% CI: -27.8 to -9.4) relative to placebo; however, there was a large variation among individual patients in the UACR response to dapagliflozin as reflected by the wide range in the 2.5th to 97.5th percentile values (-93.1% to $+200.9\%$; Figure 1).

A total of 288 patients (54.2%) showed $>30\%$ reduction in UACR from baseline (responders), whereas 243 (45.8%) showed $\leq 30\%$ reduction (non-responders). Among non-responders, UACR levels remained stable over time (Figure 2A). After 24 weeks, the mean change in UACR from baseline in non-responders was 25.9% (95% CI: 17.1 to 35.4). Among responders, UACR was substantially reduced after 4 weeks of treatment and this effect was stable and sustained throughout the 24-week treatment period. At week 24, the average reduction in responders was -71.2% (95% CI: -73.7 to -68.3 ; Figure 2A). Among 437 patients with microalbuminuria, 136 (56.7%)

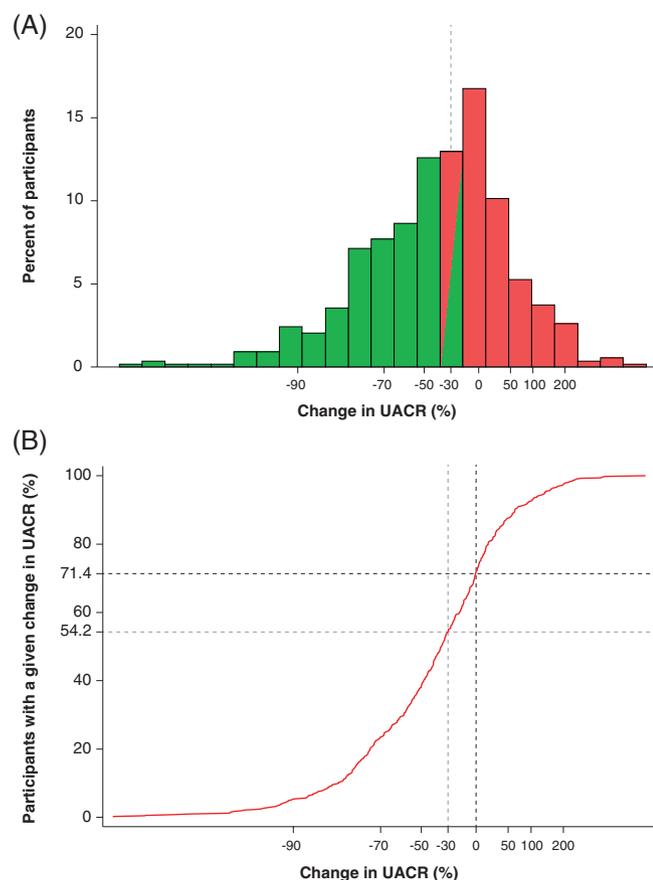


FIGURE 1 Distribution of albuminuria changes from baseline at week 24. A, Histogram and B, cumulative distribution of UACR changes at week 24; 54.2% of patients experienced >30% reduction in UACR and 71.4% of patients experienced >0% reduction in UACR. Abbreviation: UACR, urine albumin : creatinine ratio

UACR responders and 6 (3.0%) UACR non-responders regressed to normoalbuminuria at week 24.

The baseline demographic, clinical, and biochemical characteristics of UACR responders and non-responders were generally similar (Table 1). Specifically, baseline HbA1c, eGFR, SBP and body weight were similar between the responders and non-responders. Cardiovascular disease history, as well as the use of renin-angiotensin-aldosterone system (RAAS) inhibitor, diuretics and insulin, was similar between both groups. A greater proportion of women were classified as responders versus 49% of the men. When analyzed on a continuous scale none of the baseline markers correlated with UACR change (Table S2), except HbA1c and FPG, which showed significant but weak correlations.

3.1 | Cardiovascular risk marker changes and UACR response

FPG was decreased to a slightly larger extent in UACR responders compared to non-responders (24-week FPG change: responders -34.6 mg/dL [95% CI: -39.6 to -29.6] versus non-responders -24.2 mg/dL [95% CI: -29.9 to -18.6]). HbA1c reduction was similar between the groups (Figure 2B). Four weeks after treatment initiation, SBP decreased to a similar degree in responders (-5.1 mm Hg, 95% CI: -6.6 to -3.7) and non-responders (-5.3 mm Hg, 95% CI:

-7.0 to -3.6 ; Figure 2C). However, at the end of week 24, the mean reduction in SBP was numerically larger in the responders (-8.7 mm Hg, 95% CI: -10.2 to -7.2) than the non-responders (-3.5 mm Hg, 95% CI: -5.3 to -1.8). Notably, eGFR change was -2.8 mL/min/1.73 m² (95% CI: -4.0 to -1.5) in responders and -2.6 mL/min/1.73 m² (95% CI: -4.1 to -1.0) in non-responders after 4 weeks. While the eGFR returned to baseline values in non-responders at week 24, the initial reduction in eGFR was sustained in responders over the 24-week treatment period (Figure 2D). Changes in body weight, haematocrit and bicarbonate levels were similar between the two groups (Figure 2E–G). Finally, the mean reduction in serum uric acid was larger in non-responders than in responders at week 24 (Figure 2H).

In a continuous analysis, the change in UACR at week 4 and week 24 in the overall population (responders and non-responders together) was correlated with changes in other cardiovascular risk markers (Table S3). After 4 weeks, a weak positive correlation was observed between changes in UACR and eGFR, whereas a weak negative correlation was observed between changes in UACR and uric acid. At week 24, changes in UACR remained positively correlated with changes in eGFR, while the correlation of UACR with uric acid was lost. Weak correlations were observed with SBP and FPG.

In sensitivity analyses, similar results were obtained when the UACR response was defined as a >20% reduction from baseline at week 24 (Figure S1), as a >30% reduction from baseline at least at one time point during the 24-week treatment period (Figure S2), and as a >20% reduction from baseline at least at one time point during the 24-week treatment period (Figure S3).

3.2 | Safety

Dapagliflozin was well tolerated in both responders and non-responders. The number of AEs, hypoglycaemic events and SAEs was balanced between both groups. The numbers of AEs and SAEs leading to study drug discontinuation were low (Table S4).

4 | DISCUSSION

SGLT2 inhibition with dapagliflozin has been shown to decrease albuminuria by approximately 35% on a population level, but with large inter-individual variability. In this pooled analysis of multiple clinical trials, we showed that approximately half of all patients treated with dapagliflozin displayed a sustained reduction in UACR of >30% from baseline, and this group experienced a mean reduction of >70% at 24 weeks of treatment. In contrast, UACR essentially did not reduce on average in the other half of patients across the treatment period. The reduction in UACR for individuals could not be explained by any of the baseline characteristics analysed here, except that women tended to be more likely to be a responder. Despite the large separation in UACR reduction between the responders and non-responders, no differences were observed in HbA1c and body weight. However, modest differences in eGFR and SBP were noted. This suggests that UACR change in individuals cannot be explained by metabolic variables and can only be partially explained by haemodynamic factors.

TABLE 1 Baseline characteristics of patients who received dapagliflozin stratified by UACR response

Baseline characteristics	Responders (n = 288)	Non-responders (n = 243)
Age, y	59.3 (10.3)	60.0 (8.8)
Women	121 (42.0)	68 (28.0)
Men	167 (58.0)	175 (72.0)
Race		
White	244 (84.7)	202 (83.1)
Black	12 (4.2)	9 (3.7)
Asian	28 (9.7)	22 (9.1)
Other	4 (1.4)	10 (4.1)
Duration of type 2 diabetes, y	10.6 (8.9)	10.6 (8.2)
UACR, mg/g	86.0 (48.5–165.5)	69.0 (42.0–162.0)
≥30 to <300	240	197
≥300	44	46
Body weight, kg	91.5 (19.6)	93.9 (22.7)
sUA, mg/dL	5.60 (1.5)	5.88 (1.7)
HbA1c, %	8.38 (0.9)	8.15 (0.9)
HbA1c, mmol/mol	68	66
Haematocrit, %	42.1 (4.2)	42.6 (4.0)
Serum bicarbonate, mEq/L	25.3 (2.8)	25.8 (3.0)
SBP, mm Hg	136.8 (15.3)	136.6 (14.8)
DBP, mm Hg	79.6 (9.9)	78.7 (8.8)
Pulse pressure, mm Hg	57.2 (13.8)	57.9 (13.1)
eGFR, mL/min/1.73 m ²	80.3 (19.2)	80.7 (21.1)
≥30 to <45	7 (2.4)	7 (2.9)
≥45 to <60	26 (9.0)	36 (14.8)
≥60 to <90	176 (61.1)	118 (48.6)
≥90	79 (27.4)	82 (33.7)
Baseline medications		
Loop diuretics	35 (12.2)	42 (17.3)
Thiazide diuretics	31 (10.8)	18 (7.4)
ACEi/ARB	226 (78.5)	190 (78.2)
Insulin	125 (43.4)	103 (42.4)
SU	82 (28.5)	81 (33.3)
TZD	72 (25.0)	55 (22.6)
DPP4 inhibitors	27 (9.4)	18 (7.4)
Disease history		
CVD and/or HF	160 (55.6)	158 (65.0)
Hypertension	237 (82.3)	208 (85.6)
Dyslipidaemia	195 (67.7)	190 (78.2)
PVD/PAD	42 (14.6)	47 (19.3)

Abbreviations: ACEi, ACE inhibitor; ARB, angiotensin receptor blocker; CVD, cardiovascular disease; DBP, diastolic blood pressure; DPP4, dipeptidyl peptidase 4; eGFR, estimated glomerular filtration rate; HF, heart failure; PAD, peripheral arterial disease; PVD, peripheral vascular disease; SBP, systolic blood pressure; SD, standard deviation; SU, sulphonylurea; sUA, serum uric acid; TZD, type 2 diabetes; UACR, urine albumin : creatinine ratio. Data are presented as mean (SD) or number (%). UACR values represent median (25th, 75th percentile) values in patients with UACR ≥30 mg/g at baseline.

The baseline biochemical and physical characteristics in responders and non-responders were similar, indicating that none of these variables could predict future responder status. Moreover, the

similarity in baseline UACR levels between the two groups implies that the greater reduction in albuminuria in responders cannot be explained by a regression to the mean phenomenon. Further studies are required to determine if novel biomarkers measured before dapagliflozin exposure could aid in predicting reduction in albuminuria with dapagliflozin therapy.

It is improbable that the small differences observed in metabolic variables and blood pressure could explain the large separation in UACR response between the two groups. The similarity in changes in HbA1c, body weight and haematocrit between the two groups is in accordance with previous studies.^{5,19,20} The larger and sustained reduction in eGFR in the responder population is interesting and is most probably of haemodynamic origin because SGLT2 inhibitors acutely decrease renal blood flow and glomerular filtration pressure.²¹ The reduction in glomerular filtration pressure is clinically manifested by an acute fall in eGFR and may, at least in part, explain the reduction in UACR. The significant but weak correlation between changes in eGFR and UACR after 4 weeks of dapagliflozin treatment provides some support for this notion. However, it also indicates that a large part of the UACR-lowering effect remained unexplained, which has been observed in prior studies as well.^{5,20}

The mean reduction in serum uric acid was numerically lower in responders compared with non-responders. Urate levels depend on a balance between uric acid generation and excretion. Uric acid is freely filtered in the glomerulus and reabsorbed in the proximal tubule.²² The observed reduction in eGFR in the UACR responder group may have decreased uric acid filtration, resulting in less pronounced lowering of serum uric acid in the responders.

The studies used to generate the data described in this paper were not designed to characterize individual responses of albuminuria to dapagliflozin. Hence, the results from this post hoc analysis can only be interpreted as hypothesis-generating. A large randomized cross-over study where patients are exposed to placebo and dapagliflozin during various consecutive treatment periods would be the optimal design to assess individual treatment responses. Additionally, UACR was measured in single spot urine samples in most studies. The measurement of UACR in spot urine samples is subject to a large day-to-day variability causing random noise that may weaken the reported associations. Future studies with more frequent urine sampling using first morning void or 24-hour urine samples, which have been shown to be less variable over time in an individual, should be used to gain further mechanistic understanding of the differential response in UACR. For example, a better understanding of the alterations in intra-glomerular pressure and other determinants of glomerular albumin permeability, such as the glycocalyx, would be needed to better assess how these factors determine individual UACR responses to SGLT2 inhibition.

In conclusion, the albuminuria response to dapagliflozin is an individual characteristic that is only partly associated with changes in blood pressure and eGFR; however, it is not readily explained by routinely available clinical laboratory variables or changes in metabolic variables, but is partly associated with changes in eGFR and SBP. Further studies are required to determine whether UACR responders to SGLT2 inhibitors show improved renal and cardiovascular outcomes compared with non-responders.

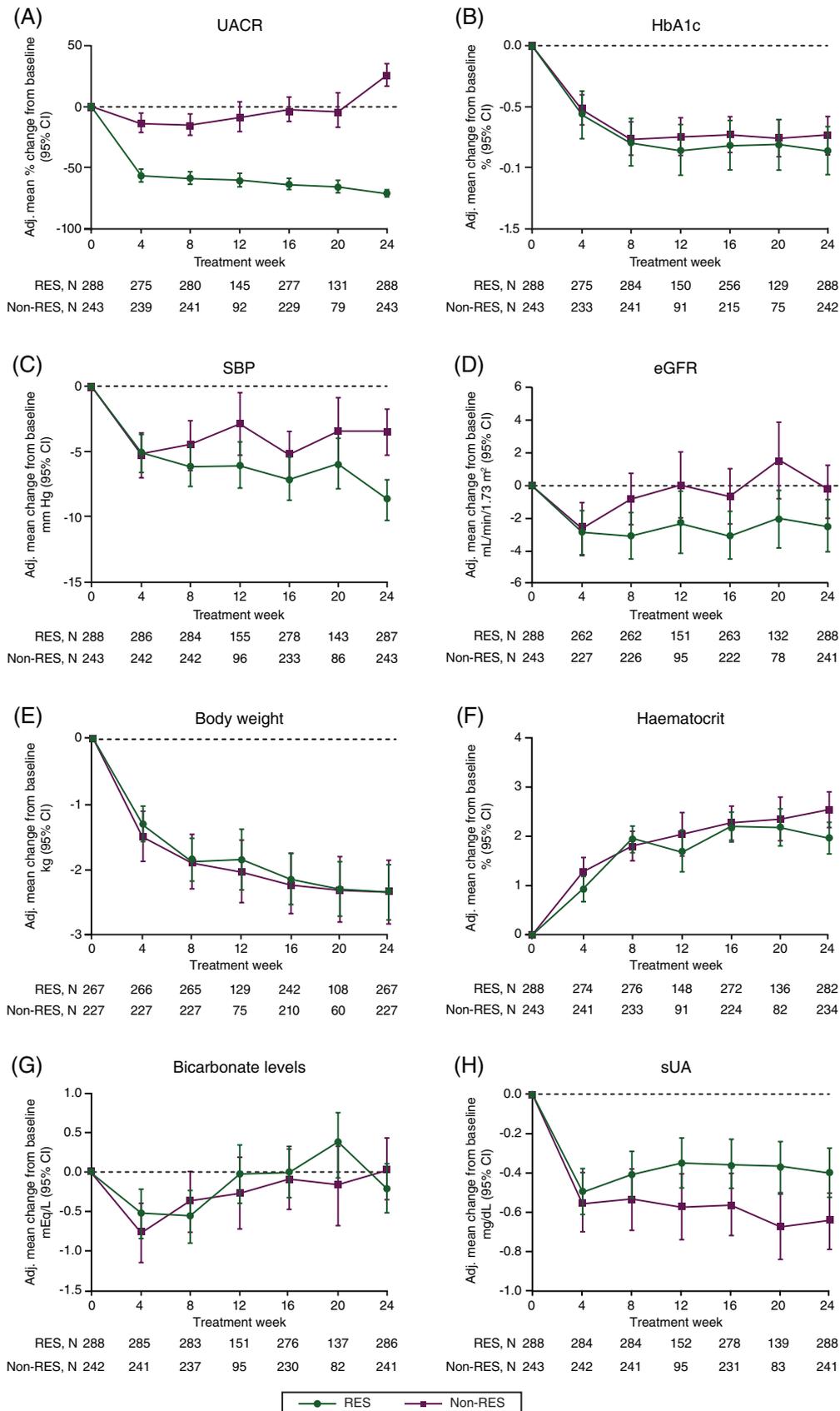


FIGURE 2 Changes in renal and cardiovascular risk markers over time in UACR responders and non-responders. A, UACR; B, HbA1c; C, SBP; D, eGFR; E, body weight; F, haematocrit; G, bicarbonate levels; H, sUA. Abbreviations: Adj., adjusted; eGFR, estimated glomerular filtration rate; non-RES, non-responders; RES, responders; SBP, systolic blood pressure; sUA, serum uric acid; UACR, urine albumin : creatinine ratio

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CONFLICTS OF INTEREST

H.J.L.H. has consultancy agreements with the following companies: Abbvie, Astellas, AstraZeneca, Boehringer Ingelheim, Janssen, Fresenius, Gilead, and Merck, and has a policy of honoraria going to his employer; he has received grant support from Boehringer Ingelheim, AstraZeneca and Janssen (funding to his employer), and is a member of the steering committee for the DAPA-CKD study. P.R. has received honoraria to his institution for advisory committee work or presentations for AstraZeneca, Astellas, Boehringer Ingelheim, Bayer, Novo Nordisk, Eli Lilly, MSD, has received unrestricted research grants from AstraZeneca and Novo Nordisk, is a member of the steering committee for the DAPA-CKD study, and has shares in Novo Nordisk.

C.D.S., B.V.S. and P.S. are employed by AstraZeneca and may own stocks.

Author contributions

P.S., C.D.S., and B.V.S. designed the study. H.J.L.H. and P.S. wrote the first draft of this manuscript. V.C. performed all statistical analyses. All authors contributed to interpretation and critical revisions of the manuscript. All authors approved the submission for publication. H.J.L.H. and P.S. take full responsibility for the work as a whole, including the study design, access to data, and the decision to submit and publish the manuscript.

ORCID

Hiddo J. L. Heerspink  <https://orcid.org/0000-0002-3126-3730>

Melissa K. Hallow  <https://orcid.org/0000-0002-8156-2245>

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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