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Czajka, ukasz

Published in:
2nd International Conference on Formal Structures for Computation and Deduction, FSCD 2017

DOI:
10.4230/LIPIcs.FSCD.2017.14

Publication date:
2017

Document version
Publisher's PDF, also known as Version of record

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Citation for published version (APA):
Confluence of an Extension of Combinatory Logic by Boolean Constants*

Łukasz Czajka

DIKU, University of Copenhagen, Copenhagen, Denmark
luta@di.ku.dk

Abstract
We show confluence of a conditional term rewriting system CL-pc¹, which is an extension of Combinatory Logic by Boolean constants. This solves problem 15 from the RTA list of open problems. The proof has been fully formalized in the Coq proof assistant.

1998 ACM Subject Classification F.4.2 Grammars and Other Rewriting Systems

Keywords and phrases combinatory logic, conditional linearization, unique normal form property, confluence

Digital Object Identifier 10.4230/LIPIcs.FSCD.2017.14

1 Introduction
Combinatory Logic is a term rewriting system defined by two rules:

\[
\begin{align*}
Kxy \rightarrow x & \quad \text{S}xyz \rightarrow xz(yz)
\end{align*}
\]

Using only S and K, it is possible to encode natural numbers via Church numerals. Any computable function may then be represented by a term in the system. However, a conditional C encoded in this way does not have a desirable property that C_{t_1}t_2t_2 = t_2 if t_1 encodes neither true nor false. It is therefore interesting to investigate extensions of Combinatory Logic incorporating a conditional directly. Perhaps the most natural such extension is CL-pc:

\[
\begin{align*}
Kxy \rightarrow x & \quad \text{C}txy \rightarrow x \\
\text{S}xyz \rightarrow xz(yz) & \quad \text{CF}xy \rightarrow y
\end{align*}
\]

The system CL-pc is known to be not confluent [7]. One may thus try other ways of adding a conditional and Boolean constants to Combinatory Logic.

We show confluence of a conditional term rewriting system CL-pc¹ defined by the rules:

\[
\begin{align*}
Kxy \rightarrow x & \quad \text{C}txy \rightarrow x \\
\text{S}xyz \rightarrow xz(yz) & \quad \text{CF}xy \rightarrow y
\end{align*}
\]

Confluence of this system¹ appears as problem 15 on the RTA list of open problems [5].

The equality in the side condition for the third rule for C in CL-pc¹ refers to equality in the system CL-pc¹ itself, thus the definition is circular. This circularity is an essential property of CL-pc¹ which distinguishes it from CL-pc.

* Supported by Marie Skłodowska-Curie action “InfTy”, program H2020-MSCA-IF-2015, number 704111.
¹ Strictly speaking, in the literature the systems CL-pc, CL-pc¹ and CL-pc² also contain the rule Ix → x. This rule could be added to our definitions without significantly changing the proofs. However, this would increase the number of cases to consider, making the proofs less readable. The formalization of our results uses the definitions from the literature.
A system related to CL-pc\(^1\) is CL-pc\(^L\), which consists of all rules of CL-pc\(^1\) plus:

\[ C_{zxy} \rightarrow y \quad \Leftarrow \quad x = y \]

It is known that CL-pc\(^L\) is confluent [4]. However, the confluence proof in [4] essentially depends on a “semantic” argument to first establish \( T \neq_{\text{CL-pc}^L} F \). We provide a “syntactic” proof of confluence of both CL-pc\(^1\) and CL-pc\(^L\).

The systems CL-pc\(^1\) and CL-pc\(^L\) are conditional linearizations of CL-pc. The notion of conditional linearization was introduced in the hope of providing a simpler proof of Chew’s theorem [2, 9] which states that all compatible term rewriting systems have the unique normal form (UN) property. Compatibility imposes certain restrictions on the term rewriting system, but it does not require termination or left-linearity. In particular, Chew’s theorem is applicable to many term rewriting systems which are not confluent. For instance, CL-pc satisfies the conditions of Chew’s theorem, but it is not confluent. As shown in [4], to prove the unique normal form property of a term rewriting system, it suffices to prove confluence of one of its conditional linearizations. The proof of Chew’s theorem in [9] is quite complicated and uses a related but different approach, relying on left-right separated conditional linearizations instead of the more straightforward ones from [4]. The original proof by Chew [2] uses yet another different but related method, but Chew’s proof was later found to contain a gap.

In general, the methods of the present paper are broadly related to the problem of establishing the UN property for classes of term rewriting systems which include non-left-linear non-confluent systems. Aside of Chew’s theorem, some other work in this direction has been carried out in e.g. [8, 12, 13, 10, 6].

In order to increase confidence in the correctness of the main result of this paper, we have formalized our proof of confluence of CL-pc\(^1\) in the Coq proof assistant. The formalization is available online\(^2\). It follows closely the development presented here. We used the CoqHammer [3] tool and the automated reasoning tactics included with it.

\section{Proof overview}

In this section we present an informal overview of the proof, trying to convey the underlying intuitions. Section 3 presents formal definitions of the notions informally motivated here, and Section 4 provides details of the proof itself.

We assume familiarity with basic term-rewriting [1, 11]. By \( \rightarrow^* \) we denote the transitive-reflexive closure of a relation \( \rightarrow \), by \( \rightarrow^\equiv \) its reflexive closure, by \( \leftrightarrow \) the symmetric closure, and by \( = \) the reflexive-transitive-symmetric closure. We use \( \equiv \) to denote identity of terms. By \( \rightarrow^1 \) we denote reduction to normal form, i.e., \( t \rightarrow^1 s \) if \( t \rightarrow^* s \) and \( s \) is in normal form. By \( \cdot \) we denote composition of relations, e.g. \( t \rightarrow \cdot \leftrightarrow t' \) holds iff there exists \( t_0 \) such that \( t \rightarrow t_0 \) and \( t_0 \leftrightarrow t' \). We use the standard notions of subterms and subterm occurrences, which could be formally defined by introducing the notion of positions. If \( t \) is a redex, i.e. \( t \equiv \sigma l \) for some term \( l \) and substitution \( \sigma \), then a subterm \( s \) occurs below a variable position of the redex \( t \) if \( s \) occurs in a subterm of \( t \) occurring at the position of a variable in \( l \). The contraction in \( t_1 \rightarrow t_2 \) occurs at the root if \( t_1 \) is the contracted redex.

Let \( u \) be a normal form w.r.t. a relation \( \rightarrow \). The relation \( \rightarrow \) (or the underlying rewrite system) is \( u \)-normal if for every \( t \) such that \( t = u \) we have \( t \rightarrow^* u \).

\[^2\] http://www.minuw.edu.pl/~lukaszcz/clc.tar.gz
The most difficult part of our confluence proof is to show that \( CL-pc^1 \) is \( F \)-normal (Lemma 27). The confluence of \( CL-pc^1 \) (and \( CL-pc^2 \)) is then obtained by a relatively simple argument similar to the one used in [4] to derive the confluence of \( CL-pc^1 \) from \( T \neq CL-pc \).

An important observation is that \( q_1 =_{CL-pc^1} q_2 \) and \( q_1 =_{CL-pc} q_2 \) are in fact equivalent (Lemma 2). Hence, we will use \( =_{CL-pc^1} \) and \( =_{CL-pc} \) interchangeably. In particular, we actually prove that for any term \( q \), if \( q =_{CL-pc} F \) then \( q \rightarrow^*_{CL-pc^1} F \).

A naive approach to prove this could be to proceed by induction on the length of the conversion \( q =_{CL-pc} F \). In the inductive step we would need to prove:
1. if \( q \rightarrow^*_{CL-pc^1} F \) and \( q \rightarrow_{CL-pc} q' \) then \( q' \rightarrow^*_{CL-pc^1} F \),
2. if \( q \rightarrow^*_{CL-pc^1} F \) and \( q_{CL-pc} \rightarrow q' \) then \( q' \rightarrow^*_{CL-pc^1} F \).

The second part is obvious, but the first one is hard. The difficulty stems from the existence of a non-trivial overlap between the rules for \( C \). If \( t_1 =_{CL-pc^1} t_2 \) then \( CFt_1t_2 \rightarrow_{CL-pc^1} t_1 \) by the third rule of \( CL-pc^1 \) and \( CFt_1t_2 \rightarrow_{CL-pc} t_2 \) by the second rule of \( CL-pc \). We do not know enough about \( t_1 \) and \( t_2 \) to easily infer that they have a common reduct in \( CL-pc^1 \).

One may try to strengthen the inductive hypothesis in the hope of making the first part easier to prove. A naive attempt would be to claim that all reductions starting from \( q \end{inlinetext}
speak, we have just silently shifted from considering contractions in “plain” terms of the system CL-$\text{pc}^1$ to contractions in their labeled variants, in a different rewriting system which we have not yet defined. In particular, we will actually be interested in $s$-reductions ending in a labeled variant $F_1$ of $F$. However, it will be later shown that $s$-reductions defined on labeled terms may be “erased” to appropriate reductions in the system CL-$\text{pc}^1$. In the next section we define the system CL-$\text{pc}^\alpha$ (Definition 4) over labeled terms (Definition 3) which will give precise rules of $s$-contraction. In this section we only give informal motivations.

The labels constrain the ways in which $s$-reduces may be contracted and encode permissible $s$-reductions to $F_1$. Each term decomposes into a “significant” prefix and an “insignificant” suffix (cf. 1 in Definition 8). The “significant” prefix contains all labeled constants and no unlabeled constants. The “insignificant” suffix consists of all “insignificant” subterms. All constants in the “insignificant” suffix are unlabeled. This is analogous to the existence of a needed prefix and a non-needed suffix in orthogonal TRSs [11, Section 9.2.2]. An “insignificant” subterm does not overlap with any needed redexes. No position inside an “insignificant” subterm (dynamically) traces to $F_1$ along any $s$-reduction to $F_1$ (cf. [11, Definition 8.6.7]). In contrast, each $s$-redex needs to be either $s$-contracted or erased by a rule for $C_2$ (see Definition 4) in any $s$-reduction to $F_1$. Each position of a labeled constant either traces to $F_1$ along a given $s$-reduction to $F_1$, or is erased in that $s$-reduction by a rule for $C_2$. An $s$-reduct of an $s$-term is always also an $s$-term (cf. 5 in Definition 8).

We write $t \rightarrow_s t'$ for one-step reduction in CL-$\text{pc}^\alpha$. We use the abbreviation $s$-NF for CL-$\text{pc}^\alpha$-normal form. We write $t \not\rightarrow_s t'$ when, among other conditions to be defined later, $t$ is complete, i.e. terminating and confluent, w.r.t. $s$-reductions with $F_1$ as the normal form (cf. Definition 8).

With the set $S(q =_{\text{CL-}pc} F)$ coded by labels, the two parts of the inductive step become:

1. if $t$ is a labeled variant of $q$ such that $t \not\rightarrow_{F_1} F$, and $q \rightarrow_{\text{CL-}pc} q'$, then there exists a labeled variant $t'$ of $q'$ such that $t' \not\rightarrow_{F_1}$ (cf. Corollary 15),

2. if $t$ is a labeled variant of $q$ such that $t \not\rightarrow_{F_1}$, and $q \rightarrow_{\text{CL-}pc} q'$, then there exists a labeled variant $t'$ of $q'$ such that $t' \not\rightarrow_{F_1}$ (cf. Corollary 25).

Now we provide some explanations on how the terms will be labeled. For this purpose we analyze why the second part fails when we take $S(q =_{\text{CL-}pc} F)$ to be the set of all reductions starting from $q$. We indicate how to introduce the labeled variants so as to make the second part go through while still retaining the feasibility of showing the first part.

Suppose $q \rightarrow_{\text{CL-}pc} q'$ at the root and we have already decided on the labeled variant $t$ of $q$. We need to decide on a labeled variant $t'$ of $q'$, and assign appropriate meaning to the labels, in such a way that the second part goes through. In short, in $t'$ we preserve the labelings of the subterms of $q'$ which are copied to $q$ in $q' \rightarrow_{\text{CL-}pc} q$, we do not label the new subterms of $q'$ which are erased in $q' \rightarrow_{\text{CL-}pc} q$ (they become $i$-terms), and we ensure that $i$-terms and cannot influence any $s$-reduction from $t'$ to $F_1$. First of all, if $t$ is an $i$-term, i.e., $t \equiv q$, then we may take $t' \equiv q'$. So assume $t$ is an $s$-term. Then there are the following possibilities.

- If $q' \equiv Ctq_0 \rightarrow_{\text{CL-}pc} q$ then $q_0$ is a new subterm. We take $t' \equiv C_1q_1tq_0$. The labeling $C_1$ of $C$ will be interpreted as not permitting contraction by the third rule, i.e., in CL-$\text{pc}^\alpha$ we will only have the rules $C_1T_{xy} \rightarrow x$ and $C_1F_{xy} \rightarrow y$. This ensures that $q_0$ gets erased in every $s$-reduction of $t'$ to $F_1$.

- The case when $q' \equiv Cf_0q \rightarrow_{\text{CL-}pc} q$ is analogous: we take $t' \equiv C_1F_1tq_0$.

- If $q' \equiv Cq_0q \rightarrow_{\text{CL-}pc} q$ by the third rule, then $q_0$ is a new term. We take $t' \equiv C_2q_0tt$. In
We also need to ensure that we can handle the first part of the inductive step when $q \rightarrow_{\text{CL-pc}} q'$. Suppose $t$ is the labeled variant of $q$. We need to find a labeled variant for $q'$. For simplicity assume that there is only one position in $t$ which corresponds to the position of the contraction in $q$. If the contraction occurs inside an $i$-term in $t$, then it does not matter and we may label $q'$ in the same way as $q$. If an $s$-term is contracted in a way permitted for significant contraction, then it is also obvious how to label $q'$ – just take the labeled variant of $q'$ to be the reduct of the labeled variant of $q$. But what if neither of the two holds?

For instance, what if $t \equiv C_1 t_0 t_1 t_2$ but $q \equiv C_0 t_0 q' \rightarrow_{\text{CL-pc}} q''$? This possibility is not problematic, provided that $t_0 \rightarrow_s^* T_1$ or $t_0 \rightarrow_s^* F_1$, which will be the case because $t_0$ was
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“obtained” from $T_1$ or $F_1$ by a conversion with the intermediate terms labeled appropriately (cf. 2 in Definition 8 and 6 in Lemma 9). If e.g. $t_0 \to^*_T T_1$ then we take $t_1$ to be the labeling of $q'$. We then have $C_1 t_0 t_1 t_2 \to^*_T C_1 T_1 t_1 t_2$ and the contraction $C_1 T_1 t_1 t_2 \to_s t_1$ is permitted for “significant” contractions.

The last problematic case is when e.g. $t \equiv C_2 F_1 t_2$ is the labeling of $q \equiv CF_1 q'$, and $q \to^{CL-pc} q'$ by the second rule. However, because $C_2 F_1 t_2$ was “obtained” from $C_2 q't' t'$ we will have $|t_1| \models^{CL-pc} |t_2|$ (cf. 3 in Definition 8). Then the second rule for $C_2$ in $CL-pc$ is applicable and we may take $t_2$ as the labeling of $q'$.

3 Definitions

This section is devoted to fixing notation and introducing definitions of various technical concepts. First, we clarify the formal definition of conditional term rewriting systems. For more background on conditional rewriting see e.g. [11].

Definition 1. A conditional rewrite rule is a rule of the form $l \to r \leftarrow P(x_1, \ldots, x_n)$, where $l$ is not a variable, $\text{Var}(r) \subseteq \text{Var}(l)$, $x_1, \ldots, x_n \in \text{Var}(l)$, and $P(x_1, \ldots, x_n)$ is the condition of the rule, with $P$ a fixed predicate on terms. The predicate $P$ may refer to the conversion relation $=$ of the conditional term rewriting system being defined. A term $t$ is a redex (contractum) by this rule if there is a substitution $\sigma$ such that $t \equiv \sigma l \ (t \equiv \sigma r)$ and $P(\sigma(x_1), \ldots, \sigma(x_n))$ holds. A conditional term rewriting system $R$ is a set of conditional rewrite rules. Because the conditions in the rules may refer to the conversion relation of $R$, the definition is circular. Formally, an $R$-contraction $q \to_R q'$ is defined in the following way. Define $R_0$ to be the system $R$ but using the equality relation in place of $=$ in the conditions, and $R_{n+1}$ to be the system $R$ with the conversion relation $\models_{R_n}$ of $R_n$ used in place of $\models$. Then we define $q \to_R q'$ to hold if there is $n \in \mathbb{N}$ with $q \to_{R_n} q'$. The least such $n$ is called the level of the contraction. If the conditions are continuous w.r.t. $\models$ then the relation $\to_R$ is a fixpoint of the above construction, i.e., it is the contraction relation of the system $R_\infty$ which uses $\models_R$ in place of $\models$. Let $\sim$ be a binary relation on terms. If for any substitution $\sigma$ such that $P(\sigma(x_1), \ldots, \sigma(x_n))$ holds, and any $\sigma'$ such that $\sigma(x) \sim \sigma'(x)$ for all variables $x$, also $P(\sigma'(x_1), \ldots, \sigma'(x_n))$ holds, then the condition $P(x_1, \ldots, x_n)$ is stable under $\sim$.

The following is a simple but crucial observation, which implies that it suffices to consider conversions in $CL-pc$. A generalization of this fact was already shown in [4, Lemma 3.7]. The proof is by induction on the maximum level of the contractions/expansions in $q \models^{CL-pc} q'$.

Lemma 2. The following are equivalent: $q \models^{CL-pc} q'$, $q \models^{CL-pc} q'$, and $q \models^{CL-pc} q'$.

Definition 3. We define insignificant terms, or $i$-terms, to be the terms of $CL-pc$, i.e., terms over the signature $\Sigma = \{ @, C, T, F, K, S \}$ where $@$ is a binary function symbol and the other symbols are constants. We write $t_1 t_2$ instead of $@ (t_1, t_2)$. The set of labeled terms, or $l$-terms, is the set of terms over the signature consisting of the symbols of $\Sigma$, the labeled constants $C_1, C_2, T_1, F_1, K_1$ and $S^{n_0, \ldots, n_k}$ for each $k, n_1, \ldots, n_k \in \mathbb{N}$, and an $n$-ary function symbol $P^n$ for each $n \in \mathbb{N}_+$. We write $\langle t_1, \ldots, t_n \rangle$ instead of $P^n (t_1, \ldots, t_n)$. We adopt the convention $\langle t \rangle \equiv t$. If $t \equiv \langle t_1, \ldots, t_n \rangle$ with $n > 1$, then we say that $t$ is a tuple of length $n$. Note that $\langle t \rangle \equiv t$ is just a notational convention. We say that $\langle t_1, \ldots, t_n \rangle$ is a tuple only when $n > 1$.

An erasure of an $l$-term is defined as follows:

- an $i$-term is an erasure of itself,
- $C$ is an erasure of $C_1$ and $C_2$; $T$ is an erasure of $T_1$; $F$ is an erasure of $F_1$; $K$ is an erasure of $K_1$; $S$ is an erasure of $S^{n_1, \ldots, n_k}$.
The condition

Definition 4. The system CL-\( s\) is defined by the following significant reduction rules:

\[
\begin{align*}
C_1T_1xy &\rightarrow x & C_2zxy &\rightarrow x &\iff |x| =_{CL-\text{pc}}^s |y| \\
C_1F_1xy &\rightarrow y & C_2zxy &\rightarrow y &\iff |x| =_{CL-\text{pc}}^s |y| \\
K_1xy &\rightarrow x \\
S^n x(y_1, \ldots, y_k)(z_0, \ldots, z_k) &\rightarrow x(z_0)(y_1(z_1)), \ldots, y_k(z_k)) &\iff \varphi
\end{align*}
\]

where

\[
\varphi \equiv |z_{i,j}| =_{CL-\text{pc}}^s |z'_{i',j'}| \text{ for } i, i' = 0, \ldots, k, j = 1, \ldots, n_i, j' = 1, \ldots, n_{i'}, \text{ and } |y_i| =_{CL-\text{pc}}^s |y_j| \text{ for } i, j = 1, \ldots, k,
\]

and \(n\) stands for \(n_0, \ldots, n_2\), and \(z_i\) stands for \(z_{1,i}, \ldots, z_{n_i,1}\), for \(i = 0, \ldots, k\). When dealing with terms whose leftmost constant is \(S^{n_0 \ldots n_k}\), we will often use this kind of vector notation.

Recall the convention \((t) \equiv t\). Hence, if e.g. \(n_0 = 1\), then \((z_0) \equiv (z_0, 1) \equiv z_{0,1}\) in the above rule.

The condition \(\varphi\) ensures that the leftmost erasures of all \(z_{i,j}\) are convertible in CL-\( s\), and that the leftmost erasures of all \(y_i\) are convertible in CL-\( s\). Some examples of significant reduction rules for \(S^2\) (omitting the conditions) are:

\[
\begin{align*}
S^{1,1} x_1y_1(z_{0,1}, z_{1,1}) &\rightarrow x_1z_{0,1}(y_1z_{1,1}) \\
S^{1,2,1} x_1y_1(z_{0,1,1}, z_{1,1,1}, z_{2,1,2}) &\rightarrow x_1z_{0,1}(y_1(z_{1,1,1}, z_{2,1,2}), y_2z_{2,1,2}) \\
S^{2,2} x_1y_1(z_{0,1,1}, z_{2,2,1}) &\rightarrow x_1(z_{0,1,1}, z_{2,2,1})(y_1(z_{1,1,1}, z_{2,1,2}))
\end{align*}
\]

For instance, the condition for the second of these rules states that \(|y_1| =_{CL-\text{pc}}^s |y_2|\), \(|z_{0,1}^1| =_{CL-\text{pc}}^s |z_{1,1}|\), \(|z_{0,1}^2| =_{CL-\text{pc}}^s |z_{1,2}|\), \(|z_{0,1}^3| =_{CL-\text{pc}}^s |z_{2,1}|\), \(|z_{1,1}| =_{CL-\text{pc}}^s |z_{1,2}|\), etc.

Note that the equality \(=_{CL-\text{pc}}^s\) in the conditions refers to the system CL-\( s\), not CL-\( s\). Note also that all rules of CL-\( s\) are linear, disregarding the side-conditions.

Reduction by a rule in CL-\( s\) is called significant reduction, or \(s\)-reduction. One-step \(s\)-reduction is denoted by \(\rightarrow_s\). Analogously, we use the terminology and notation of \(s\)-contraction, \(s\)-expansion, \(s\)-normal form (\(s\)-NF), etc. Note that every \(s\)-redex is an \(s\)-term. We write \(t \rightarrow_s t'\) if \(t \rightarrow_s t'\) and the \(s\)-contraction is not by the second rule for \(C_2\) and it does not occur inside a tuple.

An \(i\)-redex is a CL-\( s\)-redex which is also an \(i\)-term. An \(l\)-term \(t_1\) is said to \(i\)-reduce to \(t_2\), denoted \(t_1 \rightarrow_i t_2\), if \(t_1 \rightarrow_{CL-\text{pc}}^i t_2\) and the redex contracted in \(t_1\) is an \(i\)-term. An \(l\)-term \(t_1\) is said to \(i\)-expand to \(t_2\) if \(t_2 \rightarrow_i t_1\). We write \(t_1 \rightarrow_{i,s} t_2\) if \(t_1 \rightarrow_i t_2\) or \(t_1 \rightarrow_s t_2\).

Actually, we will consider mostly \(l\)-terms whose all erasures are identical. For such a term an \(s\)-contraction by a rule for \(S^2\) in CL-\( s\) naturally corresponds to a CL-\( s\)-contraction on its erasure. We could get rid of the side conditions in the rules for \(S^2\) and
consider exclusively terms whose all erasures are identical. But then we would need to
require \( i/s \)-contractions/expansions to always occur “in the same way” (modulo labeling)
in all components of a tuple. This would complicate the inductive proofs concerning the
relations \( \rightarrow_s, \rightarrow_t \), etc. Hence, the role of the conditions in the rules for \( S^2 \) is purely technical.

**Lemma 5.** The system CL-pc* is terminating.

**Proof.** The number of labeled constants decreases with each \( s \)-contraction.

**Lemma 6.** If \( t_1 \rightarrow_s t_2 \) then \( |t_1| =_{\text{CL-pc}} |t_2| \).

The above simple lemma implies that the conditions in significant reduction rules are
stable under \( s \)-reduction and \( s \)-expansion. It is obvious that they are also stable under
\( i \)-reduction and \( i \)-expansion.

**Lemma 7.** If \( t \triangleright q \) and \( t \rightarrow^*_s t' \) then there is \( q' \) with \( q \rightarrow_{\text{CL-pc}} q' \) and \( t' \triangleright q' \).

**Proof.** Because all erasures of \( t \) are identical and the second rule for \( C_2 \) is not used, the
\( s \)--reduction may be simulated by a CL-pc\(^1\)-reduction in an obvious way. Because the
\( s \)--contraction does not occur inside a tuple, all erasures of \( t' \) are still identical.

In the next definition we introduce the predicate \( \ll F_1 \) and the notion of standard \( l \)-terms.
Intuitively, an \( l \)-term \( t \) is standard if the labelings in \( t \) have the meaning we intend to assign
them, i.e. if \( t \) is a term obtained by the process informally described in the previous section.

**Definition 8.** An \( l \)-term \( t \) is **standard** if for every subterm \( t' \) of \( t \) the following hold:
1. \( t' \) is either an \( i \)-term, an \( s \)-term or a tuple,
2. if \( t' \equiv C_1 t_0 t_1 t_2 \) and \( t_0 \) is in \( s \)-NF, then \( t_0 \equiv T_1 \) or \( t_0 \equiv F_1 \),
3. if \( t' \equiv C_2 t_0 t_1 t_2 \) then \( |t_1| =_{\text{CL-pc}} |t_2| \),
4. if \( t' \equiv S^{n_0 \ldots n_k} t_0 t_1 t_2 \) then \( t_2 \) is a tuple of length \( \sum_{i=1}^{k} n_k \) and if \( k > 1 \) then \( t_1 \) is a tuple
   of length \( k \),
5. if \( t' \) is an \( s \)-term and \( t' \rightarrow^*_s t'' \), then \( t'' \) is also an \( s \)-term,
6. if \( t' \equiv \langle t_1, \ldots, t_n \rangle \) with \( n > 1 \), then none of \( t_1, \ldots, t_n \) is a tuple.

An \( l \)-term \( t \) is **strongly standard** if \( t \rightarrow^*_s t' \) implies that \( t' \) is standard. We write \( t \ll F_1 \) if \( t \) is
strongly standard and has no \( s \)-NFs other than \( F_1 \), i.e. if \( t \rightarrow^*_s t' \) then \( t' \equiv F_1 \).

Point 1 in Definition 8 essentially ensures that a standard term may be decomposed into
a “significant” prefix and an “insignificant” suffix. A labeled term which is not standard
is e.g. \( CT_1 \), because it is neither an \( s \)-term, nor an \( i \)-term, nor a tuple. Other examples of
non-standard terms are: \( C_1 TFF, C_2 CTF, S^{1,1,1} TTT, K_1 FFF, C_2 CT_1 T, S^{1,1} CC(T_1, T_1) \),
\( K_1(T_1, T_1) T_1, \langle(C, C), C \rangle \). Examples of standard terms which are not strongly standard are:
\( S^{1,1} C_1 C(T_1, T_1), S^{1,1} C_1 C(T, T) T, (K_1 C_1 T_1) TTT \).

**Lemma 9.**
1. Any \( i \)-term is standard.
2. Any labeled constant is standard.
3. Every subterm of a standard term is also standard.
4. Every subterm of a term to which some strongly standard term \( s \)-reduces, is strongly
   standard.
5. If \( t_1 t_2 \) is standard then \( t_2 \) is not a tuple.
6. If \( C_1 t_0 t_1 t_2 \) is a subterm of a strongly standard term, then \( t_0 \rightarrow^*_s T_1 \) or \( t_0 \rightarrow^*_s F_1 \).

**Proof.** Follows from definitions. For the last point one also needs Lemma 5.
4 Confluence proof

We now give technical details of our confluence proof. As outlined in Section 2, we show:
1. if \( t \mapsto q \) and \( t \downarrow_{F_1} \), and \( q \mapsto_{\text{CL-pc}} q' \), then there is \( t' \mapsto q' \) and \( t' \downarrow_{F_1} \) (Corollary 15),
2. if \( t \mapsto q \) and \( t \downarrow_{F_1} \), and \( q \mapsto_{\text{CL-pc}} q' \), then there is \( t' \mapsto q' \) and \( t' \downarrow_{F_1} \) (Corollary 25).

The first part is proven by showing that CL-pc-reductions in \( q \) may be simulated by \( i \)-reductions and \( s \)-reductions in \( t \), and that \( i/s \)-reductions preserve \( \downarrow_{F_1} \) (Lemma 13 and Lemma 14). For the second part, we show that CL-pc-expansions in \( q \) may be simulated by \( i \)-expansions and \( a \)-expansions (Definition 16) in \( t \). The technical notion of \( a \)-expansion is needed to ensure that the new subterms of \( t' \) are labeled appropriately, in the way outlined in Section 2 (\( s \)-contraction by itself does not put any labeling restrictions on the terms erased in the contraction). Moreover, \( a \)-expansion is also needed to facilitate the proof that \( t' \downarrow_{F_1} \) (see the discussion before Definition 16). Plain \( s \)-expansion does not necessarily preserve \( \downarrow_{F_1} \), while \( a \)-expansion does (Lemma 24).

In other words, we show that CL-pc-reductions (expansions) in unlabeled terms may be simulated by \( i/s \)-reductions (\( i/a \)-expansions) in their labeled variants, and that \( i/s \)-reductions (\( i/a \)-expansions) preserve \( \downarrow_{F_1} \). A conversion \( q \mapsto_{\text{CL-pc}} F \) can then be translated into a conversion \( t =_{i,s,a} F_1 \) with no \( s \)-expansions or \( a \)-reductions, and with \( t \mapsto q \). For instance, a conversion in CL-pc

\[
F \leftarrow C(KF\Omega)FF \leftarrow C(KF\Omega)(CTF(KF\Omega)) \rightarrow CFF(CTF(KF\Omega)) \leftarrow CFF(C(KTF)F(KF\Omega)) \rightarrow C(KTF)F(KF\Omega) \rightarrow C(KTF)FF \rightarrow F
\]

will be translated to

\[
F_1 \leftarrow C_1(KF\Omega)F_1F_1 \leftarrow C_1(KF\Omega)F_1(C_1T_1F_1(KF\Omega)) \rightarrow C_2FF_1(C_1T_1F_1(KF\Omega)) \leftarrow C_2FF_1(C_1(K_1T_1F_1)F_1(KF\Omega)) \rightarrow C_1(K_1T_1F_1)F_1F_1 \rightarrow_{a}^* F_1 \leftarrow K_1F_1(CF) \leftarrow S^{1,1}K_1C(F_1,F) \leftarrow S^{1,1}K_1C(K_1F_1\Omega,KF\Omega) \rightarrow_{a}^* S^{1,1}K_1C(F_1,F)
\]

Since \( F_1 \downarrow_{F_1} \) and we prove that \( i/s \)-reductions and \( i/a \)-expansions preserve \( \downarrow_{F_1} \), we may conclude that \( t \downarrow_{F_1} \). Then by the definition of \( \downarrow_{F_1} \) we obtain a significant reduction \( t \rightarrow_{a}^* F_1 \).

In fact, the reduction may be assumed to be a \( s \)-reduction (Lemma 26). By Lemma 7 this reduction \( t \rightarrow_{a}^* F_1 \) may be translated into a CL-pc\(^{-} \)-reduction by erasing the labelings. Hence finally \( q \mapsto_{\text{CL-pc}^{-}} F \) (Lemma 27).

We first show that a CL-pc-contraction may be simulated by \( i \)-reductions and \( s \)-reductions.

\( \blacktriangleright \) **Lemma 10.** If \( t \) is strongly standard, \( t \mapsto q \) and \( q \mapsto_{\text{CL-pc}} q' \), then there exists a term \( t' \) such that \( t \rightarrow_{i,s}^* t' \) and \( t' \mapsto q' \).

**Proof.** Induction on the size of \( t \). First assume \( t \) is not a tuple and \( q \) is the CL-pc-redex contracted in \( q \mapsto_{\text{CL-pc}} q' \). If \( t \equiv q \) then \( t \equiv q \mapsto_{s} q' \) and we may take \( t' \equiv q' \). If \( t \neq q \) then \( t \) is not an \( i \)-term because \( t \mapsto q \). Hence by 1 in Definition 8 we conclude that \( t \) is an \( s \)-term. We have the following possibilities.

- If \( q \equiv CTq_{1}q_{2} \mapsto_{\text{CL-pc}} q_{1} \equiv q' \) then the leftmost constant in \( t \) is either \( C_1 \) or \( C_2 \).
  - If \( t \equiv C_1T_1t_1 \) then \( t \rightarrow_{s} t_1 \) and \( t_1 \mapsto q_{1} \), so we may take \( t' \equiv t_1 \).
  - The case \( t \equiv C_1T_1t_2 \) is impossible by 2 in Definition 8.
  - If \( t \equiv C_2t_1t_2 \) then \( t_1 \mapsto q_{1} \) and \( [t_1] =_{\text{CL-pc}^{-}} [t_2] \) by 3 in Definition 8. Thus \( t \rightarrow_{s} t_1 \) and we may take \( t' \equiv t_1 \).
  - If \( q \equiv CFq_{1}q_{2} \mapsto_{\text{CL-pc}} q_{2} \) then the argument is analogous. Note that the presence of the second rule for \( C_2 \) is necessary here.
Confluence of an Extension of Combinatory Logic by Boolean Constants

If \( q \equiv C q_0 q_1 \rightarrow_{\text{CL-pc}} q_1 \) then \( t \equiv C t_0 t_1 t_2 \) with \( C' \in \{ C_1, C_2 \} \), \( t_0 \rightarrow q_0 \), \( t_1 \rightarrow q_1 \) and \( t_2 \rightarrow q_1 \).

If \( C' \equiv C_1 \) then \( t_0 \rightarrow^* T_1 \) or \( t_0 \rightarrow^* F_1 \) by Lemma 9. Hence \( t \rightarrow^* t_1 \) or \( t \rightarrow^* t_2 \). In the first case we may take \( t' \equiv t_1 \), and in the second we take \( t' \equiv t_2 \).

If \( C' \equiv C_2 \) then \( t \rightarrow s t_1 \) because \( |t_1| \equiv |t_2| \equiv q_1 \). Thus we take \( t' \equiv t_1 \).

If \( q \equiv K q_0 q_1 \rightarrow_{\text{CL-pc}} q_1 \) then \( t \equiv K t_1 t_2 \rightarrow s t_1 \) with \( t_1 \rightarrow q_1 \). We take \( t' \equiv t_1 \).

If \( q \equiv S q_0 q_1 q_2 \rightarrow_{\text{CL-pc}} q_0 q_2 (q_1 q_2) \) then \( t \equiv S^q (s(t_1, \ldots, t_k), \langle q_0, \ldots, q_k \rangle) \) where the conventions regarding the vector notation are as in Definition 4, and \( s \rightarrow q_0 \), and \( t \rightarrow q_1 \) for \( i = 1, \ldots, k \), and \( r_{i,j} \rightarrow q_2 \) for \( i = 0, \ldots, k, j = 1, \ldots, i \). Thus

\[
t \rightarrow s r_0(t_1 (r_1), \ldots, t_k (r_k)) \rightarrow q_0 q_2 (q_1 q_2)
\]

and we may take \( t' \equiv s r_0(t_1 (r_1), \ldots, t_k (r_k)) \).

If \( t \) is a tuple or \( q \) is not the contracted CL-pc-rede, then the claim follows from the inductive hypothesis. \( \square \)

The following technical lemma shows that \( \leftrightarrow_i \) may be postponed after \( \rightarrow_s \).

\textbf{Lemma 11.} If \( t \leftrightarrow_i \cdot \rightarrow^*_s t' \) then \( t \rightarrow^*_s \leftrightarrow_i \equiv t' \).

\textbf{Proof.} Suppose \( t_1 \leftrightarrow_i t_2 \rightarrow s t_3 \). We proceed by induction on the definition of \( t_2 \rightarrow s t_3 \).

If \( t_3 \) is the contracted \( s \)-rede then, because an \( i \)-rede (\( i \)-contractum) is an \( i \)-term, it is easy to see by inspecting Definition 4 that the \( i \)-rede (\( i \)-contractum) in \( t_2 \) must occur below a variable position of the \( s \)-rede. Since significant reduction rules are linear and their conditions are stable under \( i \)-redexs (\( i \)-expansions), the claim holds. Note that we need \( \leftrightarrow_i \equiv \) instead of \( \leftrightarrow_i \), in the conclusion, because the \( i \)-rede (\( i \)-contractum) may be erased by the \( s \)-con.

If \( t_3 \) is not the \( s \)-rede, then \( t_2 \equiv s_1 s_2 \) or \( t_2 \equiv (s_1, \ldots, s_n) \) with \( n > 1 \), and the claim is easily established possibly appealing to the inductive hypothesis. \( \square \)

The next lemmas show that \( i \)-redexes and \( s \)-redexes preserve \( \|F_1 \| \).

\textbf{Lemma 12.} If \( t \) is standard and \( t \leftrightarrow_i t' \) then \( t' \) is standard.

\textbf{Proof.} We check that the conditions in Definition 8 hold for every subterm \( s' \) of \( t' \). Note that because \( i \)-redexs and \( i \)-contracta are \( i \)-terms, \( s' \) is an \( i \)-term or there is a subterm \( s \) of \( t \) such that \( s \leftrightarrow_i \equiv s' \).

1. If \( s' \) is not an \( i \)-term, then there is a subterm \( s \) of \( t \) such that \( s \leftrightarrow_i \equiv s' \). If \( s \) is an \( i \)-term or a tuple then so is \( s' \). Otherwise, \( s \) is an \( s \)-term by 1 in Definition 8. Then \( s' \) is also an \( s \)-term.

2. Suppose \( s' \equiv C_1 t_0 t_1 t_2 \) with \( t_0 \) in \( s \)-NF. Since \( s' \) is not an \( i \)-term, there is a subterm \( s \) of \( t \) such that \( s \equiv C_1 t_0 t_1 t_2 \) and \( t \leftrightarrow_i \equiv t_1 \) for \( i = 0, 1, 2 \). Since \( t_0 \) is in \( s \)-NF and \( t_0 \leftrightarrow_i \equiv t_0 \), the term \( t_0 \) is also in \( s \)-NF. Thus \( t_0 \equiv T_1 \) or \( t_0 \equiv F_1 \) by 2 in Definition 8. Hence \( t' \equiv t_1 \) or \( t_0 \equiv F_1 \).

3. Suppose \( s' \equiv C_2 t_0 t_1 t_2 \). Since \( s' \) is not an \( i \)-term, there is a subterm \( s \) of \( t \) such that \( s \equiv C_1 t_0 t_1 t_2 \) and \( t \leftrightarrow_i \equiv t_1 \) for \( i = 0, 1, 2 \). By 3 in Definition 8 we have \( |t_1| =_{\text{CL-pc}} |t_2| \). Hence also \( |t_1| =_{\text{CL-pc}} |t_2| \), because \( t_i \leftrightarrow_i \equiv t_i \) implies \( |t_i| =_{\text{CL-pc}} |t_i| \).

4. Suppose \( s' \equiv S^n s_0^s \cdots s_n^s t_1 t_2 \). Since \( s' \) is not an \( i \)-term, there is a subterm \( s \) of \( t \) such that \( s \equiv S^n s_0^s \cdots s_n^s t_1 t_2 \) and \( t \leftrightarrow_i \equiv t_1 \) for \( i = 0, 1, 2 \). By 4 in Definition 8 we conclude that \( t_2 \) is a tuple of length \( n = \sum n_i \) and if \( k > 1 \) then \( t_1 \) is a tuple of length \( k \). The same holds for \( t_1 \) and \( t_0 \), because a tuple cannot be an \( i \)-rede or an \( i \)-contractum.
5. Suppose $s'$ is an s-term. There is a subterm $s$ of $t$ such that $s \leftrightarrow^n_r s'$. Since $s'$ is an s-term, so is $s$. Suppose $s' \rightarrow^*_r r'$. By Lemma 11 there is $r$ such that $s \rightarrow^*_r r \leftrightarrow^n_r r'$. By 5 in Definition 8, the term $r$ is an s-term. Hence, $r'$ is also an s-term.

6. Suppose $s' \equiv \langle t'_1, \ldots, t'_n \rangle$ with $n > 1$. Since $s'$ is not an i-term, there is a subterm $s$ of $t$ such that $s \equiv \langle t_1, \ldots, t_n \rangle$ and $t_i \leftrightarrow^n_r t'_i$ for $i = 1, \ldots, n$. By 6 in Definition 8 none of $t_1, \ldots, t_n$ is a tuple. Hence, none of $t'_1, \ldots, t'_n$ is a tuple either.

Lemma 13. If $t \Downarrow F_1$ and $t \leftrightarrow^n_r t'$ then $t' \Downarrow F_1$.

Proof. Suppose $t' \rightarrow^*_r t'_0$. By Lemma 11 there is $t_0$ with $t \rightarrow^*_r t_0$ and $t_0 \leftrightarrow^n_r t'_0$. Because $t$ is strongly standard, $t_0$ is standard. Hence $t'_0$ is standard by Lemma 12. Therefore $t'$ is strongly standard.

Suppose $t' \rightarrow^*_r t'_0$ with $t'_0$ in s-NF. By Lemma 11 there is $t_0$ with $t \rightarrow^*_r t_0 \leftrightarrow^n_r t'_0$. Since $t'_0$ is in s-NF, so is $t_0$, because an i-contraction or an i-expansion cannot create an s-redex. Since $t \Downarrow F_1$ we obtain $t_0 \equiv F_1$. Thus $t'_0 \equiv t_0 \equiv F_1$.

Lemma 14. If $t \Downarrow F_1$ and $t \rightarrow^*_s t'$ then $t' \Downarrow F_1$.

Corollary 15. If $t \Downarrow F_1$, $t \rightarrow^*_s q$ and $q \rightarrow_{\text{CL-pc}} q'$ then there is $t'$ with $t' \rightarrow^*_s q'$ and $t' \Downarrow F_1$.

Proof. Follows from Lemma 10, Lemma 13 and Lemma 14.

With the above corollary we have finished the first half of the proof. Now we need to show an analogous corollary for CL-pc-expansions. First, we want to prove that CL-pc-expansions in unlabeled terms may be simulated by i-expansions and a-expansions in their strongly standard labeled variants. We have already shown in Lemma 13 that i-expansions preserve $\Downarrow F_1$. We need to show that a-expansions also preserve $\Downarrow F_1$.

One trivial reason why s-expansions do not necessarily preserve $\Downarrow F_1$ is that if $t_s \leftrightarrow^n_r t'$ then $t'$ may be not standard even if $t$ is, e.g., consider $F_1 \xleftarrow{s_s} K_1 F_1(CT_1)$. A more profound reason is that with s-expansion we do not sufficiently “control” the expansion by a rule for $C_2$. E.g., $F_1 \xleftarrow{s_s} C_2 \Omega F_1(\Omega F)$. Then $C_2 \Omega F_1(\Omega F) \rightarrow^*_s \Omega F$ but $\Omega F$ does not s-reduce to $F_1$.

Hence, we use a-expansions which put additional restrictions on the s-redexes, essentially implementing the labeling of expansions described in Section 2. They also allow to “delay” the reductions in a contractum of $C_2 t_0 t_1 t_2$ to facilitate the proof of an analogon of Lemma 11.

Like in the proof of Lemma 13 we show that if $t' \rightarrow^*_a t$ then any reduction $t' \rightarrow^*_s s'$ may be simulated by a reduction $t \rightarrow^*_s s$ with $s' \leftrightarrow^n_r s$. The most interesting case is when $t' \equiv E[C_2 t_0 t_1] \rightarrow^*_a E[t_1] \equiv t$ (where $E$ is a context), which is obtained from a CL-pc-expansion by the rule $C_{\text{xy}}y \rightarrow y$. We now informally describe the idea for the proof in this case. Thus suppose $t' \rightarrow^*_s s'$. If a contracted s-redex does not overlap with a descendant of $C_2 t_0 t_1 t_2$, then the s-reduction is simulated by the same s-reduction. If a descendant of $C_2 t_0 t_1 t_2$ occurs inside a contracted s-redex, but it is different from this redex, then the descendant must occur below a variable position of the s-redex, because there are no non-root overlaps between the rules of significant reduction. Thus we may simulate this s-reduction by the same s-reduction. If a contracted s-redex occurs inside a descendant $C_2 t'_0 t'_1 t'_2$ of $C_2 t_0 t_1 t_2$, but it is different from this descendant, then it must occur in $t'_0$, $t'_1$ or $t'_2$. In this case we ignore the s-contraction while at all times maintaining the invariant: if $C_2 t'_0 t'_1 t'_2$ is a descendant of $C_2 t_0 t_1 t_2$, then $t_1 \rightarrow^*_s t'_1$ and $t_1 \rightarrow^*_s t'_2$, and the descendant of $t_1$ in the simulated reduction is always identical with $t_1$, i.e. $t_1$ (the a-contraction of $C_2 t_0 t_1 t_2$) is not changed by

Footnote 5: Note that because the rules of significant reduction are linear there may be at most one descendant.
the simulated s-reduction. Finally, if a descendant $C_2t_1t_2$ of $C_2t_0t_1t_2$ is s-contracted, then either $C_2t_1t_2 \rightarrow_s t_1$ or $C_2t_1t_2 \rightarrow_s t_2$. In any case we can s-reduce $t_1$ to $t_1'$ or $t_2$. In other words, we defer the choice of the simulated reduction path till the descendant of the $a$-redex is actually contracted.

**Definition 16.** An $l$-term $t'$ is an $a$-redex and $t$ its $a$-contractum, if $t$ is an s-term and one of the following holds:

- $t' \equiv C_1t_1t_2$ and $q$ is an $i$-term,
- $t' \equiv C_1_{,} t_1t_2$ and $q$ is an $i$-term,
- $t' \equiv C_2qt_1t_2$, $t \rightarrow^*_\ast t_1$, $t \rightarrow^*_\ast t_2$ and $q$ is an $i$-term,
- $t' \equiv K_1t_1t_2$ and $q$ is an $i$-term,
- $t' \equiv S^\ast t_0(\langle s_1, \ldots, s_k \rangle \langle \bar{r}_0, \ldots, \bar{r}_k \rangle)$ where the conventions regarding vector notation are as in Definition 4, $|s_i| =_{\text{CL-pc}} |s_j|$ for $i, j = 1, \ldots, k$, $|r_{i,j}| =_{\text{CL-pc}} |r_{i',j'}|$ for $i, i' = 0, \ldots, k$, $j = 1, \ldots, n_i$, $j' = 1, \ldots, n_{i'}$; none of the $s_i$ or $r_{i,j}$ is a tuple, and $t \equiv t_0(\bar{r}_0, \langle s_1, \ldots, s_k \rangle, \ldots, s_k(\bar{r}_k))$.

Because of the third point, an $a$-contractum of an $a$-redex is not unique. The notations $\rightarrow_a, \rightarrow_{a,0}, \rightarrow_{i,a}$, etc. are used accordingly. Note that any $a$-redex is an s-redex.

**Lemma 17.** If $t' \rightarrow_a t$ then $t' \rightarrow_{s,0} t$, and hence $|t'| =_{\text{CL-pc}} |t|$.

The above simple lemma implies that the conditions in significant reduction rules are stable under $a$-reduction and $s$-expansion. Note that if $t' \rightarrow_a t$ then not necessarily $t' \rightarrow s t$ because of the third point in Definition 16.

**Lemma 18.** If $t$ is standard, $t \succ q$ and $q \equiv_{\text{CL-pc}} q'$ then there is $t'$ with $t' \rightarrow^*_l t$ and $t' \succ q'$.

**Proof.** Induction on the size of $t$. First assume $t$ is not a tuple and $q$ is the CL-pc-contractum expanded in $q \equiv_{\text{CL-pc}} q'$. If $t$ is an $i$-term, then $t \equiv q \equiv_{q'}$ and we may take $t' \equiv q'$. If $t$ is not an $i$-term, then it is an s-term by 1 in Definition 8. We have the following possibilities, depending on the rule of CL-pc used in the expansion.

- If $q' \equiv C_1t_1t_2 \rightarrow_{\text{CL-pc}} q_1 \equiv q$ then we take $t' \equiv C_1t_1t_2$ and we have $t' \rightarrow_a t$ and $t' \succ q'$.
- If $q' \equiv C_1t_1t_2 \rightarrow_{\text{CL-pc}} q_2 \equiv q$ then we may take $t' \equiv C_1t_1t_2$.
- If $q' \equiv C_1t_1t_2 \rightarrow_{\text{CL-pc}} q_1 \rightarrow_{\text{CL-pc}} q_1$ then we may take $t' \equiv C_1t_1t_2$.
- If $q' \equiv C_1t_1t_2 \rightarrow_{\text{CL-pc}} q_0 \rightarrow_{\text{CL-pc}} q_1$ then we may take $t' \equiv C_1t_1t_2$.
- If $q \equiv S_{\sim}q_0q_2 \rightarrow_{\text{CL-pc}} q_0q_2(q_1q_2)$ then $t \succ q_0q_2(q_1q_2)$ and $t$ is an s-term. Hence $t \equiv t_0t_1t_2$ with $t_0 \succ q_0$, $t_1 \succ q_2$ and $t_2 \succ q_1q_2$. Recalling the convention $\langle s \rangle \equiv s$ for any term $s$, we may assume

$$t_b \equiv \langle s_1, \ldots, s_m \rangle, t_c \equiv \langle t_1, \ldots, t_k \rangle, \text{ for } k, m \in \mathbb{N}_+, \text{ if } k = 1 \text{ then } t_1 \text{ is not a tuple, and if } m = 1 \text{ then } s_1 \text{ is not a tuple.} \quad (*)$$

In other words, if e.g. $t_b$ is a tuple, then $t_b \equiv \langle s_1, \ldots, s_m \rangle$ for some $s_1, \ldots, s_m$. If $t_b$ is not a tuple then we take $s_1 \equiv t_b$ and consider $t_b \equiv (t_b) \equiv (s_1)$. This is chiefly to reduce the number of cases to consider. Let $1 \leq i \leq k$. Because $t_b \succ q_2$, we have $s_i \succ q_2$ for $i = 1, \ldots, m$. Also none of $s_1, \ldots, s_m$ is a tuple, by condition 6 in Definition 8, or by $(*)$ if $m = 1$. Since $t_c \succ q_1q_2$, we have $t_1 \succ q_1q_2$. Also $t_1$ cannot be a tuple, by condition 6 in Definition 8, or by $(*)$ if $k = 1$. Thus $t_1 \equiv t_1(\bar{r}_1)$ where $\bar{r}_i$ stands for $r_{i_1}, \ldots, r_{i_m}$, and $u_i \succ q_1$ and $r_{i,j} \succ q_2$ for $j = 1, \ldots, n_i$, where none of the $r_{i,j}$ is a tuple, by definition (if $n_i = 1$) or by condition 6 in Definition 8. By Lemma 9 also none of $u_1, \ldots, u_k$ is a tuple. We may thus take $t' \equiv S^m_{s_1, a_1, \ldots, a_k}t_b(u_1, \ldots, u_k)(\bar{r}_0, \bar{r}_1, \ldots, \bar{r}_k)$ where $\bar{r}_0$ stands for $s_1, \ldots, s_m$. We have $t' \rightarrow_a t$ and $t' \succ q'$. 

If \( t \) is a tuple or \( q \) is not the CL-pc-contractum, then the claim follows from the inductive hypothesis.

\[\text{Lemma 19.} \quad \text{If } t, q \not\rightarrow_s t' \text{ and } t \text{ is standard then } t \rightarrow_s^* \cdot \overline{q} \not\leftarrow t'.\]

**Proof.** Suppose \( t' \not\rightarrow_s t_1', t' \rightarrow_a t \) and \( t \) is standard. By induction on the definition of \( t' \rightarrow_s t_1' \) we show that there is \( t_1 \) with \( t \rightarrow_s^* t_1 \) and \( t_1' \rightarrow_a^* t_1 \). The base case is when the \( s \)-contraction in \( t' \rightarrow_s t_1' \) occurs at the root.

If the \( s \)-contraction occurs at the root, but the \( a \)-contraction in \( t' \rightarrow_a t \) does not occur at the root, then it is easy to see by inspecting the definitions that the \( a \)-redex in \( t_1' \) must occur below a variable position of the \( s \)-redex. Since significant reduction rules are linear and their conditions are stable under \( a \)-reduction, the claim holds in this case.

Assume that both the \( s \)-contraction and the \( a \)-contraction occur at the root. If \( t' \equiv C_2qs_1s_2 \rightarrow_a t \) then \( t \rightarrow_s^* s_1, t \rightarrow_s^* s_2 \) and the \( s \)-contraction of \( t' \) yields either \( s_1 \) or \( s_2 \). We may thus take either \( t_1 \equiv s_1 \) or \( t_1 \equiv s_2 \), and we have \( t \rightarrow_s^* t_1 \equiv t_1' \). If \( t' \equiv C_1t_1t_2 \rightarrow_a t \) then the \( s \)-contraction must be by the first rule of CL-pc\(^a\), so \( t_1' \equiv t \) and we may take \( t_1 \equiv t_1' \equiv t \).

All other cases are analogous.

If neither the \( s \)-contraction nor the \( a \)-contraction occurs at the root, then the claim is easily established, possibly appealing to the inductive hypothesis.

Finally, assume that the \( a \)-contraction occurs at the root, but the \( s \)-contraction does not occur at the root. We have the following possibilities.

- If \( t' \equiv C_1t_1t_2 \rightarrow_a t \) then the \( s \)-contraction must occur inside \( t_2 \). So \( t \rightarrow_a t_1 \) for some term \( t_1 \). Note that \( t \) is an \( s \)-term by definition of \( a \)-contraction. Therefore \( t_1 \) is also an \( s \)-term, by 5 in Definition 8. Thus \( t_1 \) satisfies the required conditions.

- If \( t' \equiv C_2qs_1s_2 \rightarrow_a t \) then \( t \rightarrow_s^* s_1, t \rightarrow_s^* s_2 \) and the \( s \)-contraction must occur inside \( s_1 \) or \( s_2 \). We may take \( t_1 \equiv t \) and we still have \( t_1' \rightarrow_a t_1 \).

The cases \( t' \equiv C_1t_1t_2 \rightarrow_a t \) and \( t' \equiv C_1t_1t_2 \rightarrow_a t \) are analogous to the first case.

- If \( t' \equiv S^2t_0(s_1, \ldots, s_k)\langle \bar{r}_0, \ldots, \bar{r}_k \rangle \) then \( |s_i| =_{\text{CL-pc}} |s_j|\), \( |r_{i,j}| =_{\text{CL-pc}} |r'_{i,j}| \) for \( i, j, i', j' \) as in Definition 16, none of the \( s_i \) or \( r_{i,j} \) is a tuple, and \( t \equiv t_0(\bar{r}_0, \langle s_1(\bar{r}_1), \ldots, s_k(\bar{r}_k) \rangle) \).

The \( s \)-contraction must occur inside one of the \( s_i \) or the \( r_{i,j} \), or in \( t_0 \). For instance, assume \( s_1 \rightarrow_s s'_1 \). Since \( s_1 \) is a subterm of \( t_0 \) and it is not a tuple, it cannot \( s \)-reduce to a tuple by Definition 8. Hence \( s'_1 \) is not a tuple. Take \( t_1 \equiv t_0(\bar{r}_0, \langle s'_1(\bar{r}_1), s_2(\bar{r}_2), \ldots, s_k(\bar{r}_k) \rangle) \).

Note that \( t_0 \rightarrow_a t_1 \). Thus \( t_1 \) is an \( s \)-term, because \( t \) is an \( s \)-term and it \( s \)-reduces only to \( s \)-terms, by 5 in Definition 8.

\[\text{Corollary 20.} \quad \text{If } t, q \not\rightarrow_s t' \text{ and } t \text{ is strongly standard then } t \rightarrow_s^* \cdot \overline{q} \not\leftarrow t'.\]

\[\text{Lemma 21.} \quad \text{If } r \text{ is a strongly standard } a \text{-contractum of an } a \text{-redex } r', \text{ and } s' \text{ is a proper subterm of } r', \text{ then } s' \text{ is standard.}\]

**Proof.** It suffices to show that \( s' \) is a subterm of some standard term.

- Suppose \( r' \equiv C_1t_1t_2 \rightarrow_a t \) with \( q \) an \( i \)-term. Both \( C_1t_1r \) and \( q \) are standard and \( s' \) is a subterm of one of them. The cases \( r' \equiv C_1t_1t_2 \) and \( r' \equiv K_1t_2 \) are analogous.

- Suppose \( r' \equiv C_2qs_1s_2 \rightarrow_a t \) with \( q \) an \( i \)-term. Because \( r \not\rightarrow_s^* r_1 \) and \( r \) is strongly standard, \( r_1, r_2 \) are standard. Also \( q \) is an \( i \)-term. This implies that \( C_2qs_1 \) is also standard. Since \( s' \) occurs in \( C_2qs_1 \) or \( r_2 \), it is standard.

- Suppose \( r' \equiv S^2t_0(s_1, \ldots, s_k)\langle \bar{r}_0, \ldots, \bar{r}_k \rangle \rightarrow_a t_0(\bar{r}_0, \langle s_1(\bar{r}_1), \ldots, s_k(\bar{r}_k) \rangle) \). The term \( t_0 \) and each of \( s_i \) and \( r_{i,j} \) (with \( i, j \) as in Definition 16) is standard. Note that none of \( s_i \) or \( r_{i,j} \) is a tuple by Definition 16. Since each \( s_i \) is also standard, by inspecting Definition 8 we may conclude that \( \langle s_1, \ldots, s_k \rangle \) is standard. Similarly \( \langle \bar{r}_0, \ldots, \bar{r}_k \rangle \) is standard. Also
\[ S^\alpha t_0(s_1, \ldots, s_k) \] is standard. This implies that \( s' \) is standard, because it occurs in \( S^\alpha t_0(s_1, \ldots, s_k) \) or \( \langle \tilde{r}_0, \ldots, \tilde{r}_k \rangle \).

\[ \text{Lemma 22. If } s \text{ is an } s\text{-term and } s' \rightarrow_a s \text{ then } s' \text{ is also an } s\text{-term.} \]

\[ \text{Proof. Induction on the structure of } s. \]

\[ \text{Lemma 23. If } t \text{ is strongly standard and } t' \rightarrow_a t \text{ then } t' \text{ is standard.} \]

\[ \text{Proof. We check that the conditions in Definition 8 hold for every subterm } s' \text{ of } t'. \text{ We may assume that } s' \text{ does not occur in } t, \text{ as otherwise the claim follows from the fact that } t \text{ is standard. Therefore, } s' \text{ occurs in the } a\text{-redex contracted in } t' \rightarrow_a t, \text{ or the } a\text{-redex occurs inside } s'. \text{ If } s' \text{ is a proper subterm of the } a\text{-redex, then our claim holds by Lemma 21. Hence, we may assume that the } a\text{-redex } r' \text{ is a subterm of } s'. \text{ Then } s' \rightarrow_a s \text{ with } s \text{ a subterm of } t \text{ (so } s \text{ is strongly standard).} \]

1. Suppose \( r \) is the \( a\text{-contractum of } r' \) and \( s' \rightarrow_a s. \) By Definition 16, the term \( r \) is an \( s\text{-term.} \) Thus \( s \) cannot be an \( i\text{-term.} \) If \( s \) is a tuple, then so is \( s'. \) Otherwise, \( s \) is an \( s\text{-term, by 1 in Definition 8.} \) Hence \( s' \) is also an \( s\text{-term by Lemma 22.} \)

2. Suppose \( s' \equiv C_1 t'_1 t'_2 t'_3 \text{ and } t'_0 \text{ is in } s\text{-NF.} \) If \( s' \equiv r' \) then \( s' \equiv C_1 t'_1 t'_2, \) or \( s' \equiv C_1 F_1 t'_1 t'_2, \) hence \( t'_0 \equiv T_1 \) or \( t'_0 \equiv F_1. \) If \( r' \) is a proper subterm of \( s', \) then \( r' \) must be a subterm of \( t'_1 \) or \( t'_2, \) because \( a\text{-redexes are not in } s\text{-NF.} \) Thus, \( s' \rightarrow_a s \equiv C_1 t'_0 t_1 t_2 \) for some terms \( t_1, t_2, \) where \( s \) is a subterm of \( t. \) Hence, \( t'_0 \equiv T_1 \) or \( t'_0 \equiv F_1 \) by 2 in Definition 8.

3. Suppose \( s' \equiv C_2 t'_1 t'_2. \) If \( s' \equiv r' \) then \( s \rightarrow_a t'_1 \) and \( s \rightarrow_a t'_2. \) Hence \( |t'_1| =_{CL\text{-pc}} |s| =_{CL\text{-pc}} |t'_2|. \) If \( s' \neq r' \) then \( s \equiv C_2 t_0 t_1 t_2 \) with \( t'_i \rightarrow^\equiv t_i. \) Because \( s \) is standard, \( |t_1| =_{CL\text{-pc}} |t_2| \) by 3 in Definition 8. Thus also \( |t'_1| =_{CL\text{-pc}} |t'_2| \) by Lemma 17.

4. Suppose \( s' \equiv S^{n_0, \ldots, n_k} t'_1 t'_2 \). If \( s' \equiv r' \), then \( s' \equiv S^{n_0, \ldots, n_k} t'_0(s_1, \ldots, s_k) (\tilde{r}_0, \ldots, \tilde{r}_k), \) as in Definition 16, so the claim holds. If \( r' \) is a proper subterm of \( s', \) then \( s' \equiv S^{n_0, \ldots, n_k} t'_0 t'_2 \rightarrow_a s \equiv S^{n_0, \ldots, n_k} t_0 t_1 t_2 \) where \( t'_i \rightarrow^\equiv t_i \) for \( i = 0, 1, 2, \) and \( s \) is a subterm of \( t. \) By 4 in Definition 8, the term \( t_2 \) is a tuple of length \( \sum_{i=0}^{k} n_i, \) and if \( k > 1 \) then \( t_1 \) is a tuple of length \( k. \) Since an \( a\text{-contractum is an } s\text{-term, and hence not a tuple, } t_2 \) is not an \( a\text{-contractum, and if } k > 1 \) then \( t_1 \) is not an \( a\text{-contractum.} \) Thus we may conclude that \( t'_2 \) is a tuple of length \( \sum_{i=0}^{k} n_i, \) and if \( k > 1 \) then \( t'_1 \) is a tuple of length \( k. \)

5. Suppose \( s' \) is an \( s\text{-term and } s' \rightarrow_a s'. \) Because also \( s' \rightarrow_a s \) and \( s \) is strongly standard, by Corollary 20 there is \( s_1 \) with \( s'_1 \rightarrow^\equiv s_1 \) and \( s \rightarrow^\equiv s_1. \) By Definition 16, the term \( s \) is an \( s\text{-term, so } s_1 \) is also an \( s\text{-term by 5 in Definition 8.} \) So \( s'_1 \) is an \( s\text{-term by Lemma 22.} \)

6. Suppose \( s' \equiv (t'_1, \ldots, t'_n) \text{ with } n > 1. \) We have \( s' \rightarrow_a s \equiv (t_1, \ldots, t_n) \) where \( t'_i \rightarrow^\equiv t_i \) for \( i = 1, \ldots, n. \) By 6 in Definition 8, none of \( t_1, \ldots, t_n \) is a tuple. Thus it is easy to see by inspecting Definition 16 that none of \( t'_1, \ldots, t'_n \) can be a tuple.

\[ \text{Lemma 24. If } t \downarrow_{F_1} \text{ and } t' \rightarrow_a t \text{ then } t' \downarrow_{F_1}. \]

\[ \text{Proof. Suppose } t' \rightarrow_a t_0. \text{ By Corollary 20 there is } t_0 \text{ with } t \rightarrow^\equiv t_0 \text{ and } t'_0 \rightarrow^\equiv t_0. \text{ Since } t \text{ is strongly standard, so is } t_0. \text{ Therefore, } t'_0 \text{ is standard by Lemma 23.} \\
\text{Suppose } t' \rightarrow^\equiv t'_0 \text{ with } t'_0 \text{ in } s\text{-NF. By Corollary 20 there is } t_0 \text{ with } t \rightarrow^\equiv t_0 \text{ and } t'_0 \rightarrow^\equiv t_0. \text{ Since an } a\text{-redex is an } s\text{-redex and } t'_0 \text{ is in } s\text{-NF, we conclude that } t'_0 \equiv t_0. \text{ But then } t'_0 \equiv t_0 \equiv F_1, \text{ because } t \downarrow_{F_1}. \]

\[ \text{Corollary 25. If } t \downarrow_{F_1}, \text{ then } t \supset q \text{ and } q \downarrow_{CL\text{-pc}^<} q' \text{ then there is } t' \text{ with } t' \downarrow_{F_1} \text{ and } t' \supset q'. \]

\[ \text{Proof. Follows from Lemma 18, Lemma 13 and Lemma 24.} \]

\[ \text{Lemma 26. If } t \text{ has no } s\text{-NFs other than } F_1 \text{ then } t \rightarrow^\equiv_{F_1}. \]
Proof. Since $s$-reduction is terminating, by reducing $s$-redexes outside any tuples and not using the second rule for $C_2$ we will ultimately obtain a term $t'$ with all $s$-redexes inside tuples, and such that $t \to^*_{C_2} t'$. Note that an $s$-redex in $t'$ may only occur inside a tuple, because any $s$-redex by the second rule for $C_2$ is also an $s\rightarrow$-redex by the first rule for $C_2$. If $t'$ is in $s$-NF then $t' \equiv F_1$. Otherwise, any $s$-NF of $t'$ must contain a tuple, because $s$-reduction inside a tuple cannot erase this tuple or create an $s$-redex outside of it. But since any $s$-NF of $t'$ is an $s$-NF of $t$, this contradicts the fact that $t$ has no $s$-NFs other than $F_1$.

We now have everything we need to show the central lemma of the confluence proof.

Lemma 27. The system $\text{CL-pc}^L$ is $F$-normal, i.e., if $q =_{\text{CL-pc}^L} F$ then $q \rightarrow^*_{\text{CL-pc}^L} F$.

Proof. If $q =_{\text{CL-pc}^L} F$ then by Lemma 2 we have $q =_{\text{CL-pc}} F$. Note that $F_1 \not\Downarrow F_1$ and $|F_1| \equiv F$. Thus, using Corollary 15 and Corollary 25 it is easy to show by induction on the length of $q =_{\text{CL-pc}} F$ that there is $t$ with $t \triangleright q$ and $t \not\Downarrow F_1$. By Lemma 26 we have $t \rightarrow^*_{\text{CL-pc}} F_1$. But then, because $t \triangleright q$, using Lemma 7 it is easy to show by induction on the length of $t \rightarrow^*_{\text{CL-pc}} F_1$ that $q \equiv |t| \rightarrow^*_{\text{CL-pc}^L} |F_1| \equiv F$.

It remains to derive the confluence of $\text{CL-pc}^L$ and $\text{CL-pc}^L$ from Lemma 27. We use a trick with an auxiliary term rewriting system $R$, in a way similar to how the confluence of $\text{CL-pc}^L$ is derived from the condition $T \not\geq \text{CL-pc}^L$ $F$ in [4]. The idea is to eliminate the non-trivial overlap between the rules of $\text{CL-pc}^L$ by imposing additional side conditions.

Definition 28. The term rewriting system $R$ is defined by the following rules:

$$
\begin{align*}
K_{xyz} & \rightarrow x & CT_{xy} & \rightarrow x \\
S_{xyz} & \rightarrow xz(yz) & C_{zx} & \rightarrow y & z =_{\text{CL-pc}^L} F \\
& & C_{zy} & \rightarrow x & z \not=_{\text{CL-pc}^L} F \land x =_{\text{CL-pc}^L} y
\end{align*}
$$

Lemma 29. If $q \rightarrow_{\text{CL-pc}} q'$ then $q \rightarrow_{R} q'$.

Lemma 30. If $q \rightarrow_{R} q'$ then $q \rightarrow_{\text{CL-pc}^L} q'$.

Proof. Follows from definitions and Lemma 27.

Lemma 31. The system $R$ is confluent.

Proof. Because $T \not\geq \text{CL-pc}^L$ $F$ by Lemma 27, the system $R$ is weakly orthogonal (i.e. it is left-linear and all its critical pairs are trivial). By Lemma 30 the conditions are stable under reduction. Weakly orthogonal conditional term rewriting systems whose conditions are stable under reduction are confluent [11, Chapter 4].

Theorem 32. The systems $\text{CL-pc}^L$ and $\text{CL-pc}^L$ are confluent.

Proof. Since $q_1 \rightarrow_{\text{CL-pc}} q_2$ implies $q_1 \rightarrow_{\text{CL-pc}^L} q_2$, it suffices to show that if $q_1 =_{\text{CL-pc}^L} q_2$ then there is $q$ with $q_1 \rightarrow_{\text{CL-pc}^L} q$ and $q_2 \rightarrow_{\text{CL-pc}^L} q$. So suppose $q_1 =_{\text{CL-pc}^L} q_2$. Then by Lemma 2 we have $q_1 =_{\text{CL-pc}} q_2$. By Lemma 29 we obtain $q_1 \equiv_{R} q_2$. By Lemma 31 there is $q$ with $q_1 \rightarrow_{R} q$ and $q_2 \rightarrow_{R} q$. By Lemma 30 we have $q_1 \rightarrow_{\text{CL-pc}^L} q$ and $q_2 \rightarrow_{\text{CL-pc}^L} q$. 

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References