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Mucosal Vaccination with Heterologous Viral Vectored Vaccine Targeting Subdominant SIV Accessory Antigens Strongly Inhibits Early Viral Replication

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Abstract

Conventional HIV T cell vaccine strategies have not been successful in containing acute peak viremia, nor in providing long-term control. We immunized rhesus macaques intramuscularly and rectally using a heterologous adenovirus vectored SIV vaccine regimen encoding normally weakly immunogenic tat, vif, rev and vpr antigens fused to the MHC class II associated invariant chain. Immunizations induced broad T cell responses in all vaccinees. Following up to 10 repeated low-dose intrarectal challenges, vaccinees suppressed early viral replication (P = 0.01) and prevented the peak viremia in 5/6 animals. Despite consistently undetectable viremia in 2 out of 6 vaccinees, all animals showed evidence of infection induced immune responses indicating that infection had taken place. Vaccinees, with and without detectable viremia better preserved their rectal CD4+ T cell population and had reduced immune hyperactivation as measured by naïve T cell depletion, Ki-67 and PD-1 expression on T cells. These results indicate that vaccination towards SIV accessory antigens vaccine can provide a level of acute control of SIV replication with a suggestion of beneficial immunological consequences in infected animals of unknown long-term significance.

In conclusion, our studies demonstrate that a vaccine encoding subdominant antigens not normally associated with virus control can exert a significant impact on acute peak viremia.

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1. Introduction

Novel vaccine strategies are needed for an effective HIV vaccine. The most successful vaccine strategies to date involved live attenuated viruses (Daniel et al. 1992), yet the potential for reversion to pathogenic viruses makes these too risky for serious consideration as vaccine candidates. Adenoviral vectors are a prime candidate to replace live attenuated vaccines, since they have a genome large enough to incorporate genes for several antigens, express antigens for extended periods of time and induce stable and protective effector memory T cells (Finn et al. 2009; Holst et al. 2008; Holst et al. 2015; Steffensen et al. 2013). Nevertheless, prior trials with adenoviral vectors have only shown partial efficacy, and in some cases, seemingly promoted infection (Buchbinder et al. 2008).

A potentially critical problem faced by both live-attenuated and non-persisting vectored immunization is immunodominance. The initial immunization selects for the most immunogenic T cell specificities, which may become highly dominant following challenge, favoring early virus escape (Liu et al. 2012). To circumvent this problem, we reasoned that accessory antigens naturally expressed in abundance in the early stages of infection (e.g., gag) could be replaced with accessory antigens, provided that stronger and broader responses could be elicited towards these less immunogenic antigens. In mice, such an experiment resulted in broader immune control against a persistent lymphocytic choriomeningitis virus (LCMV),...
potential long-term immunological benefits, we foundly improve acute virological control of SIV mac251 challenge, with CD4+ T cell depletion and highly limited CD8+ T cell hyperactivation.

All vaccinated animals with or without directly detectable infection induced effect on early viral replication (P = 0.01 for reduced early virus suppression. Consequently, we have observed a rather pronounced vaccine effect on early viral replication (Pe/Cy5.5 or Pac Blue respectively) that was mac251 specific to early infection. Despite undetectable viremia in two animals, all vaccines exhibited infection induced T cell responses demonstrating that all animals had become infected during the challenges, with two animals achieving rapid and durable viremic suppression. Consequently, we have observed a rather pronounced vaccine induced effect on early viral replication (P = 0.01 for early reduced virus load). All vaccinated animals with or without directly detectable infection exhibited long term immunological benefits such as reduced rectal CD4 + T cell depletion and highly limited CD8+ T cell hyperactivation.

Our results demonstrate that SIV accessory antigens vaccine can profoundly improve acute virological control of SIV mac251 challenge, with potential long-term immunological benefits.

2. Materials & Methods

2.1. Animals

CD1 mice were purchased from Taconic M&B (Ry, Denmark). The murine immunization studies were approved by the Danish National animal experiments inspectorate. For the nonhuman primate studies, purpose bred, Indian-origin rhesus macaques were obtained from, and housed at the Tulane National Primate Research Center (Tulane). Animals were randomly assigned to treatment or control groups before use. We used our previously published MHC class II associated invariant chain based genetic adjuvant (Capone et al. 2014; Holst et al., 2008; Holst et al., 2015; Spencer et al., 2014) coupled to SIV mac239 derived tat, vif, rev and vpr expressed as a single fusion protein, and administered these vaccines in a combined rectal and intramuscular heterologous prime-boost immunization. We have previously found that mucosal and parenteral immunization can be critical for optimal mucosal immunosurveillance, effector cell mobilization and control of acute and chronic infection (Hoegh-Petersen et al., 2009; Uddback et al., 2016). We first ascertained that these vectors were immunogenic in mice. We then vaccinated six Indian origin rhesus macaques three months apart to assess whether nano-classical epitopes could induce control of pathogenic SIVmac251 challenge as compared to S unimmunized controls.

Here we show that all animals showed broad vaccine induced CD8 + T cell responses and a trend towards delayed or absent viremia following repeated low-dose intra-rectal challenges (P = 0.08). After 10 rectal challenges, all 6 controls were infected whereas 2 vaccinated animals remained aviremic. Furthermore, 3 out of the 4 infected vaccinees demonstrated a markedly attenuated early infection taking several weeks to reach an otherwise normal set-point viremia. Despite undetectable viremia in two animals, all vaccinees exhibited infection induced T cell responses demonstrating that all animals had become infected during the challenges, with two animals achieving rapid and durable viremic suppression. Consequently, we have observed a rather pronounced vaccine induced effect on early viral replication (P = 0.01 for early reduced virus load). All vaccinated animals with or without directly detectable infection exhibited long term immunological benefits such as reduced rectal CD4 + T cell depletion and highly limited CD8+ T cell hyperactivation.

2.2. Vaccines and Antigen Design

To induce potent CD8 + T cell responses from otherwise weak antigens we applied the genetic adjuvant MHC class II associated invariant chain (Ii) and fused this molecule to a tat, vif, rev and vpr fusion antigen with sequences from mac239 (trv). The tat antigen contained reported inactivating mutations in the cysteine position 56 (C56S) and arginine in position 82 (R82L) corresponding to the C275 and R55L mutations described by Mayol et al. for HIV tat (Mayol et al., 2007). Functions as a potent genetic adjuvant for CD8+ T cells (Capone et al., 2014; Holst et al., 2008; Spencer et al., 2014). The antigen was encoded in heterologous adenovirus vectors based on chimpanzee adenovirus type 63 and human type 5 (Colloca et al., 2012). The hAd5 vector incorporated the rhesus macaque Ii isoform 2 sequence amino acids 1–190 whereas the chimpanzee type 63 adenoviral vector (chaD63) incorporated the human Ii isoform 1 sequence as genetic adjuvants (Capone et al., 2014). The viruses were rescued by co-transfection in HEK293 cells and cloned by agarose overlay (hAd5) or as full-length vector genomes in B5183 cells (Ch63) before rescue on adenovirus producer cells. Following the rescue the viruses were amplified using standard methods and purified using CsCl banding after ultracentrifugation (Becker et al., 1994). Adenovirus particle titers were determined by OD measurements at 260 nm and infectivity of hAd5 vectors was verified by Adeno-X rapid titfer kit. The integrity of the adenovirus genomes was confirmed using restriction enzyme digest of purified vector genomes and direct sequencing of the antigen expression cassette.

2.3. Antigen Expression

To verify expression of the antigen HEK293 cells were infected with hAd5-ttrv, Ch63-trrv, hAd5 control or Ch63 control and 48 h post infection cell lysate was used for western blotting. The primary detection reagent was mac251 specific polyclonal antisera obtained through the NIH AIDS Reagent Program, Division of AIDS, NIAID. Polyclonal Rabbit anti-human HRP conjugated antibody (Dako P0214) was used as the secondary antibody with LumiGLO® Chemiluminescent Substrate System (KPL 54-61-00) as detection reagent and the blot was read using an ImageQuant LAS 4000 biomolecular imager.

2.4. Mouse Immunizations and T Cell Responses

Mice were immunized subcutaneously behind the footpad of the right hind leg using 2 x 10^7 infectious units of hAd5 and 10^7 particles of Ch63 vaccine. For measurements of CD8 + T cell specific immune response, single cell suspensions of splenocytes were obtained by pressing the organs through a fine steel mesh, followed by centrifugation and resuspension in RPMI cell culture media. The cells were then incubated with overlapping peptide pools from the vif and vpr proteins obtained from the NIH AIDS Reagent Program at a concentration of 1 μg/ml of each peptide. Stimulation and staining was performed as described (Christensen et al., 2003) except that the cells were incubated without monensin for the first hour and then for 5 h in the presence of 3 μM of monensin. Functional epitope specific CD8 + T cell responses were enumerated by surface staining for CD8 (Pe/Cy5.5 or Pacific Blue), CD44 (APC/Cy7), CD19 or B220 (PerCP/Cy5.5 and pacific blue respectively) and intracellular staining for IFN-γ (APC). Thus, cells enumerated in this study represent numbers of CD8+, CD44+, IFN-γ+ and CD19/ B220- cells in the spleens of analyzed mice and are presented after subtraction of background responses seen without peptide stimulation. Total numbers were calculated by multiplying the total number of cells in the spleens determined using a hemocytometer, and the percentage of specifically gated cells. All antibodies were mouse cells were purchased from Biolegend. Cell samples were run on a Becton-Dickinson LSRIII FACs machine, and data analyses were performed using Flow Jo (Tree Star) software.

2.5. Primate Immunizations and Challenges

To evaluate the efficacy of the vaccine 6 Indian origin rhesus macaques were vaccinated with hAd5 vectors encoding the tat, vif, rev,
and vpr antigen and boosted 3 months later with Ch63 vectors. First, macaques \( (n = 6) \) were intramuscularly and rectally inoculated with \( 5 \times 10^{10} \) particles of the hAd5 vectored DNA vaccine in 1 ml inocula at week 0, and then boosted intramuscularly and rectally with \( 2 \times 10^{10} \) particles of the Chimp Ad63 vector vaccine in 1 ml inocula 12 weeks later (wk 12). An additional 6 macaques were sham inoculated with PBS as controls. Following the initial animal experimentation protocol; beginning 4½ months after the last immunization, macaques were rectally challenged weekly for 5 weeks with 1 ml of a 1:500 dilution of SIVmac251 made by Ronald C. Desrosiers (New England National Primate Research Center) and provided by Nancy Miller (NIAID, NIH) (Tuero et al. 2015). Viremia was assessed on samples collected after each challenge, but analyzed after the completion of all the challenges. Since two sham controls and three vaccinees remained uninfected, a new infection protocol was made and an additional 5 weekly rectal challenges were resumed beginning at wk. 43, but this time with 1 ml of a 5 fold higher (1:100) dilution. Blood was collected weekly throughout and 4 weeks after the last challenge before virus load analysis. Monitoring was continued with monthly samples thereafter for flow cytometry and monitoring plasma viremia. Plasma viral load was detected with a PCR assay with a linear detection limit of 28 copies/ml of plasma as previously described (Monjure et al. 2014). Lymph node biopsies were collected from all animals before the first challenge and 6 months after the last challenge. Cell suspensions prepared from lymph nodes were stained by CD3, CD4 and CD8, and CD4 + T cells were sorted/quantiﬁed from lymph nodes by FACS Aria for RT-QPCR using an ultrasensitive protocol with a detection limit < 2 copies.

2.6. Primate Antigen Speciﬁc T Cell Responses

To detect SIV-speciﬁc T cell responses in macaques, PBMCs (1 × 10^6) isolated from heparinized blood were incubated at 37 °C in a 5% CO2 environment for 6 h in the presence of RPMI 1640-10% FCS alone (unstimulated), a pool of 15-mer Gag, Tat, vif, Vpr or Rev peptides (5 μg/ml each peptide), or staphylococcal enterotoxin B (1 μg/ml; Sigma-Aldrich, St. Louis, MO, USA) as a positive control. All cultures contained brefeldin A (Sigma-Aldrich), as well as 1 μg/ml of anti-CD49d and anti-CD28 costimulatory molecules (BD Biosciences). Cultured cells were stained with monoclonal antibodies specific for CD3 (SP34), CD4 (L200), CD8 (SK1), and Aqua Live/Dead (Invitrogen). After being fixed and permeabilized with Cytoﬁx/Cytoperm solution (BD Biosciences), cells were stained with antibodies specific for IFN-γ (4S.B3) and TNF-α (MAB11) and washed by Perm/wash buffer (BD Biosciences). All antibodies and reagents were purchased from BD Biosciences Pharmingen (San Diego, CA, USA). Labeled cells were finally resuspended in BD Stabilizing Fixative Buffer, and acquired on a FACSVerse cytometer (Becton Dickinson, San Jose, CA, USA). Data were analyzed using FlowJo software (Tree Star). Cut-off values for positive responses were based on responses in unvaccinated animals before challenges and without antigen stimulation. The cut-off value was 0.32% of IFN-γ positive CD8 + T cells and 0.06% of IFN-γ positive CD4 + T cells. ELISA assay: MaxiSorp (NUNC) flat bottom plates were coated with 0.1 μg Tat protein (obtained from NIBSC. Cat. No. AR685) in carbonate buffer overnight and subsequently blocked with PBS (5% BSA, 0.05% Tween). Monkey serum samples were diluted 1:200 in PBS (5% BSA, 0.05% Tween), 2-fold dilution series were made and 100 μl was added to each well and incubated for 1 h. The secondary antibody was HRP-linked monoclonal rabbit anti-human (HRP-coupled, po no. P0214) diluted 1:2000 and 100 μl was added to each well. Antibodies were detected by adding 100 μl OPD buffer for 20 min, and the absorbance at 490 nm was measured on VERSAmax microplate reader. Washing with PBS (0.1% Tween) was performed between each step.

2.7. Tissue Collection and Immune Cell Phenotyping

Flow cytometry for surface staining was performed using standard protocols (Xu et al. 2015). Cells from lymph nodes and blood were stained with: CD3 (SP34), CD4 (SK3), CD8 (SK1), CD95 (DX2), CD45RA (I48) and CD28 (CD28.2) (all from BD Biosciences Pharmingen, San Diego, CA), CXCR5 (MUSUBEE, ebioscience), PD-1 (EH12.2H7, BioLegend),CCR7 (G043H7) and LIVE/DEAD Fixable Aqua Dead Cell Stain Kit (Invitrogen, Grand Island, NY). For assessing proliferation, PBMC was surface stained, treated with FACS lysing solution, washed, and intracellularly stained with anti-Ki67 (Clone B56). Isotype-matched controls were included in all experiments. Samples were resuspended in BD Stabilizing Fixative (BD Biosciences) and acquired on a FACS FORTESSA (Becton Dickinson, San Jose, CA). Data were analyzed with flowjo software (Tree Star, Ashland, OR).

2.8. Statistics

Various non-parametric tests were applied to compare the number of escaped challenges between vaccinated and unvaccinated animals: we used a Kaplan-Meier plot and a log-rank test to compare the survival function for the number of escaped challenges, and a Fisher’s exact test was used to compare the frequency of escaping 0–2, 3–4, or >5 challenges across treatment groups. Both methods provide valid test results since we used randomization-based exact p-values as recommended by Nolen et al. (2015).

Early mean virus load 1–4 weeks after successful challenge were compared using Mann-Whitney U test. Two animals which never had detectable infection were included in this analysis using the assay detection limit. This was justified by the detection of immune responses to non-vaccine encoded SIV proteins after the fifth challenge.

To examine the likelihood of a random and independent vaccine effect on detectable acquisition and viral replication we used a non-parametric test based on the number of escaped challenges and the mean viral load in the first 4 weeks after infection (censored if no infection occurred). Formally, we added the Mann Whitney U test statistics for (i) comparing the number of escaped challenges, and (ii) comparing the viral load across groups where only infected animals were included in the latter. The exact distribution of the combined test and the two-sided p-value was approximated using a Monte Carlo sampling approach, with data simulated under the relevant null hypothesis that neither detectable acquisition nor early viral replication was affected by vaccination.

Differences in T cell responses and phenotype distribution between vaccinees and controls were compared using Mann Whitney U test. Within group differences over time were compared using Wilcoxon sign test. Differences between groups of changes over time were analyzed by comparing the grouped individual animal changes using Mann-Whitney U test.

All statistical analyses were carried out using R [R Core Team (2014). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. http://www.R-project.org/]. For non-parametric tests we used the R-packages ‘exactRankTest’ and ‘coin’ for computation of exact p-values.

3. Results

3.1. The li-tvrv Vaccine is Expressed, and Elicits Responses in Mice

The vaccine was constructed as a fusion of the MHC class II associated invariant chain to a tat, rev, vif and vpr fusion antigen. To assess the functionality and immunogenicity of the adenovirus constructs (Fig. 1a), we first confirmed expression of the transgene (Fig. 1b). Then, outbred CD1 mice were vaccinated in a heterologous prime-boost regimen initiated with human adenovirus type 5 and either boosted or not with Chimpanzee adenovirus vectors 56 days later. The antigen specific responses in these outbred mice were variable, but the results demonstrated that the antigen was immunogenic and responses were increased by the Ch63 booster immunization (Fig. 1c).


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3.2. Ii-tvrv is Partially Effective in Controlling an SIV mac251 Challenge

We next included 12 Indian origin rhesus macaques in a vaccine trial, 6 sham immunized and 6 that were vaccinated with the hAd5 vector and the Ch63 vector 3 months later, followed by sampling for blood CD8+ T cell responses 3 months later again. Weekly intrarectal challenges were performed in two rounds of 5 challenges initiated 4½ month after the last immunization (immunization and challenge schedule is outlined in Fig. 1d). The macaques were randomly selected and assigned, but later typed for common MHC alleles (Fig. 1e). The known resistance alleles A*08 and B*17 were equally distributed among vaccinees and controls whereas three controls had A*01 alleles associated with prominent early gag specific T cell responses compared to one in the vaccinees. After the initially planned five low-dose challenges (1:500 dilution, see M&M) we could not detect viremia in three of the vaccinees and two unvaccinated controls. We therefore performed a second challenge round with five inoculations of a higher dose of virus (1:100); 2 vaccinated animals remained free from detectable viremia whereas the last controls became infected (Fig. 2a–c). Overall, vaccination reduced the per-exposure risk of detected infection by 64% and increased the number of challenges needed for detection of infection, but the reduced detection rate was not significant (P = 0.08). The two vaccinees that remained uninfected were also negative for viral RNA and DNA in lymph node biopsies taken 6 months after their last challenge using ultra-sensitive PCR on sorted lymph node CD4+ T cells (not shown).

Those animals in which we could directly detect infection also had a markedly different course of infection compared to the controls. Whereas all controls reached peak plasma viremia within 1 or 2 weeks after detection of first viremia, in 3 out of the 4 vaccinated infected animals, viral loads did not peak, but slowly reached their plateau phase four or more weeks after infection (Fig. 2d). However, once the infected vaccinees reached their plateau phase, no difference was observed in the level of viremia in vaccinated animals and controls.

As the vaccine regimen was intended as a T cell based vaccine, the apparent inability to infect 2 vaccinees and the trend towards delayed detection of acquisition was a considerable surprise. In light of the unusual absence of peak viremia and the delayed set-point viremia in 3 out of 4 animals we therefore decided to initially compare differences in viral load both based on the assumption that all animals had become infected - with some simply being able to durably control the infection below the detection limit (P = 0.01 for reduction of acute mean viral load of the first 4 positive samples, Mann-Whitney U test). We also tested the likelihood that the statistical insignificant delayed detection of acquisition as well as the reduction of acute viremia in 3 out of 4 animals were random and independent phenomena using a mixed test on
acquisition and early viral load \((P = 0.02)\) (cf. Materials and Methods section for a full description of the viral load analysis).

Early partial control of infection was also reflected in measurements of blood CD4+ T cells. With one exception, vaccinated animals maintained CD4+ T cells in the blood early after infection, but following the first 100 days a slow decline was observed (Fig. 2e). Not surprisingly, the 2 animals with durable viremic suppression did not exhibit any CD4+ T cell decline (not shown). Unvaccinated controls exhibited a rapid reduction of CD4+ T cells within the first few weeks of acquisition (Fig. 2f). When the study was ended (one year after the first challenge) two of the controls, but none of the vaccinees had been euthanized due to disease progression.

Collectively, these data shows that the vaccine reduce early SIV251 replication.

3.3. Antigen Specific CD8+ T Cell Responses to Vaccination and Infection

To study vaccine induced T cell responses PBMC’s were collected and analyzed by peptide stimulation and intracellular staining (ICS) 3 months after the booster immunization and one, two and three weeks after detection of infection using peptide pools overlapping the sequence of the vaccine antigens tat, vif, rev, and vpr, as well as gag.

In the pre-challenge samples, significant IFN-\(\gamma\) + CD8+ T cell responses were detected against all four vaccine encoded antigens (Fig. 3). Notably, each of the vaccinees responded to 3 or 4 of the 4 vaccine antigen peptide pools as defined by a response above the background of the assay and all of the non-immunized controls. For visualizing post exposure responses we grouped the vaccinees into the two animals that never had detectable infection (vaccinated – aviremic), the three animals that exhibited significant delays in time to reach peak viral load (vaccinated – delayed viremia) and the one animal that showed normal viral load kinetics (vaccinated – normal viremia). For the aviremic animals comparison samples were selected to correspond to the day 7, 14 and 21 dpi samples from three of the 4 initially infected and viremic vaccinees. In this analysis, it is apparent that all animals showed anamnestic responses towards the vaccine antigens, and also showed potent gag specific responses by 14 and 21 dpi (Fig. 3). This included the two animals fully protected from viremia after all 10
challenges which strongly supports the hypothesis that these animals had exhibited various levels of control of the infection rather than prevention.

3.4. Antigen Specific CD4+ T Cell Responses to Vaccination and Infection

To measure T helper cell responses, antigen specific CD4+ T cells were detected in PBMC’s. Samples for these analyses were obtained 3 months post booster vaccination and 2 and 3 weeks post acquisition of infection, after peptide stimulation under conditions similar to the analysis performed on CD8+ T cells (Fig. 4). However, unlike CD8+ T cell responses, CD4+ T cell responses were not readily detectable before challenge. After challenge, vaccinated animals trended towards stronger early responses than the controls, and maintained responses from two to three weeks post infection. By three weeks post infection, the vaccinees had significantly stronger responses against all tested antigens.

3.5. A Role for tat Specific Antibodies?

As the vaccine included tat antigen that has been claimed to be protective via antibody mediated mechanisms (Bachler et al. 2013; Rezza et al. 2005), we also measured tat responses by ELISA against full-length tat protein (Table 1). Only the vaccinee with normal viremia demonstrated a detectable response towards tat prior to the challenges and the infected vaccinee that remained without a detectable tat response had the lowest viral load of all infected animals. Thus, tat specific antibodies seem unlikely to contribute to the delayed or suppressed viremia observed in this trial.

3.6. ii-tvrv Vaccine Reduces Naïve T Cell Depletion

To explore if the improved early virus control generated by the vaccines might have had beneficial effects beyond the early reduction of viremia, we initially determined the numbers of naïve CD4+ and CD8+ T cells in PBMCs before challenge and at the relatively early time-point of 60 days post last challenge (Fig. 5). Depletion of naïve T cells was considered an informative read-out as Nishimura et al. found this depletion to predict disease activity and progression to AIDS after SIV infection (Nishimura et al. 2007) and Hazenberg et al. reported similar findings in HIV infected patients (Hazenberg et al. 2003). There were no significant differences before challenge, but after challenge and infection, we found the naïve populations to be significantly lower in the controls compared to the infected vaccinees for both CD4+ T cells (Fig. 5a) and CD8+ T cells (Fig. 5b).

3.7. Long-term Cellular Consequences of SIV Challenge in tvrv Infected Animals

To investigate if the improved acute viremic control would have immunological long term consequences, we undertook a series of analyses...
on samples taken 180 days after the last challenge, when virus loads were similar in the controls and the 4 infected vaccinees. Biopsies were used to quantitate CD4+ T cell frequencies of total rectal T cells (CD3+). We found that both groups showed reductions in rectal CD4+ T cells (P < 0.05, Wilcoxon Signed Rank Test on all animals), including the animals without detectable infection, but the relative level of depletion was more pronounced in the controls (P < 0.05). In consequence, at 180 days post infection, the rectal CD4+ T cell counts were more depleted in controls than in infected vaccinees (P < 0.05) and all vaccinees maintained rectal CD4+ T cell frequencies of approximately 40% (Fig. 6a). This is in contrast to the systemic CD4+ T cell counts which were reduced in viremic animals. As the two vaccinated animals with an undetectable viral load have exhibited both infection induced immune responses and moderately reduced rectal CD4+ T cell counts at levels similar to other vaccinees, we have decided to comment and perform the statistical tests on the following additional results including either all vaccinees and/or excluding the two aviremic vaccinees as indicated.

### 3.8. Tvrv Vaccine Reduces Chronic Immune Activation and Immune Exhaustion

One of the important long-term consequences of HIV and SIV infection is chronic immune activation. To directly quantitate general T cell activation, we measured the proportion of CD4+ and CD8+ T cells expressing the marker of recent proliferation, Ki-67. Ki-67 expression were at low levels on both CD4+ and CD8+ T cells before challenge, but in both controls and vaccinees we observed increases in the frequency of both CD4+ (Fig. 6c, significant for vaccinees only) and CD8+ T cells expressing Ki-67 (Fig. 6d). Particularly within the CD8+ T cell compartment, these differences clearly distinguished the controls from all vaccinees - also when only considering the detectably infected vaccinees that at this time point had viral loads similar to the controls (Fig. 6d). Notably, some studies have suggested that adenovirus

### Table 1

Tat antibody responses before and after challenge.

<table>
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<td></td>
<td>IM44</td>
<td>Not protected</td>
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* The indicated macaque had its last blood samples drawn 28 weeks after the first challenge.
vaccination causes generalized CD4+ T cell activation (Bukh et al., 2014). We observed no such effect, nor a trend before the challenges.

A now classical consequence of the increased immune activation and chronic high viral load in HIV and SIV infection is CD8+ T cell exhaustion. CD8+ T cell exhaustion and chronic activation correlates with the expression of PD-1 on the surface of CD8+ T cells. As anticipated, the infected control animals had profoundly increased PD-1 expression on CD8+ T cells after the challenges in lymph node biopsies (Fig. 6e), and this was also seen in detectably infected vaccinees, but significantly higher PD-1 expression levels were reached in the controls than in the viremic vaccinees (P < 0.01). As expected, the aviremic vaccinees displayed very low PD-1 expression on the CD8+ T cells from lymph node biopsies. A comparison of CD8+ T cells from PBMC samples taken before challenge and 6 months post last challenge yielded the same trends with higher PD-1 expression on controls compared to infected vaccinees (Fig. 6f).

In summary, the analyses of naïve CD4+ and CD8+ T cell depletion, the preservation of rectal CD4+ T cells, and the reduced T cell proliferation and PD-1 expression on CD8+ T cells, collectively provide a strong case that vaccination improved early cell mediated control and reduced and/or delayed SIV associated immune hyperactivation.

4. Discussion

The non-structural antigens tat and rev are expressed early in the virus life-cycle and together with vif and vpr, they are among the least immunogenic during natural infection with HIV (Betts et al., 2002). This suggests that vaccine induced tat, vif, rev and vpr specific responses would not dominate other T cell responses after infection (Holst et al., 2015). To compensate for the expected low immunogenicity, we used the potent genetic adjuvant, the MHC class II associated invariant chain (Capone et al., 2014; Holst et al., 2008; Holst et al. 2011; Holst et al., 2015; Spencer et al., 2014), to induce robust T-cell responses prior to challenge with pathogenic SIV mac251. We decided to perform vaccinations with combined intramuscular and rectal delivery for the heterologous virus vectored prime-boost regimen in this study as this had been shown to improve mucosal immune surveillance, effector cell recruitment and long-term control of chronic infection in mouse studies (Hoegh-Petersen et al., 2009; Uddback et al., 2016). Importantly, we verified robust and broad CD8+ T cell responses against the vaccine antigens in blood (Fig. 3), and in agreement with our previous results, we observed minimal antigen specific CD4+ T cell activation (Fig. 4), and no generalized increase in T cell activation before the challenges despite the use of hAd5 vectors (Fig. 6c–d). Following repeated low-dose SIV mac251 challenges, a clear effect was seen on early virus replication observed as delayed set-point viremia or absence of detectable viremia following challenges (P = 0.01, for reduced early viremia, Mann-Whitney U test). A vaccine effect was statistically apparent, even if absence of viremia and delay of set-point viremia were treated as independent events (P < 0.02, combined test) which is highly unlikely to be the case as we saw increased immune responses towards vaccine antigens and novel responses towards the non-encoded gag sequence also in aviremic animals. Such detection of SIV protein specific responses strongly suggest occult infection, but the infection must have been kept at a very low level and/or abrogated early, as we used a very sensitive plasma based assay (Monjure et al., 2014) without detecting viremia for more than a year. Furthermore, we could not detect the viral DNA in lymph nodes in the aviremic animals using a highly sensitive PCR assay on sorted CD4+ T cells. Notably, such presumed T cell dependent early abrogation of detectable infection has previously been found in animals infected with live attenuated vaccines (Fukazawa et al. 2012; Hansen et al. 2011; Hansen et al. 2013).

Although our vaccine cannot have caused sterile immunity, the trend of 64% reduction of per-exposure risk of viremia we observed (P = 0.08) is comparable to that obtained using most other vectored systems that have targeted env in prime-boost regimens (Barouch et al. 2012; Barouch et al. 2015; Pegu et al. 2013; Selinger et al. 2014; Xiao et al. 2012). From a clinical perspective, prevention of viremia is a quite relevant readout and it would therefore be interesting to explore if it could also be observed in larger trials, or if it could provide an additive effect to other vaccine designs.

The mechanism of the profound control of acute viremia cannot be deduced from our observation as the animals responded rather homogenously with CD8+ T cells and not with CD4+ T cells, and we failed to recover responsive intestinal T cells pre-challenge for technical reasons. It is nevertheless worth noting that we previously observed CD8+ T cell dependent and MHC class II dependent control of chronic viral infection (Holst et al., 2011), and that in the current study even the animals without directly measurable infection had recall tat, vif, rev and vpr vaccine primed T cell responses, gag specific T cell responses, and virus driven CD4+ T cell responses. These immune response patterns accurately mimics our observations during LCMV infection in inbred mice where occult infection was present, but undetectable using standard assays (Holst et al., 2015), and is similar to some of the effects observed during assumed preventive pharmacological inhibition of SHIV infection (Kersh et al. 2011; Promadej-Lanier et al. 2008; Tsegaye et al. 2015). However, unlike the LCMV studies in inbred mice where a subdominant antigen could reliably prevent exhaustion and allow the
infection to raise dominant antigen specific T cells, this primate studies used randomly selected macaques. Notably, the two aviremic animals did express two of the three elite resistance associated alleles present in the vaccinated cohort (A*08 and B*17), and it is possible that this is part of the explanation of the observed effect. The absence of an early peak in virus replication that we observe in infected vaccines and indeed in animals that become infected and rapidly and durably control the infection is a highly unusual finding in vaccine studies. Other studies that successfully achieved partial control of replication even with multiple log reduction in peak viremia, still exhibit an early peak replication phase with normal viral kinetics (Casimiro et al. 2005; Liu et al. 2009). This normal consistency of peak viremia also includes the much larger Barouch et al. study which observed a combination of reduced acquisition and potent post-infection viremic control of SIV mac251 (Barouch et al., 2012). While the infection progresses different in our animals as compared to other vectored vaccination attempts, the course of infection in our infected vaccinees show some resemblance to the slow progression of infection in animals infected with an ultimately unsuccessful mismatched live-attenuated vaccine (Johnson et al. 1999; Wyand et al. 1999). This could be consistent with the original hypothesis from the mouse studies, that viremic control is maintained by an infection primed response made possible by an early vaccine induced reduction in viral replication (Holst et al., 2015), with the caveat that SIV can gradually escape most T cell responses if near complete virological suppression is not achieved, but this could not be confirmed in our trial that was too small to perform meaningful correlate analysis. The task of achieving early reduction of virus control without dominant antigen was considered daunting from the onset and this is the reason why we used the MHC class II associated invariant chain adjuvant, a heterologous virus vectored prime-boost regimens and combined mucosal and parenteral immunization together with the unusual choice of antigens.

That the specific antigen choice or perhaps specific properties of the targeted antigens plays a unique role was suggested in a study by Hel et al., where a heterologous vectored virus vectored tat, rev and nef immunization was used and a small, but consistent delay in the time to reach peak viremia was reported (Hel et al. 2006). Immunizations with the tat antigen has also previously been claimed to reduce immune activation in patients on ART in prophylactic vaccination studies (Ensoli et al.
and antigens.

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**Conflict of Interest Statement**

The authors PJ Holst, JP Christensen and AR Thomsen are inventors on a manuscript related patent (published as WO200702631). PJ Holst is a founder and shareholder of InProTher ApS, a biotech company which holds a license to use the invariant chain sequence for vaccination purposes against certain indication including HIV. The remaining authors have declared that no conflict of interest exists.

**Author Contributions**

PJH conceived the project and wrote the first draft of the manuscript and all authors read and commented to the manuscript, HK, ER, DB and PJH performed experiments and analyzed data, AC, ER, BAHJ, ACM, PJH, SC, AF and RC, produced or contributed reagents, PJH, RSV, AN, IPC, JB, HK, AT and ART contributed to study design and discussions of results, and AT performed statistical analysis.

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**References**


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