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Lanthanides or Dust in Kilonovae: Lessons Learned from GW170817

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Abstract
The unprecedented optical and near-infrared lightcurves of the first electromagnetic counterpart to a gravitational-wave source, GW170817, a binary neutron star merger, exhibited a strong evolution from blue to near-infrared (a so-called “kilonova” or “macronova”). The emerging near-infrared component is widely attributed to the formation of r-process elements that provide the opacity to shift the blue light into the near-infrared. An alternative scenario is that the light from the blue component gets extinguished by dust formed by the kilonova and subsequently is re-emitted at near-infrared wavelengths. We test here this hypothesis using the lightcurves of AT 2017gfo, the kilonova accompanying GW170817. We find that of the order of 10^{-5} M_☉ of carbon is required to reproduce the optical/near-infrared lightcurves as the kilonova fades. This putative dust cools from ~2000 K at ~4 days after the event to ~1500 K over the course of the following week, thus requiring dust with a high condensation temperature, such as carbon. We contrast this with the nucleosynthetic yields predicted by a range of kilonova wind models. These suggest that at most 10^{-9} M_☉ of carbon is formed. Moreover, the decay in the inferred dust temperature is slower than that expected in kilonova models. We therefore conclude that in current models of the blue component of the kilonova, the near-infrared component in the kilonova accompanying GW170817 is unlikely to be due to dust.

Key words: binaries: general – dust, extinction – gravitational waves – infrared: stars – stars: neutron

1. Introduction
The detection of electromagnetic radiation from a gravitational-wave event has heralded in a new era in multi-messenger astronomy (Abbott et al. 2017a). LIGO detected gravitational waves (GW170817) from a binary neutron star merger (Abbott et al. 2017b) that were localized by LIGO and Virgo to a 28 deg² region. Coincident in time, a short gamma-ray burst (GRB 170817A) was detected by the Fermi Gamma-ray Telescope (Goldstein et al. 2017) and INTEGRAL (Savchenko et al. 2017). The source (initially named SSS17a or DLT17ck; see Abbott et al. 2017a) was subsequently accurately localized at optical (Coulter et al. 2017) and near-infrared (Tanvir et al. 2017) wavelengths, 10° from the nucleus of the S0/E galaxy NGC 4993 (Levan et al. 2017) at z = 0.0098, corresponding to an “electromagnetic luminosity distance” of 41.0 ± 3.1 Mpc (Hjorth et al. 2017) or a “gravitational-wave luminosity distance” of 43.8^{+2.9}_{-6.9} Mpc (Abbott et al. 2017c).

The electromagnetic counterpart, henceforth named AT 2017gfo, evolved from blue to red (Pian et al. 2017; Tanvir et al. 2017), broadly interpreted as being due to a kilonova (Metzger et al. 2010), consisting of an outflow (“wind”) of material with a high electron fraction,^{5} Y_e, (Metzger & Fernández 2014) as well as a low Y_e, dynamic ejecta “third peak” r-process kilonova (Barnes & Kasen 2013; Tanvir et al. 2013; Rosswog et al. 2017). However, the existence of very heavy elements, e.g., lanthanides, is only inferred indirectly as being required to produce the opacity needed to shift the UV/optical emission into the near-infrared (Tanvir et al. 2017).

Given that this is an unprecedented event, it is worthwhile exploring other suggested scenarios. Indeed, inspired by the first detection of a likely kilonova accompanying GRB 130603B by Tanvir et al. (2013; see also Berger et al. 2013), Takami et al. (2014) predicted that the evolution of a high-density wind would evolve from blue to red due to dust formed in the kilonova. The hot, newly formed dust would lead to obscuration in the blue and re-emission in the near-infrared, thus mimicking the effect of high-opacity lanthanides.

Here, we explore this scenario in view of the spectacular multi-wavelength optical and near-infrared lightcurves (Tanvir et al. 2017) and spectra (Pian et al. 2017; Tanvir et al. 2017) that were obtained for AT 2017gfo. We present dust model fits in Section 2 and discuss carbon masses predicted in kilonova models in Section 3. We compare those to the required dust mass in carbon and discuss our results in Section 4.

2. Fitting Dust Models to Kilonova Data
We use the rYJKs-band photometric data of AT 2017gfo obtained by Tanvir et al. (2017) to constrain possible dust emission from the kilonova. Lightcurve fits were presented by Gompertz et al. (2017). These are entirely phenomenological representations of the data points and are based on four-parameter parameterizations, involving a normalization, a rise time constant, a peak time, and a decay time constant (Bazin et al. 2011). As such, they do not assume anything about the spectral energy distribution and they are not physically motivated by kilonova models. The lightcurve fits are constrained by suitable extinction-corrected data points, starting half a day after the event in the near-infrared bands and a day later in the r band. The last data points were obtained at about 9.5–11.5 days in rYJ and at 25 day in Ks (Tanvir et al. 2017). We use here the lightcurve fits and note that

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^{5} It is worth stressing that what is called “high Y_e” means Y_e > 0.25, so that no lanthanides are produced. In other contexts, such values are still considered as low Y_e.
extrapolated lightcurves may be uncertain as they rely on the validation of the adopted parameterization.

Assuming the near-infrared emission is due to dust, we fit a modified blackbody function (Hildebrand 1993) to the lightcurves:

\[ F_\nu(\nu) = \frac{M_d}{D_L^2} \kappa_{\text{abs}}(\nu, a) B_\nu(\nu, T_d), \]

where \( M_d \) is the mass of dust, \( D_L \) is the luminosity distance to GW170817 (Hjorth et al. 2017), and \( B_\nu(\nu, T_d) \) is the Planck function at temperature \( T_d \) for the dust. Here, \( \kappa_{\text{abs}}(\nu, a) \) is the dust mass absorption coefficient (in units of [cm\(^2\) g\(^{-1}\)]) for an assumed dust composition and grain size \( a \), e.g., amorphous carbon (Rouleau & Martin 1991) or silicates (Li & Draine 2001). Equation (1) describes an ensemble of dust grains, each emitting a blackbody spectrum, and takes the \( \kappa_{\text{abs}}(\nu, a) \) dependence on wavelength and grain size of each individual dust species into account.

As visualized in Figure 1, \( \kappa_{\text{abs}}(\nu, a) \) behaves as a \( \lambda^x \) power law in the wavelength range 0.9–2.5 \( \mu \)m. Therefore, we parameterize the absorption coefficient as

\[ \kappa_{\text{abs}}(\lambda) = A_d \left( \frac{\lambda}{1 \mu\text{m}} \right)^x, \]

where \( A_d \) represents the value of \( \kappa_{\text{abs}}(\lambda = 1 \mu\text{m}) \) and \( x \) is the power-law slope.

Takami et al. (2014) argued that the near-infrared detection of a kilonova in GRB 130313B suggests a high dust temperature (∼2000 K), which would single out carbon as the only viable dust species, due to its high condensation temperature. To explore this suggestion, we assume a \( \kappa_{\text{abs}}(\lambda) \) model corresponding to carbonaceous dust. A value of \( x = 1.2 \) (similar to Zibbko et al. 1996) was assumed by Takami et al. (2014). To cover the range depicted in Figure 1, we vary \( A_d \) between \( 9 \times 10^2 \) and \( 1.1 \times 10^4 \) cm\(^2\) g\(^{-1}\) and adopt power-law exponents of either \( x = 1.2 \) or 1.5. We fit for \( T_d \) and \( M_d \).

Figure 2 shows the modified blackbody fits to the lightcurves at arbitrary times. Initially, the spectral energy distribution of AT 2017gfo is blue, but at later times, the data points are well represented by the modified blackbody fits. The differences in the fits are small when using either \( x = 1.2 \) or 1.5, although the \( x = 1.5 \) models provide slightly better fits.

Figure 3 shows that the dust temperature is above ∼2000 K when dust formation sets in at around 4 days (in this scenario). This is consistent with the estimates of Takami et al. (2014) and underlines why carbon, with its high condensation temperature, is the best candidate for kilonova dust. Over the course of the

### Table 1

<table>
<thead>
<tr>
<th>Conditions</th>
<th>( X_C )</th>
<th>( X_O )</th>
<th>( X_{a_{\text{gs}}} )</th>
<th>( X_0 )</th>
<th>( X_{\text{Fe}} )</th>
<th>( X_{\text{A70}} )</th>
<th>( X_{\text{A130}} )</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>hydro sim.: ((1.3 + 1.3) M_{\odot})</td>
<td>( 1.6 \times 10^{-8} )</td>
<td>( 1.9 \times 10^{-6} )</td>
<td>( 1.1 \times 10^{-5} )</td>
<td>( 4.7 \times 10^{-7} )</td>
<td>( 3.8 \times 10^{-6} )</td>
<td>( 9.2 \times 10^{-3} )</td>
<td>( 8.3 \times 10^{-2} )</td>
<td>dynamic ejecta (^a)</td>
</tr>
<tr>
<td>hydro sim.: ((1.4 + 1.8) M_{\odot})</td>
<td>( 6.8 \times 10^{-13} )</td>
<td>( 1.7 \times 10^{-5} )</td>
<td>( 1.9 \times 10^{-5} )</td>
<td>( 3.0 \times 10^{-7} )</td>
<td>( 4.6 \times 10^{-5} )</td>
<td>( 7.0 \times 10^{-3} )</td>
<td>( 8.9 \times 10^{-2} )</td>
<td>dynamic ejecta (^b)</td>
</tr>
<tr>
<td>wind: ( Y_c = 0.28, v = 0.1c )</td>
<td>( 4.6 \times 10^{-13} )</td>
<td>( 4.2 \times 10^{-7} )</td>
<td>( 3.9 \times 10^{-9} )</td>
<td>( 7.7 \times 10^{-10} )</td>
<td>( 9.1 \times 10^{-6} )</td>
<td>( 9.9 \times 10^{-3} )</td>
<td>( 1.5 \times 10^{-3} )</td>
<td>...</td>
</tr>
<tr>
<td>wind: ( Y_c = 0.35, v = 0.1c )</td>
<td>( 2.0 \times 10^{-20} )</td>
<td>( 3.9 \times 10^{-19} )</td>
<td>( 3.9 \times 10^{-19} )</td>
<td>( 4.6 \times 10^{-19} )</td>
<td>( 9.2 \times 10^{-3} )</td>
<td>( 9.6 \times 10^{-2} )</td>
<td>( 4.7 \times 10^{-2} )</td>
<td>higher ( Y_c )</td>
</tr>
<tr>
<td>wind: ( Y_c = 0.45, v = 0.05c )</td>
<td>( 3.1 \times 10^{-7} )</td>
<td>( 5.2 \times 10^{-10} )</td>
<td>( 1.1 \times 10^{-9} )</td>
<td>( 8.4 \times 10^{-9} )</td>
<td>( 6.1 \times 10^{-3} )</td>
<td>( 1.8 \times 10^{-2} )</td>
<td>( 0.0 )</td>
<td>very high ( Y_c ) (^c)</td>
</tr>
</tbody>
</table>

**Notes.**

\(^a\) Run “N2” from Rosswog et al. (2017).

\(^b\) Run “N5” from Rosswog et al. (2017).

\(^c\) Not expected to be a likely case.
The temperature evolution is insensitive to the choice of $x$. Beyond this time, the lightcurve roughly evolves as $\propto t^{-0.25}$; the dashed black curve represents the expected kilonova temperature evolution (e.g., Grossman et al. 2014).

The inferred dust temperature roughly decays as $T_d \propto t^{-s}$, with $s = 0.25$, quite different from that expected in kilonova models (Grossman et al. 2014), namely, $s = (\alpha + 2)/4 \approx 0.8$ for a heating rate $\propto t^{-\alpha}$, with $\alpha = 1.2-1.3$. In fact, $s = 0.25$ would correspond to an unrealistic, linearly increasing heating rate, $\alpha = -1.0$.

Figure 4 shows the inferred dust mass as a function of time. The dust mass is consistent with being constant, at about $10^{-7} M_\odot$, up to 11 days, i.e., during the time span where the lightcurve fits are strongly constrained by data. Beyond this time, the inferred dust mass appears to drop. This finding relies to some extent on the validity of the lightcurve extrapolations in the $rJH$ bands. Using only the $K$-band data we can derive a lower limit on carbon dust for epochs beyond 11 days for a given temperature. Adopting $T_d = 1600$ K, which is the derived temperature at 11 days, as an upper limit to the temperature for any subsequent epochs, we obtain a lower limit to the needed carbon dust mass of $\sim 2 \times 10^{-9} M_\odot$ at 15 days dropping to $\sim 2 \times 10^{-7} M_\odot$ at 25 days (a modified blackbody fit at 20 days is shown in Figure 2 as a green curve).

The winds are set up as described in detail in Rosswog et al. (2017), their Section 2.2) and are parameterized by their initial entropy, their electron fraction $Y_e$, and their expansion velocity $v_{ej}$. This parameter space has been explored with over 190 models where $Y_e$ was varied between 0.05 and 0.45, and $v_{ej}$ from 0.05 to 0.4$c$. To keep the parameter space under control the initial entropy was fixed to 15 $k_B$ per baryon since detailed wind models (Perego et al. 2014) find a narrow distribution around this value. We use the WinNet nuclear reaction network (Winteler 2012); see Rosswog et al. (2017) for a more complete list of the ingredients. For electron fractions $Y_e \lesssim 0.3$, we find at maximum a carbon mass fraction of $10^{-6}$ (see Table 1), but in most cases values that are orders of magnitude lower. In one case ($Y_e = 0.45$, $v_{ej} = 0.05$), we find $X_c = 3 \times 10^{-7}$, but such $X_c$-values are not representative for the overall merger ejecta. Given an ejecta mass of a few $0.01 M_\odot$, we consider a carbon mass of $10^{-9} M_\odot$ as a robust upper limit.

4. Discussion

We inspected a series of wind models and found a maximum mass fraction of $10^{-7} M_\odot$, suggesting a very small production of carbon of $10^{-9} M_\odot$ in such winds. In contrast, our dust models require of the order of $10^{-5} M_\odot$ of carbon dust to be consistent with the lightcurves of AT 2017gfo.
This discrepancy of four orders of magnitude is unlikely to be due to systematic errors in our approach, despite possible caveats:

1. We fit dust models to parameterized lightcurves (Gompertz et al. 2017). While the fits to the rJyKs bands are good representations of the data, there may be variations in the dust mass and dust temperature results when using the real data. However, we verified that the differences between the lightcurve fits and the real data fits are small. As already discussed, any results based on extrapolated lightcurves are more uncertain, but our main conclusions do not rely on fits outside the range of well-sampled lightcurves (1-11 days past the gravitational-wave event).

2. We have assumed that the dust is homogeneously distributed. Clumping may impact the resulting dust mass. However, a clumpy structure typically requires even higher dust masses (see, e.g., Gall et al. 2011 for supernova dust models).

One could imagine that other elements might contribute to the total dust mass budget. As noted by Takami et al. (2014), the main challenge with this scenario is that the high dust temperature required to fit the data practically rules out all other known dust species. While a blue kilonova is not expected to produce lanthanides, it does produce r-process elements. However, as discussed by Takami et al. (2014), these are unlikely to condense, despite their fairly high condensation temperatures, because of their low number densities.

Hypothetically, we have tested what would be the properties of $N_{abs}(\lambda)$ (for $x = 1.2$ or 1.5) in order to accommodate both an upper limit on the dust mass of $10^{-5} M_\odot$ and reproduce the observed spectral energy distribution. The result is shown as gray bands in Figure 1. Such $N_{abs}(\lambda)$ leads to modified blackbody fits and evolution of dust temperature and mass similar to those shown in Figures 2–4. However, they neither correspond to carbonaceous dust nor any other known dust species.

Moreover, an increased $N_{abs}(\lambda)$ would not explain the slow decline of the dust temperature (Figure 3), apparently requiring an unrealistic increasing rate of heating with time. This result is largely independent of the assumed properties and appears to rule out any type of dust.

The spectra of AT 2017gfo indicate significant absorption at near-infrared wavelengths (Pian et al. 2017; Tanvir et al. 2017), consistent with an interpretation in which r-process elements have formed. However, it is not proven that the absorption features are due to lanthanides that are required to shift blue emission into the near-infrared. Therefore, the absorption features do not by themselves rule out a hot dust emission origin of the near-infrared kilonova.

The larger $Y_e$ material in both the neutrino-driven winds and the unbound torus will be concentrated toward the rotation axis of the binary (see, e.g., Figure 2 in Siegel & Metzger 2017), while the very heavy r-process material is more like a fat torus expanding in the orbital plane. Geometrically, we would therefore expect light elements to be unobscured when seen along the rotation axis, but potentially obscured when seen “edge-on.” If they also form from the torus (which takes time to unbind; $\sim 1$ s) such material could well be behind the earlier ejected heavy r-process, leading to absorption features in the hot dust emission component. Consequently, the significant absorption in the spectra of AT 2017gfo suggests that the inferred dust mass from the lightcurves represents a lower limit to the required dust mass.

We conclude that the simplest models with carbon dust forming out of the $Y_e$-rich ejecta is unlikely to produce the near-infrared emission. One would need a dust species with a high condensation temperature and a very high opacity (see Figure 1) or very large amounts of carbon to be produced to make the hot-dust emission model for the infrared component of kilonovae viable. For the time being, models in which high-opacity elements, such as lanthanides, are responsible for the near-infrared flux are favored (Tanvir et al. 2017).

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